

# STATE BOARD OF ELECTIONS

## STATE OF ILLINOIS

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EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller

BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Bryan A. Schneider  
Casandra B. Watson

### AGENDA STATE BOARD OF ELECTIONS BOARD MEETING Monday, November 18, 2013 10:30 a.m.

2329 S. MacArthur Blvd.  
Springfield, Illinois  
and via videoconference  
James R. Thompson Center – Suite 14-100  
Chicago, Illinois

#### Roll call.

1. Approval of the minutes from the October 22 meeting. (pgs.1-8)
2. Report of the General Counsel
  - a. Campaign Disclosure;  
Motion for Reconsideration
    - 1) *SBE v. Friends of Geroge A. Cardenas*, 17290, 13MA004; (pgs.9-14)
    - Failure to comply with a Board Order
      - 2) *SBE v. Friends of Sam Yingling*, 23561, 13MA019; (pgs.15-16)
      - Request for settlement offer
        - 3) *SBE v. Citizens for Lawrence Jackson*, 24338, 13JQ166; (pgs.17-19)
        - 4) *SBE v. HACIA PAC*, 25109, 13MA056; (pg.20)
      - Appeals of campaign disclosure fines - hearing officer recommendation appeals be granted
        - 5) *SBE v. Friends of Monique Davis*, 1059, 13MA054; (pgs.21-23)
        - 6) *SBE v. Citizens for Scott*, 7433, 13AJ013; (pgs.24-27)
        - 7) *SBE v. Citizens for Kurt Prenzler*, 19756, 13AJ026; (pgs.28-30)
        - 8) *SBE v. Kapitan for DeKalb*, 22055, 13JQ108; (pgs.31-33)
        - 9) *SBE v. Friends of Jeff Kibler*, 23787, 13JQ147; (pgs.34-36)
        - 10) *SBE v. Drew Muffler for Mayor*, 24837, 13MA041; (pgs.37-41)
        - 11) *SBE v. Parents for Lisa Jackson*, 25293, 13JQ230; (pgs.42-44)
      - Appeals of campaign disclosure fines - hearing officer recommendation appeals be denied
        - 12) *SBE v. Friends of Monique Davis*, 1059, 13MA002; (pgs.45-47)
        - 13) *SBE v. Downstate Democratic Caucus*, 14859, 13AJ019; (pgs.48-50)
        - 14) *SBE v. Brandon Phelps for State Representative*, 16309, 13AJ022; (pgs.51-53)
        - 15) *SBE v. Citizens for Ariel E. Reboyras*, 17163, 13AD064; (pgs.54-56)
        - 16) *SBE v. Illinois Alliance for Good Government*, 18042, 12SQ063; (pgs.57-59)
        - 17) *SBE v. Illinois Alliance for Good Government*, 18042, 13JQ074; (pgs.60-62)
        - 18) *SBE v. Friends for Mulroe*, 20711, 13AM069; (pgs.63-65)
        - 19) *SBE v. Friends of Arthur Wesley Willis*, 24207, 13JQ160; (pgs.66-68)
        - 20) *SBE v. The Forty PerCenters*, 24724, 13MQ172; (pgs.69-71)

- 21) *SBE v. Friends to Elect Rick Olson*, 24781, 13MQ252; (pgs.72-75)
- 22) *SBE v. Citizens for Debbie Meyers Martin*, 24887, 13AM096; (pgs.76-78)
- 23) *SBE v. Citizens for Ted Sindermann*, 24977, 13JQ199; (pgs.79-81)
- 24) *SBE v. Committee to Elect J B Johnson*, 25036, 13AM041; (pgs.82-85)
- 25) *SBE v. Friends of Mike Carberry*, 25368, 13MA044; (pgs.86-89)
- 26) *SBE v. Friends of Tim Desmond*, 25456, 13MA047; (pgs.90-92)
- 27) *SBE v. Friends of John Mahoney for Circuit Court Judge*, 25457, 13MA048; (pgs.93-101)

Appeals of campaign disclosure fines - hearing officer recommendation appeals be granted and denied

- 28) *SBE v. Citizens to Elect Vernard L. Alsberry, Jr.*, 24638, 13MA055; (pgs.102-104)
- 29) *SBE v. Committee to Elect Abu Anan-Taleb*, 24789, 13MA037; (pgs.105-108)
- 30) *SBE v. Citizens for Randy Marks*, 25160, 13MA042; (pgs.109-113)

Appeals of contribution limit fines - hearing officer recommendation appeals be granted and denied

- 31) *SBE v. Friends of Ken Dunkin*, 11436, 12MQ-CL002; (pgs.114-119)

Other campaign disclosure items

- 32) Status update - *SBE v. Melrose Vision Party*, 13905, 13MQ039 & *SBE v. Melrose Victory Party*, 14481, 13MQ043; (oral report)
- 33) Assessments/Final Board Orders; (pgs.120-126)
- 34) Potential ballot forfeiture for 2014 – informational; (pg.127)
- 35) Payment of civil penalties – informational; (pgs.128-129)

Complaints following closed preliminary hearing – hearing officer recommendation complaints filed on justifiable grounds and proceed to a public hearing (separate packet)

- 36) *SBE v. First Ward Organization*, 18390, 13CD024; (pgs.1-3)
- 37) *SBE v. votedebby.com*, 19006, 13CD026. (pgs.4-6)

3. Report of the Executive Director

- a. Presentation of staff service awards;
  - 1) 15 year award – John Levin;
  - 2) 15 year award – Kim Watkins;
  - 3) 25 year award – Steve Sandvoss;
- b. Preparations for the March 18, 2014 General Primary Election;
  - 1) Candidate petition filing staff assignments; (pgs.130-136)
  - 2) Election judge training schools – informational; (pg.137)
- c. 2013 Supplemental Consent Decree - informational; (pgs.138-144)
- d. Brennan Center Report on Early Voting; (pg.145)
- e. Legislative update; (pgs.146-147)
- f. Six month review of executive session minutes; (pg.148)
- g. Paperless Online Voter Application update; (pg.149)
- h. IVRS update – informational; (pgs.150-153)
- i. Census data versus registered voters; (pg.154)
- j. Fiscal status reports – informational;
  - 1) FY14 – month ending October 31; (pgs.155-162)
  - 2) FY14 – Help Illinois Vote Fund; (pgs.163-168)
- k. Two year plan of staff activity for the months of November & December – informational. (pgs.169-171)

4. Follow up;

- a. Defend the Vote.

5. Comments from the general public. (pg.172)

6. Next regular Board meeting at 10:30 a.m. on Tuesday, December 17, 2013 in Room 2-025 of the JRTC in Chicago. (pg.172)

7. Executive session. (pgs.173-178)

STATE BOARD OF ELECTIONS  
Regular Meeting  
Tuesday, October 22, 2013

MINUTES

PRESENT:

Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers, Member  
Betty J. Coffrin, Member  
Ernest L. Gowen, Member  
William M. McGuffage, Member  
Bryan A. Schneider, Member  
Casandra B. Watson, Member

ALSO PRESENT:

Rupert Borgsmiller, Executive Director  
James Tenuto, Assistant Executive Director  
Steve Sandvoss, General Counsel  
Amy Calvin, Administrative Assistant II

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The meeting convened at 10:30 a.m. via videoconference with seven Members present in Chicago. Member Watson held Member McGuffage's proxy until his arrival at 10:35 a.m.

The Chairman opened the meeting by leading everyone in the pledge of allegiance.

Vice Chairman Scholz moved to approve the minutes from the September 16 meeting as presented. Member Coffrin seconded the motion which passed unanimously.

The General Counsel presented the following request for settlement offer for Agenda items 2.a.1, 2, 5, 6 & 9:

- 1) *SBE v. Rich Township Organization*, 1049; (pgs.6-7)
- 2) *SBE v. Indo-American Democratic Organization*, 1420, 13MA038;
- 5) *SBE v. Citizens for John Beck*, 15728;
- 6) *SBE v. Friends for Beverly Ann Mull*, 22326, 12MQ202;
- 9) *SBE v. Team Township: Homer Township Candidates for Supervisor, Clerk, Linsey Sowa*, 25015.

He noted that each of the committees offered at least 50% of the total assessment of the civil penalties and recommended the proposed offers be accepted. Vice Chairman Scholz moved to accept the settlement offers from the above noted committees. Member Byers seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented a settlement offer for Agenda item 2.a.3, *SBE v. 18<sup>th</sup> Ward Democratic Org.*, 12078, 13MQ032 and recommended the offer be denied because it is less than 50% of the total penalty. Scott Biszewski and Derrick Curtis were present on behalf of the committee and said that Mr. Biszewski was hospitalized and unable to file the report on time. Mr. Curtis also noted that the committee did not receive notice of the appeal and then offered a settlement amount of \$500. After discussion, Member Byers moved to approve a settlement amount of \$775 which is 50% of the civil penalty. Member Schneider seconded the

motion which passed by roll call vote of 6-2, with Members McGuffage and Watson voting in the negative.

The General Counsel presented a settlement offer for Agenda item 2.a.4, *SBE v. Bukowski for Sheriff Committee*, 12866 and recommended the proposed offer of 50% be accepted. Timothy Bukowski and Joe Beard were present on behalf of the committee and explained the circumstances surrounding the late filings. Chairman Smart moved to accept the settlement offer of 50% of the assessed civil penalty. Member McGuffage seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented a settlement offer for Agenda item 2.a.7, *SBE v. Citizens for Kyle Logan*, 24271 and recommended the proposed offer be denied because it was less than 50% of the assessed civil penalty. Ian Linnabary was present on behalf of the committee and asked the Board to consider the extraordinary circumstances surrounding the late filings. He noted that the committee has engaged in very little fundraising and spending since the initial D-1 filing and then offered a settlement amount of \$5,000. Member McGuffage moved to accept the settlement offer to be paid by January 9, 2014. Member Watson seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented a motion to reconsider for Agenda item 2.8, *SBE v. Friends for Warren L. Dixon*, 24528, 13DQ242 and summarized the matter. He recommended the motion be denied because it was not timely submitted within seven days following the effective date of the board order and an explanation as to why the committee did not appeal the assessment was not submitted. No one was present on behalf of the committee. Member Schneider moved to accept the recommendation of the General Counsel and deny the motion to reconsider. Vice Chairman Scholz seconded the motion with passed by roll call vote of 8-0.

The General Counsel noted that Agenda item 2.a.19, *SBE v. Citizens for Denise Moore*, 24573, 13MA049 was erroneously placed in the granted category and will be considered later in the meeting.

The General Counsel presented the following appeals of campaign disclosure fines where he concurred with the hearing officer recommendation to grant the appeals for Agenda items 2.a.10-12, 14-18, 20 & 21:

- 10) *SBE v. Committee to Elect Miceli*, 959, 13JQ022;
- 11) *SBE v. Indo-American Democratic Org.*, 1420, 13JQ027;
- 12) *SBE v. DuPage Township Republican Organization*, 4678, 13JQ034;
- 14) *SBE v. Friends of Tim Schmitz*, 13511, 13AJ018;
- 15) *SBE v. Arab American Democratic Club*, 20046, 13AM066;
- 16) *SBE v. Citizens to Elect Dan Decker*, 20305, 13JQ091;
- 17) *SBE v. Friends of Jim Oberweis*, 23861, 13AJ032;
- 18) *SBE v. Taxpayers for Dave Ehrlich Committee*, 24347, 13JQ167;
- 20) *SBE v. Committee to Elect Michael Harner*, 25087, 13MQ253;
- 21) *SBE v. Citizens for Rauner, Inc.*, 25185, 13AM106.

No one was present on behalf of the respondent committees. Member Schneider moved to accept the recommendation of the General Counsel and grant the above noted appeals. Member McGuffage seconded the motion which passed by roll call vote of 8-0.



The General Counsel presented the following appeals of campaign disclosure fines where he concurred with the hearing officer recommendation to deny the appeals for Agenda items 2.a.13, 22-24, 27, 28, 31, 33, 36-40 & 42-45:

- 13) *SBE v. Citizens for Jerry Bennett*, 10946, 13AM059;
- 22) *SBE v. Greene County Republican Central Committee*, 22, 13JQ001;
- 23) *SBE v. Illinois Insurance Association PAC*, 6609, 13AM057;
- 24) *SBE v. Citizens to Elect Cheri L. Neal*, 12684, 13AJ016;
- 27) *SBE v. Friends of Rick Reinbold Campaign Committee*, 15667, 13AM064;
- 28) *SBE v. The Integrity Party*, 15706, 13JQ063;
- 31) *SBE v. Friends of Mattie Hunter*, 17385, 13AQ023;
- 33) *SBE v. Ed Motley for Sheriff*, 22169, 13MA053;
- 36) *SBE v. Friends of Tabares*, 23775, 13AD103;
- 37) *SBE v. Committee to Elect Max Mitchell*, 24518, 13JQ175;
- 38) *SBE v. Citizens Opinion Matter*, 24595, 12SQ202;
- 39) *SBE v. Citizens for One Wauconda*, 24810, 13MA040;
- 40) *SBE v. 230 United*, 24876, 13JQ193;
- 42) *SBE v. Citizens to Elect Charles Allen January Jr.*, 25190, 13JQ220;
- 43) *SBE v. Warren First Political Party*, 25253, 13AM110;
- 44) *SBE v. Friends of Janet Ryan Grasso*, 25298, 13AJ049;
- 45) *SBE v. Committee to Elect Greg Voudrie Coles County Sheriff*, 25415, 13D1008.

It was noted that item 13 was erroneously included in the granted section and should be included in the denied section. No one was present on behalf of the respondent committees. Member Schneider moved to accept the recommendation of the General Counsel and deny the above noted appeals. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

Agenda item 2.a.41, *SBE v. Committee to Elect J B Johnson*, 25036, 13AM041 was pulled and will be placed on a future Board meeting Agenda.

The General Counsel presented Agenda items 2.a.25 & 26, *SBE v. Melrose Vision Party*, 13905, 13MQ039 and *SBE v. Melrose Victory Party*, 14481, 13MQ043 and concurred with the hearing officer recommendation to deny the appeals. He also noted the hearing officer recommended ordering two of the three political committees in support of Ronald M. Serpico, Sr. to finalize and file a final report. Steven Avalos and Patricia Dindia were present on behalf of the respondent committee. Ms. Dindia explained that she was hospitalized and underwent three surgeries and that the late filing was inadvertent. Mr. Avalos said she had been filing these reports for 14 years and this was only the second violation. He then asked for the fine to be stayed, or in the alternative, offered a settlement of 50% of the civil penalties. Mr. Avalos also offered to work with the staff to amend the purpose of the political party committees so they are consistent with the law. Discussion ensued regarding the purpose of the two committees and the applicable statutes. Member Schneider moved to deny the appeals, accept the 50% settlement offer of \$950 to be paid by the close of business on November 15. He also ordered staff to provide a status report at the next meeting concerning the purpose of the committees listed on the amendments. Ms. Watson seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.29, *SBE v. Illinois Corn Growers Association Political Involvement Fund*, 16797, 13JQ071 and concurred with the hearing officer

recommendation to deny the appeal. Kayla Veeder was present on behalf of the respondent committee and said she was new to the Association and after filing the first report she received a notice that it was late. After speaking with staff, it was determined that an error with IDIS occurred which caused the report from being timely filed. Ms. Veeder then asked that the appeal be granted based on the technical issue with IDIS. Member McGuffage moved to grant the appeal based on the electronic filing defense. Member Coffrin seconded the motion which passed by roll call vote of 7-1 with Member Byers voting in the negative.

The General Counsel presented Agenda item 2.a.30, *SBE v. Citizens for Pat Dowell*, 16892, 13MA003 and concurred with the hearing officer recommendation to deny the appeal. DeAnna Grant was present on behalf of the respondent committee and offered a settlement of 50% of the assessed civil penalty. Member Byers moved to accept the recommendation of the General Counsel and the 50% settlement offer. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.32, *SBE v. United People's Party*, 18568, 13MQ071 and concurred with the hearing officer recommendation to deny the appeal. He also recommended that the request from Burt Odelson to stay the fine be denied because the second penalty occurred within two years of the first penalty. No one was present on behalf of the respondent committee. Member Gowen moved to deny the appeal. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.34, *SBE v. Lake County Life PAC*, 22333, 13DQ239 where the hearing officer recommended the appeal be denied. Peter Breen was present on behalf of the respondent committee and said the previous treasurer refused to turn over the books to the new treasurer. Without the books the current treasurer was unable to file an accurate report and once the books were finally received the required report was filed immediately. Mr. Breen indicated the committee was unable to pay the fine and asked for leniency. The General Counsel did not take issue with granting the appeal but noted that there is a provision in the rules that a committee could file a complaint against the outgoing officer for failure to turn over the records. Chairman Smart moved to grant the appeal. Member McGuffage seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.35, *SBE v. Clint Krislov State Campaign Committee*, 22431, 13MA012 and concurred with the hearing officer recommendation to deny the appeal. Adam Lasker and Clint Krislov were present on behalf of the respondent committee. Mr. Lasker stated that the committee experienced computer issues and staff instructed them to file on paper. He indicated that the late filing was inadvertent and offered a settlement amount of \$1,118.75. Vice Chairman Scholz moved to deny the appeal and accept the settlement offer. Member Byers seconded the motion which passed by roll call vote of 7-1 with Member Schneider voting in the negative.

The General Counsel presented Agenda item 2.a.37, *SBE v. Committee to Elect Max Mitchell*, 24518, 13JQ175 and concurred with the hearing officer recommendation to grant the appeal. He noted that this matter was erroneously included in the denied section and should be included in the granted section. Vice Chairman Scholz moved to accept the recommendation of the General Counsel. Member Gowen seconded the motion which passed unanimously.

It was noted that Agenda item 2.a.46, *SBE v. Friends of Ken Dunkin*, 11436, 12MQ-CL002 was pulled and will placed on a future Agenda.

The General Counsel presented Agenda item 2.a.19, *SBE v. Citizens for Denise Moore, 24573, 13MA049* and concurred with the hearing officer recommendation to grant the appeal in part and deny the appeal in part. No one was present on behalf of the respondent committee. Member McGuffage moved to accept the recommendation of the General Counsel. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

After a short break the General Counsel presented complaints following public hearing for Agenda items 2.a.47-58:

- 47) *SBE v. Oak Lawn Leadership Team, 12CD064;*
- 48) *SBE v. Illinois Black Business PAC, 12CD081;*
- 49) *SBE v. Illinois School Psychologists PAC, 13CD006;*
- 50) *SBE v. Committee to Re-elect Mike Slape, 13CD009;*
- 51) *SBE v. Citizens to Elect Alvin Parks Jr., 13CD031;*
- 52) *SBE v. Oak Lawn Leadership Team, 13CD045;*
- 53) *SBE v. Illinois Black Business PAC, 13CD055;*
- 54) *SBE v. Education First Party, 13CD068;*
- 55) *SBE v. Friends of Dick Breckenridge, 13CD069;*
- 56) *SBE v. Tammy Baer for Douglas County State's Attorney, 13CD081;*
- 57) *SBE v. Friends of Tom Bucher, 13CD084;*
- 58) *SBE v. Taking Back America, 13CD090.*

He concurred with the hearing officer recommendation that the complaints be upheld and issue a Board order requiring the delinquent report(s) be filed within 30 days of the order or face a fine up to \$5,000. No one was present on behalf of the respondent committees. As to item #48, the General Counsel noted that a \$5,000 penalty for failure to comply with a board order remains due and owing and recommended it be included in the order. Member Gowen moved to accept the recommendation of the General Counsel in the above noted complaints. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

A listing of civil penalty assessments necessitating a final board order was presented. Member Byers moved to issue final board orders for those committees listed on page 227 of the board packet. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

A listing of payment of civil penalties was presented for informational purposes.

Member Schneider moved to recess to executive session to consider complaints following closed hearing and pending litigation. Member Gowen seconded the motion which passed unanimously. The meeting recessed at 12:15 p.m. and reconvened at 1:40 p.m.

As to Agenda item 2.a.61, *IL Campaign For Political Reform, Morrison, Woodward & Melton v. Moving Aurora Forward, 13CD133*, Member Schneider moved to find the complaint was not filed on justifiable grounds and the matter be dismissed. Member Watson seconded the motion which passed by roll call vote of 8-0.

As to Agenda item 2.a.62, *IL Campaign For Political Reform, Morrison, Woodward & Melton v. African American Clergy Coalition, 13CD134*, Member Schneider moved to find the complaint was filed on justifiable grounds; however, no further action was ordered in light of the

committee amending its statement of organization and listing the identity of the sponsoring entity. Member Watson seconded the motion which passed by roll call vote of 8-0.

As to Agenda item 2.a.64, *SBE v. Committee to Elect David L. Evans*, 13CD025, Member Schneider moved to find the complaint was filed on justifiable grounds and the matter proceed to a public hearing. Member McGuffage seconded the motion which passed by roll call vote of 8-0.

As to Agenda item 2.a.66, *SBE v. Friends of Sandra Wortham*, 13CD077, Member Schneider moved to find the complaint was filed on justifiable grounds; however, no further action was ordered other than referral to staff for imposition of civil penalties. Member Watson seconded the motion which passed by roll call vote of 8-0.

Agenda items 2.a. 63 & 65, *SBE v. First Ward Organization*, 13CD024 and *SBE v. Robert T. Miller*, 13CD026 were pulled from the Agenda.

The General Counsel presented a complaint following public hearing for Agenda item 2.a.67, *SBE v. Friends of Kenny Johnson*, 13CD034 and concurred with the hearing officer recommendation that no further action be taken other than referral to staff for imposition of civil penalties. No one was present on behalf of the respondent committee. Member Byers moved to accept the recommendation of the General Counsel. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

The Executive Director began his report on preparations for the March 18, 2014 General Primary Election and asked the General Counsel to present the proposed policy for acceptance of candidate nominating petitions for Governor and Lieutenant Governor. Mr. Sandvoss explained that Section 7-10 was amended to require candidates for Governor and Lieutenant Governor file a joint petition that includes one candidate for each of the two offices. If the candidates do not file a joint petition, staff will accept petitions containing only the name of a candidate for Governor and any such petitions will be subject to the objection process. Petitions containing the name of a candidate for Lieutenant Governor only will not be accepted because this office cannot stand alone in the primary and general elections. After discussion, Member Gowen moved to approve the petition acceptance policy. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

Next was consideration of certification of Hart Inter-Civic de minimis hardware upgrade for 6.2.1 voting system. Kyle Thomas reviewed his memo on page 232 of the board packet and said the change is basically a port protector which joins the judges booth controller and e-Slate. The adapter will help prevent damage to the external port connectors of each e-Slate voting booth. After reviewing documentation, including a review a report submitted by an EAC accredited laboratory, staff concluded no additional testing was needed. He then recommended two year interim approval for the de minimis change request and noted that the approval will restart the approval period for the entire system. Member Schneider moved to accept the recommendation of Mr. Thomas and grant two year interim approval. Member McGuffage seconded the motion which passed by roll call vote of 8-0.

The General Counsel discussed the suppressed 2012 General Election vote totals from the 2<sup>nd</sup> Judicial Circuit and noted that a signed court order was received from the attorney of the respondent. He explained that the matter occurred because of an election contest challenging a winner of the primary election and a judge ordered the SBE to suppress those results pending

the outcome of the lawsuit. The matter has been settled and the court has ordered the SBE to certify those results and declare Eric Dirnbeck the winner of the November 6, 2012 General Election for the Vantrease vacancy. Vice Chairman Scholz moved to certify the results and declare Mr. Dirnbeck the winner as noted above. Member Byers seconded motion which passed by roll call vote of 8-0.

Next was an update on the 2013 Consent Decree and Member Schneider moved to authorize the General Counsel to enter into the supplemental consent decree as authorized in executive session. Member Byers seconded the motion which passed by roll call vote of 8-0.

The Executive Director began the legislative update noting that Cris Cray was currently in committee where the legislation was being heard to address the regional superintendents district issues. The regional superintendents must consolidate down from 45 districts to 35 districts, and the Board of Education must sign off on the 35 districts after January 1, 2014. The timeline for finalization of the districts jeopardizes regional superintendent candidates from being able to file petitions and be placed on the primary election ballot. Ken Menzel discussed the legislation explaining that it basically requires the Board of Education to decide on the lineup of service regions by early November. If the legislation passes, the candidates will know in what districts they would be filing petitions as well as the required amount of signatures. The Executive Director also noted there was a piece of legislation introduced that would abolish salaries for members of various boards and commissions. He indicated that staff would monitor the bill and keep the Board informed of any progress.

The Executive Director discussed the Paperless Online Voter Registration Application (POVA) and indicated that numerous meetings were held with various interested parties. Kyle Thomas reviewed his memo on page 234 of the board packet and noted that the technical specifications documentation was completed and forwarded to all election authorities and election management vendors. The documentation lists three options available for the election authorities to receive the electronic registration application information and outlines changes to election law that will require modifications to local election management systems. Testing of file exchanges with the Secretary of State is complete and further testing will take place as work continues on the system development. POVA is expected to be up and running by July 1, 2014 as required by statute.

Next was the IVRS update and Mr. Thomas reported that the number of duplicate matches was below 2,700 statewide. This is due to the elections authorities completing their voter purges. The number of census voters versus registered voters in certain jurisdictions were all under the 100% benchmark, also a result of the voter purges.

The Executive Director presented the fiscal reports for informational purposes and noted that FY14 expenditures are on target and are being closely monitored.

The two year plan of staff activity for the months of October and November was presented for informational purposes.

The Executive Director indicated that the executive session minutes from the September meeting did not get approved and the General Counsel said they could be approved in open session. Member Coffrin moved to approve the executive session minutes from the September 16 meeting. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

Next on the Agenda was a follow up report on Dominion voting systems in use in Chicago and Cook County and a report on "Election Security and the Vulnerability Assessment of Security in relation to the State Board of Elections." Sharon Meroni, Executive Director of Defend the Vote was present and expressed her thoughts regarding security of electronic voting machines and her desire to remove the Dominion voting systems from further use in Illinois. She discussed the Argonne National Laboratory Vulnerability Assessment, the security of election seals and the new provisional voting requirements.

With there being no further business before the Board Member Byers moved to adjourn until 10:30 a.m. on Monday, November 18, 2013 in Springfield. Member McGuffage seconded the motion which passed unanimously. The meeting adjourned at 2:30 p.m.

Respectfully submitted,

  
\_\_\_\_\_  
Amy Calvin, Administrative Assistant II

  
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Rupert T. Borgsmiller, Executive Director

BEFORE THE STATE BOARD OF ELECTIONS

State Board of Elections

vs.

13 MA 004

Friends of George A Cárdenas

MOTION FOR RECONSIDERATION

Now comes the Respondent Friends of George A Cárdenas, by its attorney James P Nally PC, and in support of the previously filed Motion for Reconsideration of the order of the Board entered July 25, 2012.

1. The Respondent is aware of the of the Board rule requiring a motion to reconsider to be filed within seven days of the entry of the Board Order, which in this case was entered on May 22, 2013.
2. However the thrust of the motion filed by the Respondent here is the fact that the Respondent did not receive notice of the hearing and was unaware that this matter was before the Board on May 20, 2013.
3. The Final order (copy attached) was sent to the committee at the address of 2829 W. Cermak Rd., Chicago, IL 60623. The committee had changed its address and filed an amended D1 with its current address of 3757 S. Campbell Ave., Chicago, IL 60632 on June 12, 2013 (copy attached). The Respondent never received notice of the setting up a hearing before hearing officer, and likewise never received notice that the recommendation of the hearing officer was submitted to the Board for action at its May 20, 2013 meeting.
4. As a result of this lack of notice, the Respondent could not have timely filed a Motion for Reconsideration since Respondent lacked notice that the proceedings had taken place. It is based upon this lack of notice that the Respondent is seeking to vacate these prior orders and to allow the Committee to present evidence at a hearing before the Board or its appointed hearing officer.

Respectfully submitted,



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STATE BOARD OF ELECTIONS  
13 JUL 29 PM 2:24

STATE OF ILLINOIS     )  
                                      ) SS  
COUNTY OF SANGAMON )

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

In the Matter Of:                     )  
                                      )  
State Board of Elections             )  
    Complainant(s),                 )  
                                      )  
Vs.                                     ) 13 MA 004  
                                      )  
Friends of George A Cardenas        )  
    Respondent(s).                   )

**FINAL ORDER**

TO: Friends of George A Cardenas             ID# 17290  
    2829 W Cermak Rd  
    Chicago, IL 60623-3513

This matter coming to be heard this 20<sup>th</sup> day of May, 2013 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

**THE BOARD FINDS**

1. In case number 13 MA 004, a \$31,450.00 civil penalty was initially assessed against the Respondent for failing to file Schedule A-1's during the first quarter of 2012; and
2. In case number 13 MA 004, a \$32,000.00 civil penalty was initially assessed against the Respondent for failing to file Schedule A-1's during the second quarter of 2012; and
3. In case number 13 MA 004, a \$2500.00 civil penalty was initially assessed against the Respondent for failing to file Schedule A-1's during the third quarter of 2012; and
4. An appeal of the civil penalty was not submitted by the committee, and
5. The committee was previously assessed a penalty of \$4250.00 for failing to file Schedule A-1's during the first quarter of 2011 (11 AM 180). This assessment was not appealed; the amount was reduced to \$425.00 by the Board and has been paid by the committee. The committee was also assessed a penalty of \$33,675.00 for failing to file Schedule A-1's during the third quarter of 2011, and a penalty of \$16,062.50 for failing to file Schedule A-1's during the fourth quarter of 2011 (12 MA 044). The appeal of this assessment was denied and the amount was reduced to \$9947.50 by the Board, and
6. Pursuant to section 9-10 of the Code, the total assessment is \$65,950.00 (100% of the value of the delinquently reported contributions).



IT IS ORDERED:

1. A civil penalty in the amount of \$65,950.00 is imposed and is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is May 22, 2013, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 5/22/2013

  
William M. McGuffage, Chairman



FORM

D-1

## STATEMENT OF ORGANIZATION

PLEASE TYPE OR PRINT IN BLACK INK

FOR OFFICE USE ONLY

2013 JUN 12 PM 1:45

STATE BOARD OF ELECTIONS

Full name and complete mailing address of Political Committee:

Friends of George A. Cardenas  
3757 S. Campbell Ave.  
Chicago, IL 60632

COPY

E-MAIL ADDRESS

POLITICAL COMMITTEE  
IDENTIFICATION No.☒ CHECK HERE IF ADDRESS CHANGE

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

1. DATE COMMITTEE CREATED:

2. AMOUNT OF FUNDS AVAILABLE AS OF  
CREATION DATE :\$☐ NEW COMMITTEE (MUST BE FILED WITHIN 10 DAYS OF CREATION OR WITHIN 2 DAYS IF CREATED WITHIN 30 DAYS  
BEFORE AN ELECTION.)3. ☐ AMENDMENT (MUST BE FILED WITHIN 10 DAYS OF ANY CHANGES. ENTER ONLY THOSE CHANGES FROM LAST D-1  
ON FILE.)☐ REACTIVATING4. POLITICAL COMMITTEE'S DESIGNATION: ALL COMMITTEES CHOOSE ONLY ONE:☐ CANDIDATE POLITICAL COMMITTEE\*\*For purposes of contribution limits and reporting requirements a Candidate Political Committee supporting a candidate for  
multiple offices elected at different elections must designate an election cycle by listing the appropriate office. This office is:☐ POLITICAL ACTION COMMITTEE☐ POLITICAL PARTY COMMITTEE☐ BALLOT INITIATIVE COMMITTEE☐ INDEPENDENT EXPENDITURE-ONLY PAC\*\*

\*\* May not make direct contributions or coordinated expenditures

5. POLITICAL COMMITTEE'S AREA OF ACTIVITY, SCOPE, AND PARTY AFFILIATION.

A. THIS COMMITTEE WILL PRIMARILY OPERATE IN THE FOLLOWING COUNTY(IES) OR DISTRICT(S):  
(not applicable if operating statewide or supporting/opposing statewide candidates or ballot initiatives)

B. POLITICAL PARTY AFFILIATION:

C. NAME AND ADDRESS OF EACH SPONSORING ENTITY:

6. PURPOSE OF THE POLITICAL COMMITTEE.

7. CANDIDATE(S) THE COMMITTEE IS SUPPORTING OR OPPOSING. (IF AMENDING, LIST ALL AS OF TODAY'S DATE.)

NAME AND ADDRESS

SUPPORT

OPPOSE

OFFICE

PARTY AFFILIATION

☐

COMMITTEE NAME

POLITICAL COMMITTEE IDENTIFICATION No.:

## 8. REQUIRED COMMITTEE OFFICERS.

POSITION

NAME

MAILING ADDRESS DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS

CHAIRMAN

TREASURER

## 9. POSITION, NAME &amp; MAILING ADDRESS OF EACH CUSTODIAN OF THE COMMITTEE'S BOOKS AND ACCOUNTS

POSITION

NAME

MAILING ADDRESS DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS

10. LIST OF ALL FINANCIAL INSTITUTIONS AND OTHER REPOSITORIES OF THE COMMITTEE FUNDS.  
(IF AMENDING, LIST ALL AS OF TODAY'S DATE.)

NAME

MAILING ADDRESS AND PHONE NUMBER

## 11. DISPOSITION OF RESIDUAL FUNDS IN THE EVENT OF DISSOLUTION OR TERMINATION OF THE COMMITTEE:

☐ RETURN TO CONTRIBUTORS IN AMOUNTS NOT TO EXCEED THEIR INDIVIDUAL CONTRIBUTIONS.☐ TRANSFER TO ANOTHER POLITICAL COMMITTEE☐ TRANSFER TO A CHARITABLE ORGANIZATION.

IF MORE SPACE FOR INFORMATION IS REQUIRED PLEASE ATTACH ADDITIONAL SHEETS.

## VERIFICATION- BALLOT INITIATIVE COMMITTEES ONLY

I DECLARE THAT THIS BALLOT INITIATIVE COMMITTEE IS FORMED FOR THE PURPOSE OF SUPPORTING OR OPPOSING A QUESTION OF PUBLIC POLICY. ALL CONTRIBUTIONS AND EXPENDITURES OF THE COMMITTEE WILL BE USED FOR THE PURPOSE DESCRIBED IN THIS STATEMENT OF ORGANIZATION. THE COMMITTEE MAY ACCEPT UNLIMITED CONTRIBUTIONS FROM ANY SOURCE, PROVIDED THAT THIS BALLOT INITIATIVE COMMITTEE DOES NOT MAKE CONTRIBUTIONS OR EXPENDITURES IN SUPPORT OF OR OPPOSITION TO A CANDIDATE OR CANDIDATES FOR NOMINATION FOR ELECTION, ELECTION, OR RETENTION, AND FAILURE TO ABIDE BY THESE REQUIREMENTS SHALL DEEM THIS COMMITTEE IN VIOLATION OF THIS ARTICLE. (12 ILCS 5/9)

PRINTED AND WRITTEN SIGNATURE OF COMMITTEE CHAIRPERSON

DATE

## VERIFICATION: INDEPENDENT EXPENDITURE COMMITTEES ONLY

I DECLARE THAT (1) THIS INDEPENDENT EXPENDITURE COMMITTEE IS FORMED FOR THE EXCLUSIVE PURPOSE OF MAKING INDEPENDENT EXPENDITURES. (2) ALL CONTRIBUTIONS AND EXPENDITURES OF THE COMMITTEE WILL BE USED FOR THE PURPOSE DESCRIBED IN THE STATEMENT OF ORGANIZATION. (3) THE COMMITTEE MAY ACCEPT UNLIMITED CONTRIBUTIONS FROM ANY SOURCE, PROVIDED THAT THE INDEPENDENT EXPENDITURE COMMITTEE DOES NOT MAKE CONTRIBUTIONS TO ANY CANDIDATE, POLITICAL COMMITTEE, POLITICAL PARTY COMMITTEE OR POLITICAL ACTION COMMITTEE, AND FAILURE TO ABIDE BY THESE REQUIREMENTS SHALL DEEM THE COMMITTEE IN VIOLATION OF THIS ARTICLE.

George A. Cardenas

PRINTED AND WRITTEN SIGNATURE OF COMMITTEE CHAIRPERSON

DATE

## VERIFICATION: ALL POLITICAL COMMITTEES

I DECLARE THAT THIS STATEMENT OF ORGANIZATION (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND, TO THE BEST OF MY KNOWLEDGE AND BELIEF, IS A TRUE, CORRECT, AND COMPLETE STATEMENT OF ORGANIZATION AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE STATEMENT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000.

PRINTED AND WRITTEN SIGNATURE OF TREASURER OR CANDIDATE

DATE

THE ILLINOIS STATE BOARD OF ELECTIONS REQUIRES THE DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 79-1183. WILLFUL FAILURE TO FILE OR WILLFUL FILING OF FALSE OR INCOMPLETE INFORMATION REQUIRED BY THIS ARTICLE SHALL CONSTITUTE A BUSINESS OFFENSE SUBJECT TO A FINE OF UP TO \$5000. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT.

ALL POLITICAL COMMITTEES RETURN TO:

STATE BOARD OF ELECTIONS  
2329 S MACARTHUR BLVD  
SPRINGFIELD, IL 62704-4503  
fax: 217-557-5530

e-mail: D1@ELECTIONS.IL.GOV(D-1s ONLY)

13

STATE BOARD OF ELECTIONS  
JAMES R. THOMPSON CENTER  
100 W RANDOLPH ST. STE 14-100  
CHICAGO, IL 60601-3232

fax: 312-814-6485

e-mail: D1@ELECTIONS.IL.GOV(D-1s ONLY)

**CERTIFICATE OF SERVICE**

The undersigned, an attorney, certifies that the foregoing Document was served upon the Illinois State Board of Elections by first class mail with proper postage prepaid and by fax to General Counsel Steven S Sandvoss, 2329 S. MacArthur Boulevard Springfield, IL 62704 and Fax 217 – 782 – 5959 on July 25, 2013.

  
\_\_\_\_\_  
James P. Nally, P.C.

STATE OF ILLINOIS )  
 ) SS  
COUNTY OF SANGAMON )

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

In the Matter Of: )  
 Illinois State Board of Elections )  
 Complainant(s), )  
 Vs. ) 13 MA 019  
 )  
 Friends of Sam Yingling )  
 Respondent(s). )

## FINAL ORDER

TO: Friends of Sam Yingling ID# 23561  
1919 IL Route 83  
Round Lake Beach, IL 60073-5108

This matter coming to be heard this 16<sup>th</sup> day of September, 2013, as an appeal of the imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,


### THE BOARD FINDS:

1. In case number 13 MA 019, a \$100.00 civil penalty was initially assessed against the Respondent for the delinquent filing of the December 2012 Quarterly report; appeal was taken from this assessment, and
2. In case number 13 MA 019, a \$500.00 civil penalty was initially assessed against the Respondent for the delinquent filing of a Schedule A-1 during the fourth quarter of 2012; appeal was taken from this assessment, and
3. The committee was previously assessed a penalty of \$2750.00, which was stayed as a first violation, for the delinquent filing of the March 2011 quarterly report (11 MQ 097). This assessment was not appealed, and
4. The recommendation of the Hearing Officer, in which the General Counsel concurs, is that the appeal be granted.

IT IS ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted and the appeal is GRANTED; and
2. The previously assessed penalty of \$2750.00 remains stayed as a first violation, and
3. The committee file an amended December 2012 quarterly report to correctly reflect the receipt date of the Schedule A-1 contribution in question within 30 days of the effective date of this Order, and
4. Failure to do so may result in the imposition of a civil penalty not to exceed \$5000.00, and
5. The effective date of this Order is September 17, 2013, and
6. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 9/17/2013

  
Jesse R. Smart, Chairman

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections  
**Complainant**

Vs.

13 MA 019

Friends of Sam Yingling 23561  
**Respondent**

**REPORT OF HEARING EXAMINER**

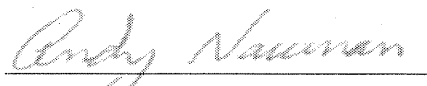
Appeal of Civil Penalty Assessment for Delinquently Filing a  
Schedule A-1 in the 4<sup>th</sup> Quarter of 2012 and the December 2012 Quarterly Report

The committee received a \$1,000 contribution on 10/4/12, and reported this contribution to the Board on 11/5/12, 16 days late, resulting in a civil penalty assessment of \$500. The December 2012 Quarterly Report was received by the Board on 1/16/13, 1 day late, resulting in a civil penalty assessment of \$100. Additionally, the Committee had previously been assessed a \$2,750 civil penalty (not appealed, stayed) for delinquently filing the March 2011 Quarterly Report. The total assessment is \$3,350.

The Respondent was represented by attorney Michael Kasper at the May 20<sup>th</sup> appeal hearing.

Michael Kasper supplied the hearing officer with a copy of a check image regarding the Richard Shapiro \$1,000 contribution. The check image shows the check was deposited on 11/6/12. Mr. Kasper stated that the committee timely filed a Schedule A-1 for this contribution for the committee actually filed the Schedule A-1 before the deemed receipt date. In relation to the December 2012 Quarterly Report Mr. Kasper stated that the committee tried to file the report on the last day to file however they had a problem with the electronic filing program which prevented them from filing the report. Mr. Kasper stated that the report was faxed in at 12:15 AM.

The deposit date listed on the check image in accordance with the Schedule A-1 filed on 11/5/12 shows that the Committee would have reported the Richard Shapiro contribution prior to the deemed receipt date. I recommend the appeal be granted. However, considering the contribution should have actually been reported as being received on 11/6/12 rather than 10/4/12 I also recommend the Committee to amend their December 2012 Quarterly Report to reflect the deemed receipt date within 30 days of the Board's Final Order. Failure to comply with the said Order could subject the Committee to a penalty not to exceed \$5,000. In relation to the December 2012 Quarterly Report it is documented in an IDIS ticket that the committee contacted the State Board of Elections on 1/16/12 at 8:20 AM., and IT staff had to correct an SQL Server problem on the committee's computer. Taking this into consideration and in order to be consistent with previous Board decisions when an electronic filing issue is presented, I recommend the Board grant the appeal for the December 2012 Quarterly Report. If this recommendation is accepted by the Board, the stay on the previously assessed \$2,750 civil penalty will remain in place. (As of 3/31/13, this Committee reported a funds available balance of \$14,331.96.)

  
Andy Nauman – Hearing Examiner  
June 19, 2013

13 OCT 24 PM 1:23

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF:

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant,

v.

CITIZENS FOR LAWRENCE JACKSON,

Respondent.

139Q166

**OFFER OF SETTLEMENT**

NOW COMES the Respondent, CITIZENS FOR LAWRENCE JACKSON ("Respondent"), by through its attorneys, ORR & ASSOCIATES, and hereby makes an offer of settlement in relation to certain civil penalties assessed against it for failure to timely file its June Quarterly Report of Campaign Contribution and Expenditures, and in support hereof, states as follows:

1. On July 30, 2013, Respondent filed its Quarterly Report of Campaign Contributions and Expenditures for the April 1, 2013 through June 30, 2013 reporting period.
2. Said filing was eleven (11) days late of the filing period deadline.
3. On September 11, 2013, the State Board of Elections mailed Respondent a notice of assessment of fines in the amount of \$275.00 related to the late filing *infra*, which is attached hereto as Exhibit A. In addition, Respondent had a stayed fine in the amount of \$175.00 related to a late filing of its Statement of Organization (A-1) which was now due and owing, resulting in a total fine of \$450.00.
4. Although the Respondent does not dispute his liability for the fines assessed, the violations were not the result of wilful or wanton actions but rather a result of inadvertence, and,


other than the foregoing violations, the Respondent has faithfully complied with the reporting requirements under the Election Code.

5. Respondent respectfully asks the State Board of Elections of the State of Illinois to exercise its discretion and accept an offer of settlement in relation to the aforementioned fine in the amount of \$225.00—or fifty percent (50%) of the full fine amount.

WHEREFORE, Respondent, CITIZENS FOR LAWRENCE JACKSON, respectfully requests that the State Board of Elections of the State of Illinois accept the offer of settlement as set forth above and grant any further relief it deems just.

Dated: October 24, 2013

Respectfully submitted,

  
\_\_\_\_\_  
One of its attorneys

Matthew M. Welch  
KATHLEEN FIELD ORR & ASSOCIATES  
53 W. Jackson Blvd., Suite 960  
Chicago, Illinois 60604  
(312) 382-2113  
Attorney No. 37732



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 11, 2013  
ID# 24338

BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Citizens for Lawrence Jackson  
Lawrence L Jackson  
14501 S Union Ave  
Riverdale, IL 60827

7011 2970 0003 6441 6614

Dear Citizens for Lawrence Jackson:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contribution and Expenditures  
Report Period: April 1, 2013 through June 30, 2013  
Filing Period: July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/30/2013, 11 day(s) late. As such, this committee has been assessed a fine of \$275.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
	A-1	\$175
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$450</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director,  
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

**Ex. A**

[www.elections.il.gov](http://www.elections.il.gov)

**TRISTAN & CERVANTES**  
ATTORNEYS AT LAW

30 West Monroe Street  
Suite 630  
Chicago, Illinois 60603  
T. 312 345 9200  
F. 312 345 1533  
[www.tristancervantes.com](http://www.tristancervantes.com)

STATE BOARD OF ELECTIONS

13 OCT 30 PM 12:14

October 28, 2013

**VIA U.S. CERTIFIED MAIL & FACSIMILE 217.782.5959**

Illinois State Board of Elections  
Springfield Office  
2329 S. MacArthur Blvd.  
Springfield, IL 62704

13MA056

Re: HACIA PAC (ID# 25109)

To Whom It May Concern:

The law firm of Tristan and Cervantes represents the legal interests of HACIA PAC. This correspondence sets forth HACIA PAC's request to settle the above referenced matter. In the matter of Illinois State Board of Elections v. HACIA PAC, the Board imposed a civil penalty in the amount of five thousand three hundred dollars (\$5,300.00) on September 17, 2013.

HACIA PAC would like to propose a settlement offer in the amount of two thousand six hundred and fifty dollars (\$2,655.00) to resolve the aforementioned civil penalty. In addition, HACIA PAC would request a payment plan of at least 3 months to be able to complete the final payments.

We will call your offices this week in order to more fully discuss this matter, and finalize any agreement we may enter into with the State Board of Elections. If you have any questions, contact me at 312.345.9200.

Very truly yours,

**TRISTAN & CERVANTES**

Homero Tristan

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

13 MA 054

Friends of Monique Davis 1059

**Respondent**

**REPORT OF HEARING OFFICER**

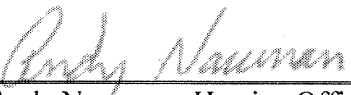
Appeal of Civil Penalty Assessment for Delinquently Filing  
A Schedule A-1 in the 1<sup>st</sup> Quarter of 2013

This committee received a \$1,150 contribution on 3/1/13 and reported it on a Schedule A-1 received by the Board on 3/15/13, 4 days late, resulting in a civil penalty assessment of \$575. Additionally, the Committee had previously been assessed four \$50 civil penalties (appealed, reduced, paid) for delinquently filing a Schedule A-1 in the 3<sup>rd</sup> Quarter of 2011, two \$100 civil penalties (appealed, reduced, paid) for delinquently filing a Schedule A-1 in the 3<sup>rd</sup> Quarter of 2011; a \$500 civil penalty (appealed, denied, paid) for delinquently filing a Schedule A-1 in the 1<sup>st</sup> Quarter of 2012; a \$750 civil penalty (appealed, appeal pending) for delinquently filing a Schedule A-1 in the 4<sup>th</sup> Quarter of 2010; and a \$50 civil penalty (appealed, appeal pending) for delinquently filing the December 2012 Quarterly Report. The total assessment for this appeal not considering the pending appeals is \$575.

The Respondent was represented by attorney Michael Kasper at the October 30<sup>th</sup> appeal hearing. Monique Davis the candidate was contacted via telephone during the appeal hearing.

Michael Kasper and Monique Davis stated that the Friends for Monique Davis received an in-kind notification form from Friends of Michael Madigan on 3/15/13. The Committee accidentally listed the date of receipt as the date listed on the notification, 3/1/13, rather than the date the in-kind notification was received. Mr. Kasper presented the hearing officer with a copy of the Schedule A-1 that was filed on 3/15/13, a letter from Monique Davis explaining the situation, a copy of the check that Friends of Michael Madigan wrote to Webster Construction Group, Inc and a copy of the in-kind contribution notification.

The evidence presented along with the testimony given by the candidate, Monique Davis, shows that the Friends of Monique Davis reported the wrong receipt date for the in-kind contribution and that the Committee would have timely reported the contribution when the appropriate receipt date is taken into consideration. I recommend the appeal be granted. I also recommend the Committee to amend their June 2013 Quarterly Report to reflect the actual receipt date, 3/15/13, within 30 days of the Board's Final Order. Failure to comply with the said Order could subject the Committee to a penalty not to exceed \$5,000. (As of 9/30/13, this Committee reported a funds available balance of \$99,053.83.)

  
Andy Nauman – Hearing Officer  
October 30, 2013

STATE BOARD OF ELECTIONS

13 OCT -3 PM 3:26

State of Illinois )  
County of : Cook )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. 13AM054

Friends for Monique Davis

Respondent(s).

APPEAL AFFIDAVIT

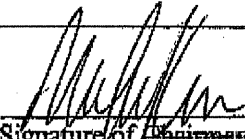
I, Michael J. Kasper, the Attorney of the  
(Name) (Chairman/Treasurer)

Friends for Monique Davis

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Penalty was wrongfully assessed. Reasons to be presented at the hearing.

  
Signature of Chairman/Treasurer  
/Attorney

Signed and Sworn to by:

Michael J. Kasper

before me this 3rd Day of October, 2013

Notary Public

(seal)

OFFICIAL SEAL  
DORENE M EGAN  
NOTARY PUBLIC - STATE OF ILLINOIS  
MY COMMISSION EXPIRES 08/16/16

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Bryan A. Schneider  
Cassandra B. Watson

EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 11, 2013

Friends for Monique Davis  
PO Box 43637  
Chicago, IL 60643-0637

ID# 1059

7011 2970 0003 6441 7543

Dear Friends for Monique Davis:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Friends of Michael J. Madigan	3/1/2013	\$1150	3/15/2013	4	\$575

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$575.00 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the third or subsequent delinquent Schedule A-1 filing by your committee, the civil penalty will be \$575.00, (100% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The fine will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
2013	Multi-Assessment	\$50
2013	Multi-Assessment	\$750
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$1375</b>

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**13 AJ 013**

Citizens for Scott                      ID# 7433  
**Respondent**

**REPORT OF HEARING OFFICER**

**Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report**

The Committee received a \$7,297.59 contribution on 5/10/13 and reported it on a Schedule A-1 received by the Board on 7/15/13, 39 days late, resulting in a civil penalty assessment of \$3,649.

Bryan G Selander, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Selander states the contribution in question was actually one half of a loan received from the candidate and his wife from their joint account. He says contributions from the two represented the only receipts for the Committee, and he did not realize the requirement to file a Schedule A-1 applied. Mr. Selander notes that a one-time amnesty was given to the Committee in regards to the delinquent filing of an A-1 for the loan from the candidate himself, and he asks that the same grace be applied to both portions of the loan.

While it is true that the Board has allowed a one-time amnesty in cases where a committee fails to file a Schedule A-1 to report a contribution from the candidate to their own committee, this is typically applied to contributions only from the candidate, not a spouse. However, in this instance, since the two reported contributions were actually a single contribution broken down between husband and wife for reporting purposes, it is logical to apply the amnesty to both portions of the loan. I therefore recommend the appeal be granted. (As of 9/30/13, this Committee reported a funds available balance of \$2,485.86.)



---

Tom Newman – Hearing Officer  
November 4, 2013

State of Illinois )  
County of: Winnebago )

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

13 OCT 15 PM 12:59

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Citizens for Scott )  
Respondent(s). )

Case No. 13A9013

APPEAL AFFIDAVIT

I, Bryan G. Selander, the Treasurer of the  
(Name) (Chairman/Treasurer)

Citizens for Scott

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

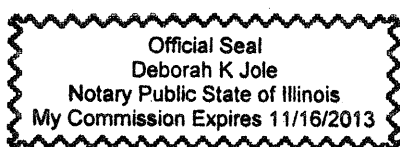
See attached.

[Signature]  
Signature of Chairman/Treasurer

Signed and Sworn to by:

[Signature]  
before me this 9th Day of October, 2013

Notary Public  
(seal)



Attachment to APPEAL AFFIDAVIT  
Illinois State Board of Elections Vs. Citizens for Scott  
October 9, 2013

STATE BOARD OF ELECTIONS  
18 OCT 15 PM 12:03

On May 10, 2013 Citizens for Scott received a loan totaling \$14,595.19. The treasurer for Citizens for Scott allocated the loan between the candidate, Douglas Scott (\$7,297.60) and his wife Tammy Scott (\$7,297.59), grantors. The purpose of the loan was to pay the balance due Stillman Bank on a loan so the committee could position itself to file a final report which it intends to do within 60 days. It has been the practice of the treasurer to divide the receipt of monthly loan receipts equally between this husband and wife who are joint tenants on the account.

With the exception of receiving monthly loans from the candidate and his wife and paying the monthly payment to Stillman Bank there has been no activity for the committee and the treasurer did not realize the requirement to file the Schedule A-1 until the filing of the Quarterly report.

On August 20, 2013, Director Steward wrote to the committee about Douglas Scott's share of the loan indicating, "However, since the contribution(s) in this instance were from the candidate to their own committee, and since the committee has not previously been cited for this type of violation, no civil penalty will be assessed for this violation at this time."

Since the transaction at issue was a single transaction which was simply divided by the committee's treasurer between the candidate and his wife the committee requests that the grace extended by the August 20 letter apply to both portions of the single loan.



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 11, 2013  
ID# 7433

BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Bryan A. Schneider  
Cassandra B. Watson

Citizens for Scott  
Bryan G Selander  
4023 Charles St  
Rockford, IL 61108-6135

7011 2970 0003 6441 8601

Dear Citizens for Scott:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Tammy Scott	5/10/2013	\$7297.59	7/15/2013	39	\$3649


As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$3649.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$365.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

  
Sharon Steward, Director  
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

\* This contribution was reported on the June Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**13 AJ 026**

Citizens for Kurt Prenzler ID# 19756  
**Respondent**

**REPORT OF HEARING OFFICER**

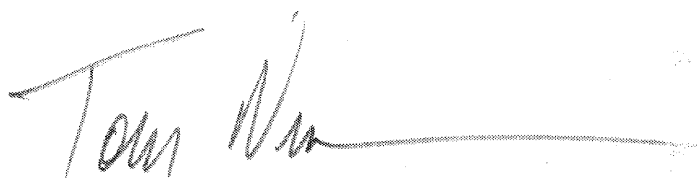
Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$2,500 contribution on 5/6/13 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$1,250.

Kurt Prenzler, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Prenzler states the contribution in question was never actually received. He says the Committee received one \$2,500 contribution from Truck Centers Inc on 5/4/13, and that contribution was reported on a Schedule A-1. Mr. Prenzler says the Committee Treasurer accidentally reported the same contribution twice, with different dates, on the Quarterly Report. He adds that the Committee's Report has since been amended to delete the duplicate entry.

I see no reason to doubt the Committee's explanation that the contribution in question never actually occurred. I therefore recommend the appeal be granted. (As of 9/30/13, this Committee reported a funds available balance of \$29,074.08.)



---

Tom Newman – Hearing Officer  
October 31, 2013

State of Illinois )  
County of : Madison )

STATE BOARD OF ELECTIONS

18 OCT 15 PM 10:58

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
 )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
 )  
Complainant )  
 )  
Vs. )  
 )  
Citizens for Kurt Brenzler )  
Respondent(s). )

Case No. 13A9026  
ID # 19756

APPEAL AFFIDAVIT

I, Kurt Brenzler, the Candidate of the  
(Name) (Chairman/Treasurer) Candidate  
Citizens for Kurt Brenzler  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

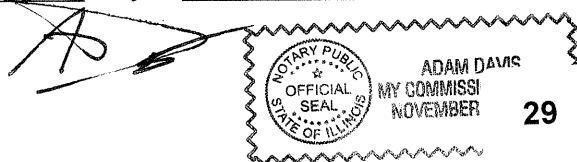
A \$2,500 contribution from Troy Truck Center was  
received 5-4-13. An A-2 was filed.  
Unfortunately, my treasurer entered this  
contribution twice on the second quarter  
report. That second contribution entry has been deleted.

[Signature]  
Signature of Chairman/Treasurer  
Candidate

Signed and Sworn to by:  
Kurt Brenzler

before me this 8th Day of October, 20 13

Notary Public  
(seal)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 11, 2013  
ID# 19756

BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Bryan A. Schneider  
Cassandra B. Watson

Citizens for Kurt Prenzler  
Kurt Prenzler  
2701 Falcon Crest Drive  
Edwardsville, IL 62025

7011 2970 0003 6440 6691

Dear Citizens for Kurt Prenzler:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Truck Centers, Inc	5/6/2013	\$2500	*not filed	43	\$1250


As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1250.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$125.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

  
Sharon Steward, Director  
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

\* This contribution was reported on the June Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**13 JQ 108**

Kapitan for DeKalb                      ID# 22055  
**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquent Filing of the  
June 2013 Quarterly Report

The Report was received by the Board on July 16, 2013, 1 day late, resulting in a civil penalty assessment of \$50. Additionally, the Committee had previously been assessed a \$50 civil penalty (not appealed, stayed) for delinquent filing of the March 2012 Quarterly Report. The total assessment is \$100.

Steve C Kapitan, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Kapitan states that he mailed the Report on July 12, by handing the envelope to the postal clerk in DeKalb, and asking him to postmark the mailing. Mr. Kapitan says that although the clerk does not remember the event, he assured him that he would have postmarked it on that date. He adds that it is not fair to be penalized because of an illegible postmark.

The envelope containing the Committee's Report showed a cancellation mark, but no visible postmark. Section 100.125 of the Board's Rules and Regulations states in part that in such cases, if "*it is alleged by the treasurer, chairman or candidate on a signed and notarized affidavit verifying that the report was mailed more than 72 hours prior to the filing deadline, and this is the first time the committee has made this claim as part of its appeal...the report will be deemed to have been timely received.*" Since this Committee has not previously made such a claim, I recommend the appeal be granted. If this recommendation is accepted by the Board, the stay will be returned to the previous \$50 civil penalty. (As of 9/30/13, this Committee reported a funds available balance of \$253.93.)



---

Tom Newman – Hearing Officer  
October 31, 2013

State of Illinois )

County of: DE KALB )

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

18 OCT 15 PM 12:59

IN THE MATTER OF; )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. )

KAPITAN FOR DEKALB  
Respondent(s). )  
STEVE KAPITAN )

Case No. 22055 139Q108  
(ID #)

APPEAL AFFIDAVIT

I, STEVE C KAPITAN, the CHAIRMAN of the  
(Name) (Chairman/Treasurer)

KAPITAN FOR DEKALB  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

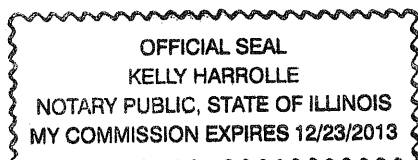
ON FRIDAY JULY 12, 2013, THREE DAYS BEFORE THE DUE DATE OF JULY 15, 2013,  
I HANDED ENVELOPE WITH THE QUARTERLY REPORT TO THE POSTAL CLERK  
AT THE DE KALB POST OFFICE COUNTER ASKING HIM TO POST MARK THE  
MAILING. WHILE HE DOES NOT REMEMBER THE EVENT, HE ASSURED ME  
THAT HE WOULD HAVE POST MARKED IT. IT IS NOT FAIR TO BE PENALIZED  
BECAUSE OF AN ILLEGIBLE POST MARK.

Steve C Kapitan  
Signature of Chairman/Treasurer

Signed and Sworn to by:

before me this 11th Day of October, 2013

Notary Public  
(seal)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 11, 2013  
ID# 22055

BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Kapitan for DeKalb  
Steve Kapitan  
1228 Sycamore Rd  
DeKalb, IL 60115-2441

7011 2970 0003 6441 7215

Dear Kapitan for DeKalb:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/16/2013, 1 day(s) late. As such, this committee has been assessed a fine of \$50.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
1/1/2012-3/31/2012	Quarterly	\$50
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$100</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward".

Sharon Steward, Director,  
Campaign Disclosure Division

SS: lm  
Enclosure(s): appeal packet

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**13 JQ 147**

Friends of Jeff Kibler      ID# 23787  
**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquent Filing of the  
June 2013 Quarterly Report

The Report was received by the Board on August 13, 2013, 21 days late, resulting in a civil penalty assessment of \$525.

Jeffrey R Kibler, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Kibler states that the Committee tried to file the Report electronically more than a dozen times in early July, but had repeated problems with the filing software. He says reinstalling the software was unsuccessful. Before the Committee was able to file the Report, Mr. Kibler says the Treasurer's daughter required a several week hospitalization over 90 miles away without computer access, further delaying the filing. To ensure the problem doesn't happen again, he says the Committee has migrated to the new IDIS 3.0 system.

Although the three week delay in filing is a concern, it appears understandable in this case. In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. (As of 9/30/13, this Committee reported a funds available balance of \$243.19.)



---

Tom Newman – Hearing Officer  
October 31, 2013



State of Illinois )  
 )  
County of : \_\_\_\_\_ )

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

13 OCT 11 AM 10:49

IN THE MATTER OF; )  
 )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
 )  
Complainant )  
 )  
Vs. ) Case No. 1390147  
 )  
FRIENDS OF JEFF KIBLER )  
Respondent(s). )

APPEAL AFFIDAVIT

I, JEFFREY R KIBLER, the CHAIRMAN of the  
(Name) (Chairman/Treasurer)

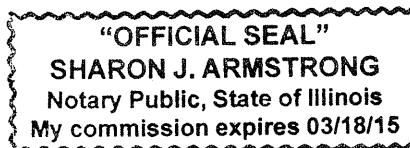
FRIENDS OF JEFF KIBLER  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

IN EARLY JULY, we attempted more than a dozen times to file Q2 reports electronically. Each time,  
IDIS 2.0 threw exceptions. We re-installed IDIS and SQL Server multiple times with no success. These efforts  
occurred prior to the deadline. Soon after, the Chairman/Treas. daughter required several week hospitalization over 90 miles away.  
With no computer access, tech support was further delayed. In early August, we resolved the issue through a complete overhaul of  
the system. To ensure this never occurs again, the committee migrated to IDIS 3. Q3 report is already filed.

Jeffrey R Kibler  
Signature of Chairman/Treasurer

Signed and Sworn to by:  
Sharon J. Armstrong  
before me this 7 Day of Oct, 2013  
Notary Public  
(seal)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jessie R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Bryan A. Schneider  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 11, 2013

Friends of Jeff Kibler  
Jeff Kibler  
4005 Farhills Drive  
Champaign, IL 61822

ID# 23787

7011 2970 0003 6441 6539

Dear Friends of Jeff Kibler:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 8/13/2013, 21 days late. As such, this committee has been assessed a fine of \$525.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold 217-782-1543.

Sincerely,

Sharon Steward, Director  
Campaign Disclosure Division

SS: lm  
Enclosure(s): appeal packet

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

**13 MA 041**

v.

Drew Muffler for Mayor  
**Respondent**

ID# 24837

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquent Filing of the March 2013 Quarterly Report  
and a Schedule A-1 Report

The March 2013 Quarterly Report was received by the Board on May 17, 2013, 24 days late, resulting in a civil penalty assessment of \$1,200. Additionally the Committee received a \$4,000 contribution on 5/3/13, and reported it on a Schedule A-1 received by the Board on 7/1/13, 35 days late, resulting in a civil penalty assessment of \$2,000. The total assessment is \$3,200.

Tammy Roberts, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Roberts states that she initially filed the Quarterly Report on paper, but was later informed that it had to be filed electronically. She says she had numerous problems installing and using the IDIS electronic filing software, which required multiple calls to Board staff for assistance. Ms. Roberts says this is what led to the late filings of both the Quarterly Report and the Schedule A-1.

A review of Board records indicates the Committee did have electronic filing issues that required staff intervention to correct. In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee had not previously used such a defense, I recommend the appeal be granted for both the Quarterly and A-1 Reports. (As of 6/30/13, this Committee had filed a Final Report and reported a funds available balance of \$0.)



---

Tom Newman – Hearing Officer  
October 31, 2013

State of Illinois )  
County of : Grundy )

STATE BOARD OF ELECTIONS

13 OCT -9 PM 1:59

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Respondent(s). )  
Drew Muffler for Mayor )

Case No. 13MA041  
24837

APPEAL AFFIDAVIT

I, Tammy Roberts, the Treasurer of the  
(Name) (Chairman/Treasurer)  
Drew Muffler for Mayor  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Letter enclosed with reason of defense.

Tammy S. Roberts  
Signature of Chairman/Treasurer

Signed and Sworn to by:  
Coral A Bleifield  
before me this 7<sup>th</sup> Day of October, 20 13

Notary Public  
(seal) OFFICIAL SEAL  
CORAL A BLEIFIELD  
NOTARY PUBLIC, STATE OF ILLINOIS  
MY COMMISSION EXPIRES 03/27/2017

13 OCT -9 PM 1:59

ATTEN: Campaign Disclosures  
2329 S. MacArthur Blvd.  
Springfield, IL 62704-4503

Dear Board Members:

I have enclosed Request for hearing paper sent to me. I am the treasurer and the only person responsible for any and all penalties alleged committed by the Drew Muffler for Mayor (24837). Any delinquent filing of reports, with a possible exception of the 1st quarter report, due to any action of mine.

The first quarterly reports I misunderstood, thinking I had a choice of mailing or electronic filing it. The letter received from the State Board of Elections was written on May 13, 2013. Once I received the letter, I called the telephone number (217) 782-4141, spoke to Kim. She helped with the downloading and set up of your IDIS program.

After I began entering information, I noticed, the entities did not all transfer to the expenditures or receipts. So later that day I made my second call and was transferred to your IT department. I stated to Eric this was the second call, his response was "what's your problem now", he was rude. I explained and with my permission he entered my computer with Dual Desk, 44 minutes later, changing settings and going in and out of my C drive. IDIS seemed to be fixed. It wasn't.

I asked about the penalties, was told not to worry, I don't remember who told me that.

Repeatedly I had to reenter entities and their transactions, It seemed if I closed the program the reentered information it worked until it didn't. I did this though out until it appeared to be complete. To further complicate my duties, IDIS did not backup, generate reports and could not send error messages to the IT team.

On April 15, 2013 I electronically filed my D-2.

On May 9, 2013 I entered PPG's contribution of \$4000. It took several attempts before it showed on IDIS. An A1 did not generate nor any report due. To be on the safe side, knowing I had 5 days to report PPG's donation, on May 10 it was faxed. On May 13 another failure to report letter was generated and mailed to me.

On June 6, 2013 after all the error messages one reached the IT department. I wrote the IT department all the problems I was having with IDIS. I received confirmation. I received no help.

On July 1, 2013 IDIS messaged "A-1 Report Due", however I was not able to view the report. I had no idea what it was for. Working in the dark, on the A-1 I wrote, "I believe this if for PPG." This is when the program generated the report for the first time.

I continued working on my Final Report after completion, I attempted to file again and again IDIS would not file. It showed a \$74.55 asset, which was wrong. That error balance disallowed me to finalize.

Disgusted I manually reenter everything on the D-2 form wrote a letter explaining the difficulties I was experiencing and faxed everything on July 12, 2013. The letter was ignored, no one called to offer help. I received my last penalty letter.

On July 16, 2013 I spoke to Jason Meyer, I was advised to ignore penalty letters, assessing technical problems. Secondly, his system showed I was thousands of dollars in debt and for the second time I explained to him all the problems I was having with the IDIS program (was unable to backup my work, repeatedly having to reenter entities, inability to view reports etc...). He advised me to reenter, go to the State Board of Elections website to view my reports, which I did but the report showed only final balance. It was useless as far as finding missing information causing the debt. Lastly he removed my status from final to active.

On July 23, I call Jason back, once again he advised me to reenter my information. I exenterated I could not reenter transaction I could not see. He transfered me to the IT team. At 2:03p.m. I spoke to Adam. After explain once again my problems with IDIS, using Dual Desktop, he took control of my computer, he did not possess the knowledge to be of any assistance to me. He turned me over to Kalpna. After questioning Kalpna, she advised I should not have to reenter the 1st quarter D-2. At 2:36 all the work Kalpna had done to this point, somehow got lost. She had to start all over.

She installed Crystal Report onto my C drive. It did not install in April, explaining it was this program which created the ability to see what I was sending out. She than walked me through backup on my C drive, for whatever reason backing up on D: would not work. Kalpna addressed all my issues. At 3:05 I thanked her, our conversation was over.

The first report I printed showed the funds available were\$37978.46, I began the tedious task of auditing my figures against your figures. Entities were deleted on the 1st. Quarterly, creating amendments. I do not know how many I created. On all those amendment reason for "tech problems". One amendment only, was due because I entered the wrong amount.

The vast majority were deleted entities were on the 1st. Quarterly Report. I had to reference back and forth checks, D2s, new reports generated after every amendment and Quicken reports. Hours were put into this, which could have all been unnecessary in April. Had messages, telephone calls and letters not been ignored my frustration would never reached the level it did.

I did my duty as treasurer. There were no willful or wanton violations. I did not negligent in my duties for my committee or the Illinois State Board of Elections.

Respectfully,

Tammy S. Roberts

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 11, 2013

BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Bryan A. Schneider  
Casandra B. Watson

Drew Muffler for Mayor  
Tammy Roberts  
245 Nelson Road  
Morris, IL 60450

ID# 24837

7011 2970 0003 6441 8212

Dear Drew Muffler for Mayor:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2013 through March 31, 2013
Filing Period:	April 1, 2013 through April 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 5/17/2013, 24 day(s) late. As such, this committee has been assessed a fine of \$1200.00.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
PPG	5/3/2013	\$4000	7/1/2013	35	\$2000

The committee is fined a **total** of \$2000.00 for delinquent electronically filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$200.00, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

**The total for all assessments in this letter is \$1400.00.**

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

13 JQ 230

Parents for Lisa Jackson 25293

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment


For Delinquently Filing the June 2013 Quarterly Report

The Report was received by the Board on July 16, 2013, 1 day late, resulting in a civil penalty assessment of \$50. In addition, this Committee had previously been assessed a \$25 civil penalty (not appealed, stayed) for delinquently filing the March 2013 Quarterly Report. The total assessment is \$75.

Lisa Jackson, the Candidate, called the hearing officer a week prior to the scheduled hearing and requested that the hearing officer write the Hearing Officer Report based on the information provided on the appeal affidavit and if the hearing officer had any questions to call her.

On the appeal affidavit Lisa Jackson wrote that she mailed the June 2013 Quarterly Report to the State Board of Elections in the envelope provided by the State Board of Elections on July 8, 2013, more than 72 hours prior to the filing deadline. She believes she cannot be held accountable for the post office not placing a legible post mark on the envelope.

Section 100.125 (b) of Board Rules and Regulations reads: *"If the envelope containing either of the Reports named in subsection (a) of this Section is not received by the Board, the envelope is received but does not have a postmark printed by the United States Postal Service, or if the postmark is illegible, the report will either be deemed to have not been received or deemed to have been received on the date the envelope officially arrives in the office of the State Board of Elections. However, if the political committee is assessed a civil penalty for failing to file or delinquently filing either of the reports and, as part of the committee's appeal of the civil penalty assessment, it is alleged by the treasurer, chairman or candidate on a signed and notarized affidavit verifying that the report was mailed more than 72 hours prior to the filing deadline, and this is the first time the committee has made this claim as part of its appeal, the presumptive date of receipt will be rebutted by the testimony contained in the affidavit and the report will be deemed to have been timely received. Since Ms. Jackson stated on the notarized Appeal Affidavit that she mailed the June 2013 Quarterly Report on July 8<sup>th</sup>, more than 72 hours prior to the filing deadline, and since this is the first time the committee has made this claim, I recommend that the appeal be granted. If this recommendation is accepted by the Board, the previously stayed fine of \$25 will remain stayed.*

  
Andy Nauman – Hearing Officer

October 17, 2013



State of Illinois )  
County of: Winnebago )

STATE BOARD OF ELECTIONS

13 OCT -4 PM 2:20

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Lisa Jackson )  
Respondent(s). )

Case No. 1390230

APPEAL AFFIDAVIT

I, Lisa Jackson, the Chairman/Treasurer of the  
(Name) (Chairman/Treasurer)  
Parents For Lisa Jackson  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

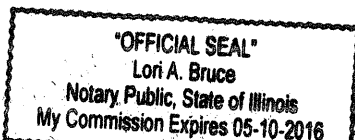
I mailed the monthly report off on July 8, 2013 in  
the envelope provided (the address: State Board of Elections  
PO Box 4187, Springfield, IL 62708-4187). I received an  
email on July 11, 2013 stating my report hadn't been

received; I emailed a copy and informed the Representative  
that I mailed it July 8, 2013. He stated if it was received and  
had a post mark before July 16, 2013 it would not be late.  
Later I received a note from State Board of Elections - that my Report was received but  
post mark not legible. I surmise that something happened on  
the post office end and the mishap was  
not my fault

Signed and Sworn to By:

[Signature]  
before me this 25 Day of September, 2013

Notary Public  
(seal)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 11, 2013  
ID# 25293

BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Parents for Lisa Jackson  
2007 Arthur Ave  
Rockford, IL 61101-5219

7011 2970 0003 6441 7369

Dear Parents for Lisa Jackson:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/16/2013, 1 day(s) late. As such, this committee has been assessed a fine of \$50.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
1/1/2013-3/31/2013	Quarterly	\$25
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$75</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward".

Sharon Steward, Director,  
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

13 MA 002

Friends of Monique Davis 1059

**Respondent**

**REPORT OF HEARING OFFICER**


Appeal of Civil Penalty Assessment for Delinquently Filing  
A Schedule A-1 in the 4<sup>th</sup> Quarter of 2012, and  
The December 2012 Quarterly Report

This committee received a \$1,500 contribution on 10/2/12 and reported it on a Schedule A-1 received by the Board on 10/16/12, 4 days late, resulting in a civil penalty assessment of \$750. The December 2012 Quarterly Report was received by the Board on 1/16/13, 1 day late, resulting in a civil penalty assessment of \$50. Additionally, the Committee had previously been assessed four \$50 civil penalties (appealed, reduced, paid) for delinquently filing a Schedule A-1 in the 3<sup>rd</sup> Quarter of 2011, two \$100 civil penalties (appealed, reduced, paid) for delinquently filing a Schedule A-1 in the 3<sup>rd</sup> Quarter of 2011; and a \$500 civil penalty (appealed, denied, paid) for delinquently filing a Schedule A-1 in the 1<sup>st</sup> Quarter of 2012. The total assessment is \$800.

The Respondent was represented by attorney Michael Kasper at the May 20<sup>th</sup> and October 30<sup>th</sup> appeal hearings.

Michael Kasper stated that the Schedule A-1 was delinquently filed and that it was done unintentionally and inadvertently. He also stated the December 2012 Quarterly Report was only filed one day late.

The Respondent did not dispute that the reports were delinquently filed. I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the assessment is \$750 in relation to the Schedule A-1, and \$50 in relation to the December 2012 Quarterly Report; the total assessment in the amount of \$800 will be due and owing. (As of 9/30/13, this Committee reported a funds available balance of \$99,053.83.)

  
Andy Nauman – Hearing Officer  
October 30, 2013

STATE BOARD OF ELECTIONS

13 APR -1 AM 9:06

State of Illinois )  
 )  
 County of Cook )

BEFORE THE STATE BOARD OF ELECTIONS  
 OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
 )  
 ILLINOIS STATE BOARD OF ELECTIONS, )  
 )  
 Complainant )  
 )  
 Vs. )  
 )  
 Friends for Monique Davis )  
 Respondent(s). )

Case No. 13 MA 002

## APPEAL AFFIDAVIT

I, Michael J. Kasper, the Attorney of the  
 (Name) (Chairman/Treasurer)  
Friends for Monique Davis  
 (Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

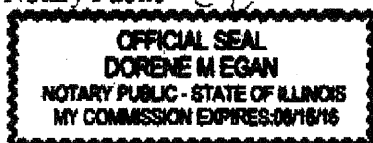
Reasons and defenses will be discussed at the hearing.

Signed and Sworn to by:

Michael J. Kasper  
 before me this 27<sup>th</sup> Day of

March, 2013

Dorene M. Egan  
 Notary Public



[Signature]  
 (Signature of Chairman/Treasurer)

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
March 15, 2013  
ID# 1059

BOARD MEMBERS  
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Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Friends for Monique Davis  
PO Box 43637  
Chicago, IL 60643-0637

7011 2970 0003 6442 9348

Dear Friends for Monique Davis:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contributions and Expenditures
Report Period:	September 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 16, 20123, 1 day(s) late. As such, this committee has been assessed a fine of \$50.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
SFAA-PAC	10/2/2012	\$1500	10/15/2012	4	\$750

The committee is fined a **total** of \$750 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the third delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$750, (100% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

**The total for all assessments in this letter is \$800.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed April 15. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

13 AJ 019

Downstate Democratic Caucus 14859

**Respondent**

**REPORT OF HEARING OFFICER**

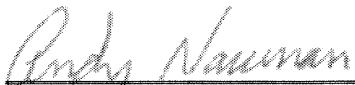
Appeal of Civil Penalty Assessment for Delinquently Filing  
A Schedule A-1 in the 2nd Quarter of 2013

This Committee received nine contributions ranging from \$1,000 to \$5,000 between 5/16/13 and 6/11/13 and reported them on a Schedule A-1 received by the Board on 6/25/13, ranging from 5 to 22 days late, resulting in civil penalty assessments of \$11,000. Additionally, the Committee was previously assessed a \$50 civil penalty (not appealed, reduced, stayed) for delinquent filing of a Schedule A-1 in the 2<sup>nd</sup> Quarter of 2011. The total assessment is \$11,000.

The Respondent was represented by attorney Michael Kasper at the October 30<sup>th</sup> appeal hearing.

Michael Kasper stated that the Schedule A-1's were delinquently filed and that it was done unintentionally and inadvertently.

The Respondent did not dispute that the reports were delinquently filed. I recommend the appeal be denied for lack of an adequate defense. In addition, since there is no indication that the Schedule A-1 violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 50% of the original assessment as a second violation, or \$5,500. If this recommendation is accepted by the Board, the stay will be lifted from the previously assessed \$50 civil penalty, and the total amount of \$5,550 will be due and owing. (As of 9/30/13, this Committee reported a funds available balance of \$86,216.07.)

  
Andy Nauman – Hearing Officer  
October 30, 2013

## STATE BOARD OF ELECTIONS

13 SEP 19 PM 3:51

State of Illinois )  
 )  
 County of Cook )

BEFORE THE STATE BOARD OF ELECTIONS  
 OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. 13A9019  
 # 14859

Downstate Democratic Caucus

Respondent(s).

## APPEAL AFFIDAVIT

I, Michael J. Kasper, the Attorney of the  
 (Name) (~~Chairman/Treasurer~~)

Downstate Democratic Caucus

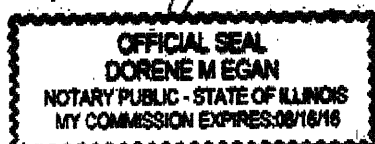
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Penalty was wrongfully assessed. Reasons to be presented at the hearing.

Signed and Sworn to by:

Michael J. Kasper  
 before me this 19<sup>th</sup> Day of  
September, 2011 2013

Notary Public Dorene M. Egan

(Signature)  
 (Signature of Chairman/Treasurer)  
 Attorney

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 11, 2013

BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Bryan A. Schneider  
Cassandra B. Watson

Downstate Democratic Caucus  
Dan Beiser  
2206 Krug Pl  
Alton, IL 62002-4232

ID# 14859

7011 2970 0003 6441 8663

Dear Downstate Democratic Caucus:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Chicago & Vicinity Laborers Dist. Council PAC	6/11/2013	\$1500	6/25/2013	5	\$750 -
Credit Union Political Action Council	6/4/2013	\$2000	6/25/2013	10	\$1000 -
Credit Union Political Action Council	6/11/2013	\$2000	6/25/2013	5	\$1000 -
Realtor Political Action Committee	5/16/2013	\$5000	6/25/2013	22	\$2500 -
Realtor Political Action Committee	5/16/2013	\$5000	6/25/2013	22	\$2500 -
Southern Il Carpenters CARPAC	5/16/2013	\$1500	6/25/2013	22	\$1250 -
AstraZeneca Pharmaceuticals	6/11/2013	\$1000	6/25/2013	5	\$500 -
AT&T Services, Inc. Behalf AT&T Ill.	6/4/2013	\$3000	6/25/2013	10	\$1500 -
Illinois American Water Company	6/4/2013	\$1000	6/25/2013	10	\$500 -

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$11000.00 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$5500.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.



**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

13 AJ 022

Brandon Phelps for State Representative 16309

**Respondent**

**REPORT OF HEARING EXAMINER**

Appeal of Civil Penalty Assessment for Delinquent Filing

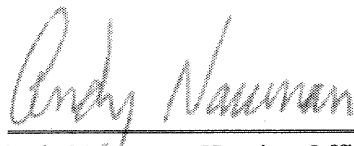
A Schedule A-1 for the 2<sup>nd</sup> Quarter of 2013

This committee received one \$1,000 contribution, one \$1,500 contribution, and one \$2,000 contribution on 6/24/13 and filed them on a Schedule A-1 on 7/15/13, resulting in a civil penalty assessment of \$2,250.

The Respondent was represented by attorney Michael Kasper at the October 30<sup>th</sup> appeal hearing.

Mr. Kasper stated that Committee deposited the three contributions on 6/24/13 however when they forwarded the information to the person who files their reports she failed to promptly report the contributions. The Committee pays Barb Mason for her professional services in filing the campaign disclosure reports electronically.

I recommend the appeal be denied for lack of an adequate defense. A person failing to promptly report a contribution to the State Board of Elections is an internal matter whether that person is paid to file the Committee's reports or not. In addition, since there is no indication that the Schedule A-1 violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment as a first violation, or \$225. If the Board accepts this recommendation, a total of \$225 would be due and owing. (As of 9/30/13, this Committee reported a funds available balance of \$120,122.68.)



Andy Nauman – Hearing Officer

October 30, 2013

## STATE BOARD OF ELECTIONS

13 SEP 23 AM 8:55

State of Illinois )  
 County of: Cook )

BEFORE THE STATE BOARD OF ELECTIONS  
 OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. 13A9022Brandon Phelps for State Representative

Respondent(s).

## APPEAL AFFIDAVIT

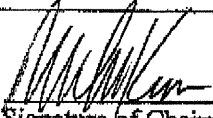
I, Michael J. Kasper, the Attorney of the  
 (Name) (Chairman/Treasurer)

Brandon Phelps for State Representative

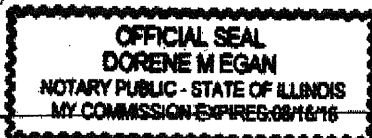
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Penalty was wrongfully assessed. Reasons to be presented at the hearing.

  
 Signature of Chairman/Treasurer  
 Attorney

Signed and Sworn to by:

Michael J. Kasperbefore me this 20th Day of September, 2013Notary Public  
(seal)Dorene M. Gan

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 11, 2013  
ID# 16309

BOARD MEMBERS  
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Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Bryan A. Schneider  
Casandra B. Watson

Brandon Phelps for State Representative  
PO Box 401  
Harrisburg, IL 62946-0401

7011 2970 0003 6440 6653

Dear Brandon Phelps for State Representative:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
National Shooting Sports Foundation, Inc	6/24/2013	\$1500	7/15/2013	9	\$750
AFSCME Illinois Council #31 PAC	6/24/2013	\$2000	7/15/2013	9	\$1000
Farmers Employees and Agents PAC	6/24/2013	\$1000	7/15/2013	9	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$2250.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$225.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director  
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

\* This contribution was reported on the June Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

v.

**13 AD 064**

Citizens for Ariel E Reboyas

ID# 17163

**Respondent**

**REPORT OF HEARING OFFICER**

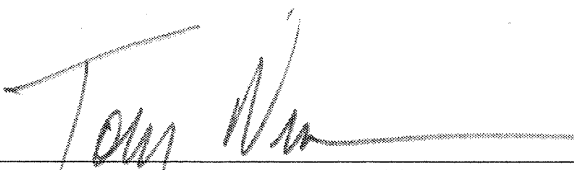
**Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report**

The Committee received a \$1,000 contribution on 10/31/12 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$500. Additionally, the Committee had previously been assessed a \$50 civil penalty (not appealed, paid) for delinquent filing of a Schedule A-1 in the 4<sup>th</sup> quarter of 2011, and a \$50 civil penalty (not appealed, paid) for delinquent filing of the June 2011 Quarterly Report. The total assessment is \$500.

Ariel E Reboyas, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Reboyas states the \$1,000 contribution was filed as an A-1 schedule as required. He makes reference to a line on the Committee's assessment letter, which states, *"This contribution was reported on the December Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more."*

Although the Committee did report the contribution, it was only included on Schedule A of the Committee's Quarterly Report and not reported within the 5 business days required by the Act. I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the second A-1 violation for this Committee, I recommend the penalty be reduced to 50% of the original assessment, or \$250. If these recommendations are accepted by the Board, the \$250 civil penalty will be due and owing. (As of 9/30/13, this Committee reported a funds available balance of \$115,305.25.)



Tom Newman – Hearing Officer  
November 4, 2013

State of Illinois )  
)  
County of : \_\_\_\_\_)

2013 JUN 14 PM 1:16

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

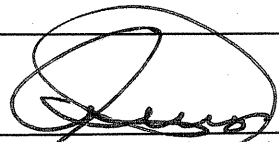
IN THE MATTER OF; )  
)  
ILLINOIS STATE BOARD OF ELECTIONS, )  
)  
Complainant )  
)  
Vs. )  
CITIZENS for Ariel E. Reboyras )  
Respondent(s). )

Case No. 13A10064

I, ARIEL E. REBOYRAS, the CHAIRMAN of the  
(Name) (Chairman/Treasurer)  
CITIZENS for Ariel E. Reboyras  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

THE CONTRIBUTION FROM "OLYMPIC SOUTH, INC" WAS  
FILED AS AN A-1 SCHEDULE, AS REQUESTED BY  
THE STATE BOARD OF ELECTIONS. SEE ITICULTURES  
AREA OF LETTER SUBMITTED.

  
Signature of Chairman/Treasurer

Signed and Sworn to by: Carlos Cintron

before me this 13 Day of June, 2013

Notary Public

(seal)  
"OFFICIAL SEAL"  
Carlos Cintron  
Notary Public, State of Illinois

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
June 3, 2013  
ID# 17163

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Citizens for Ariel E Reboyas  
J (Tony) Santa  
4614 W Montana St  
Chicago, IL 60639-1825

7011 2970 0003 6442 7023

Dear Citizens for Ariel E Reboyas:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Olympic South Inc	10/31/2012	\$1000	*	43	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$250, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 3, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward  
Director, Campaign Disclosure Division

SS: jr  
Enclosures: appeal packet

\* This contribution was reported on the December Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Board File No: 12 SQ 063

Vs.

Illinois Alliance for Good Government (ID 18042)

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing the 2012 September Quarterly Report

The Report was received on October 19, 2012, 4 days late, resulting in a \$200 civil penalty assessment. Additionally, this Committee was assessed a \$50 civil penalty (not appealed, not paid, stayed) for delinquently filing the 2011 June Quarterly Report. The total assessment is \$250.

Douglas Glick, Chairman, filed a Request for Hearing and submitted an Appeal Affidavit. The hearing was held on February 15, 2013.

Mr. Glick appeared on behalf of the Respondent. Mr. Glick stated that he files the financial reports for the Committee and that he filed the 2012 September Quarterly report late because he was tending to the illness of his father who was hospitalized during the filing period. He submitted medical information regarding his father's diagnosis, hemophagocytic lymphohistiocytosis or (HLH) a serious, life-threatening disorder. Mr. Glick submitted discharge papers from The University of Chicago Medical Center detailing the dates of his father's hospitalization from September 18, 2012 until November 20, 2012.

I sympathize with Mr. Glick and I understand that he was unable to timely file the report due to his responsibilities to care for his father. However, the Treasurer of the Committee is responsible for filing Campaign Disclosure Reports, not the Chairman. It is unfortunate that the Treasurer of the Committee was not able to file the report on behalf of the Committee while Mr. Glick attended to his family. Therefore, I recommend that the appeal be denied. If this recommendation is accepted by the Board, a \$250 civil penalty will be due. (As of 9/30/13, this Committee reported a funds available balance of \$100.00.)



Tara Molnar – Hearing Officer

October 24, 2013

State of Illinois )  
County of: Cook )

CHICAGO  
2013 JAN 11 PM 4:07

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Illinois Alliance for Good Government )  
Respondent(s). )

Case No. 12SQ063

APPEAL AFFIDAVIT

I, Douglas Glick, the Chairman of the  
(Name) (Chairman/Treasurer)  
Illinois Alliance for Good Government  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

the illness of a family member prevented me from making a timely  
quarterly filing. The filing was made October 19, 2012 (4 days  
late), and was the earliest time that the filing could be made

[Signature]  
Signature of Chairman/Treasurer

Signed and Sworn to by:

Patrick M Sheard

before me this 4 Day of Jan, 2013

Notary Public  
(seal)





STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012  
ID# 18042

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Illinois Alliance for Good Government  
1812 N Hudson Ave, Ste A  
Chicago, IL 60614-5202

Dear Illinois Alliance for Good Government:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2012 through September 30, 2012
Filing Period:	October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on October 19, 2012, 4 day(s) late. As such, this committee has been assessed a fine of \$200.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
April 1 through June 30 2011	Quarterly	\$50
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$250</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimus at 217-782-1543.

Sincerely,

Sharon Steward, Director,  
Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

13 JQ 074

Illinois Alliance for Good Government 18042

**Respondent**

**REPORT OF HEARING OFFICER**


Appeal of Civil Penalty Assessment for Delinquently Filing  
The June 2013 Quarterly Report

The June 2013 Quarterly Report was received by the Board on 7/29/13, 10 days late, resulting in a civil penalty of \$750. In addition, the Committee has previously been assessed a \$50 civil penalty (not appealed, stayed) for delinquently filing the June 2011 Quarterly Report; and a \$200 civil penalty (appealed, pending) for delinquently filing the September 2012 Quarterly Report. The total assessment for this appeal only is \$750.

Douglas Glick, the Chairman, appeared at the October 23<sup>rd</sup> appeal hearing.

Mr. Glick stated that in October of 2012 his dad, Gerald Glick, was diagnosed with a very rare disease and the prognosis was not good. The family was called in and even international relatives came to visit. Eventually his father became well enough to leave the hospital however he was admitted again on July 2, 2013. His dad had complications and passed away on July 12. The funeral was on July 15 followed by Shiva, a week-long mourning period in Judaism for first-degree relatives. During this period of time one does not work or conduct business. Mr. Glick stated that you're not even allowed to prepare your own meals during this period of time. After the mourning period ended Mr. Glick filed the quarterly report from the Board's Chicago office. Additionally, Mr. Glick stated that Michael Weiler the treasurer on record with the State Board of Elections has not been part of the Committee for many years and he was never really been the treasurer. Mr. Weiler was the treasurer in name only for a period of time; the reports have always been prepared and filed by Mr. Glick. Furthermore, the Committee has had no activity since receiving its original \$100 contribution in 2004.

I understand that Mr. Glick is the primary person within this organization and he has recently gone through a troubling time in his life with his father's health and passing. I also understand that do to a period of religious mourning after such a death Mr. Glick was prevented from filing the June 2013 Quarterly Report during the last couple days of the filing period. I am sorry for his loss however the political committee still had a responsibility to file the report in a timely manner. I recommend that the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board the total assessment of \$750 will be due and owing (this does not account for the previously assessed \$50 and \$200 civil penalties that are pending). (As of 9/30/13, this Committee reported a funds available balance of \$100.)

  
\_\_\_\_\_  
Andy Nauman – Hearing Officer  
October 24, 2013

State of Illinois )  
 )  
County of: \_\_\_\_\_ )

RECEIVED

SEP 12 PM 1:52

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

STATE OF ILLINOIS

IN THE MATTER OF; )  
 )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
 )  
Complainant )  
 )  
Vs. )  
 )  
Illinois Alliance for Good Government )  
Respondent(s). )

Case No. 1390074

APPEAL AFFIDAVIT

I, Douglas Glick, the Chairman of the  
(Name) (Chairman/Treasurer)  
Illinois Alliance for Good Government  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Final Hospitalization and death of my father, Gerald Glick  
occurred during the filing period. He passed away on July 12,  
2012, and due to the prescribed mourning practices of Judaism,  
I was not able to file the quarterly report until after the  
deadline.

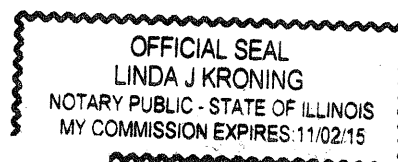
[Signature]  
Signature of Chairman/Treasurer

Signed and Sworn to by:  
Douglas Glick

before me this 27th Day of September, 2013

Notary Public  
(seal)

[Signature]



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 11, 2013  
ID# 18042

BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Illinois Alliance for Good Government  
Douglas Glick  
1812 N Hudson Ave, Ste A  
Chicago, IL 60614

7011 2970 0003 6441 5495

Dear Illinois Alliance for Good Government:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/29/2013, 10 day(s) late. As such, this committee has been assessed a fine of \$750.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
4/1/2011-6/30/2011	Quarterly	\$50
7/1/2012-9/30/2012	Quarterly	\$200
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$1000</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

  
Sharon Steward, Director,  
Campaign Disclosure Division

SS: lm  
Enclosure(s): appeal packet

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections

**Complainant**

Vs.

13 AM 069

Friends for Mulroe 20711

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing  
A Schedule A-1 in the 1<sup>st</sup> Quarter of 2013

This Committee received three contributions of \$1,781.25 on 2/1/13, 2/7/13 and 2/9/13, and reported them on a Schedule A-1 received by the Board on 4/12/13, 42, 38 and 37 days late, resulting in civil penalty assessments of \$2,670. The total assessment is \$2,670.

The Respondent was represented by attorney Michael Kasper at the October 30<sup>th</sup> appeal hearing. The treasurer of the Committee, Jeanne Anderson, was made available via telephone.

Michael Kasper and Jeanne Anderson stated that the Law Office of John G. Mulroe and the Friends for Mulroe share an office. However, Mr. Mulroe's legal practice employees a secretary that does not have a role within the Committee. This secretary, Morine Mulroe, received the three in-kind notifications on the dates that were reported as being received (2/1/13, 2/7/13, & 2/9/13) however she placed them aside because they did not pertain to the legal practice. The treasurer of the Committee was not made aware of these in-kind notifications until she discovered them in a pile of receipts she was going through while she was preparing the March 2013 Quarterly Report. The treasurer discovered the in-kind notifications on 4/11/13 and reported them on a Schedule A-1 on 4/12/13 however she accidentally listed the receipt date as the date the legal secretary received the notices rather than when she obtained the notifications.

The Associated Beer Distributors PAC, Credit Union Political Action Committee, and the Prof. Independent Insurance Agents PAC paid for a Big 10 Basketball Tournament fundraiser on behalf of the Friends for Mulroe. The in-kind notifications were mailed to the Committee address however the Respondent's attorney believes no one within the Committee received the notification because Mr. Mulroe's legal secretary received the notices. The hearing officer has a hard time understanding how a person who opens up the Committee's mail is somehow not affiliated with the Committee in some way. Furthermore, when that same person happens to be the candidate's secretary that leads the hearing officer to believe an association exists between that individual and the political committee. Section 100.120(d) of Board Rules and Regulations reads: "A contribution of goods or services (in-kind contributions) possession of which is not actually obtained by the recipient committee is deemed received on the date the public official, candidate or political committee received the notification of contribution of goods or services as required under Section 9-6(b) of the Election Code and subsection (a) of this Section." Since Morine Mulroe opened the mail that was presumably mailed to the Committee at the Committee's address which also happens to be the business address of the candidate I believe she would be a de facto representative of the political committee. Furthermore, the in-kind contribution was for a fundraiser and the Committee would have presumably have known the event would have taken place. For these reasons I recommend the appeal be denied for lack of an adequate defense. In addition, since there is no indication that the Schedule A-1 violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment as a first violation, or \$267. If the Board accepts this recommendation, a total of \$267 would be due and owing. (As of 9/30/13, this Committee reported a funds available balance of \$219,962.19)

  
Andy Nauman – Hearing Officer

Oct

State of Illinois )

County of : Cook )

STATE BOARD OF ELECTIONS

13 SEP 30 AM 8:45

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. 13AM069

Friends for Mulroe

Respondent(s):

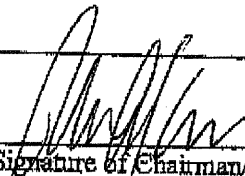
## APPEAL AFFIDAVIT

I, Michael J. Kasper, the Attorney of the  
 (Name) (Chairman/Treasurer)  
Friends for Mulroe

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Penalty was wrongfully assessed. Reasons to be presented at the hearing

  
 Signature of Chairman/Treasurer  
 Attorney

Signed and Sworn to by:

before me this 27th Day of September, 2013

Notary Public

(seal)

OFFICIAL SEAL  
 DORENE M EGAN  
 NOTARY PUBLIC - STATE OF ILLINOIS  
 MY COMMISSION EXPIRES 02/15/16

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

September 11, 2013

ID# 20711

BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Bryan A. Schneider  
Casandra B. Watson

Friends for Mulroe  
6687 North Northwest Hwy  
Chicago, IL 60631

7011 2970 0003 6441 7697

Dear Friends for Mulroe:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Associated Beer Distributors PAC	2/7/2013	\$1781.25	4/12/2013	38	\$890 -
Credit Union Political Action Committee	2/1/2013	\$1781.25	4/12/2013	42	\$890 -
Prof. Independent Insurance Agents PAC	2/9/2013	\$1781.25	<del>2/9/2013</del> 4-12-2013	37	\$890 -

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$2670.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$267.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director  
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

\* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**13 JQ 160**

Friends of Arthur Wesley Willis  
**Respondent**

ID# 24207

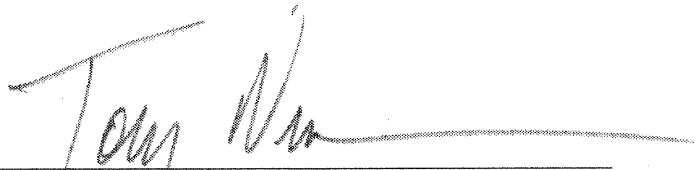
**REPORT OF HEARING OFFICER**  
Appeal of Civil Penalty Assessment for Delinquent Filing of the  
June 2013 Quarterly Report

The Report was received by the Board on July 23, 2013, 6 days late, resulting in a civil penalty assessment of \$300. Additionally, the Committee had previously been assessed a \$175 civil penalty (not appealed, paid) for delinquent filing of two Schedule A-1 Reports, and a \$75 civil penalty (not appealed, paid) for delinquent filing of the March 2012 Quarterly Report. The total assessment is \$300.

Julie Willis, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Willis states that the \$300 assessment is purportedly based on the Report being filed 300 days late. She says it was just 6 days late, and asks that the penalty be adjusted accordingly.

A typographical error on the assessment notice sent to the Committee indicated the report was received on 7/23/13 and listed it as 300 days late. The \$300 fine was in fact calculated based on the actual receipt date, making the Report 6 days late. On behalf of the Board, I apologize for the error, but recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the \$300 civil penalty will be due and owing. (As of 9/30/13, this Committee reported a funds available balance of \$209.)



Tom Newman – Hearing Officer  
October 31, 2013



State of Illinois )  
)  
County of : COOK )

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

93 OCT 11 AM 10:49

IN THE MATTER OF; )  
)  
ILLINOIS STATE BOARD OF ELECTIONS, )  
)  
Complainant )  
)  
Vs. )  
)  
FRIENDS OF ARTHUR WESLEY WILLIS )  
Respondent(s). )

Case No. 1399160

APPEAL AFFIDAVIT

I, Julie Willis, the Treasurer of the  
(Name) (Chairman/Treasurer)

Friends of Arthur Wesley Willis

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The assessment of \$300.00 is purportedly based on filing the June Quarterly  
Report 300 days late, on 7/23/2013. The report was filed 6 days late. We  
would, therefore, ask the Board to adjust its assessment accordingly.

Julie Willis  
Signature of Chairman/Treasurer

Signed and Sworn to by:

Lisa Brewster

before me this 7th Day of Oct, 2013

Notary Public  
(seal)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

September 11, 2013

ID# 24207

BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Friends of Arthur Wesley Willis  
Julie Willis  
2728 Debra Ln  
Homewood, IL 60430

7011 2970 0003 6441 6447

Dear Friends of Arthur Wesley Willis:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/23/2013, ~~300~~ day(s) late. As such, this committee has been assessed a fine of \$300.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, it is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,  
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

v.

**13 MQ 172**

The Forty PerCenters

ID# 24724

**Respondent**

**REPORT OF HEARING OFFICER**

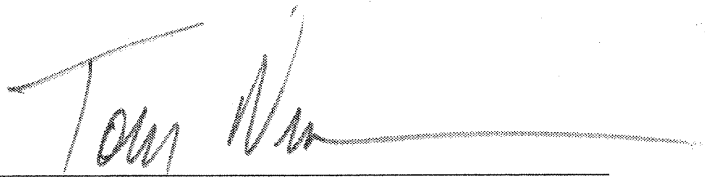
Appeal of Civil Penalty Assessment for Delinquent Filing of the  
March 2013 Quarterly Report

The Report was received by the Board on April 19, 2013, 4 days late, resulting in a civil penalty assessment of \$200. Additionally, the Committee was previously assessed a \$500 civil penalty (not appealed, stayed) for delinquent filing of the December 2012 Quarterly Report. The total assessment is \$700.

Ethereal Muhammad, the Chairman of the Committee, filed a Request for Hearing, and submitted an Appeal Affidavit. Mr. Muhammad did not appear for the scheduled hearing, and subsequent efforts to contact the Committee were unsuccessful, so the Appeal Affidavit was used as the basis for the Committee's appeal.

On the Affidavit, Mr. Muhammad states there was no access to paperwork due to inadequate training of trainees and indicates the Committee is newly-formed and not aware of all the reporting requirements.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay will be lifted from the previous penalty, and the total assessment of \$700 will be due and owing. (As of 9/30/13, this Committee reported a funds available balance of \$0.)



---

Tom Newman – Hearing Officer  
November 4, 2013

State of Illinois )

County of: St Clair )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

STATE BOARD OF ELECTIONS  
13 JUN 28 AM 11:28

IN THE MATTER OF; )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. )

Case No. 13 MQ172

40% rs

Respondent(s). )

APPEAL AFFIDAVIT

I, Ethereal Sajwal, the Chairman of the  
(Name) (Chairman/Treasurer)

Forty Percenters Election Committee  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

No access to paperwork due to inadequate training of trainees; newly formed committee not lettered in procedure of responsibility to report; The need for more packets to raise level of awareness to committee members; Opportunity to establish a vital election committee to help voters of 157 west 5 communities of the importance of the Vote.

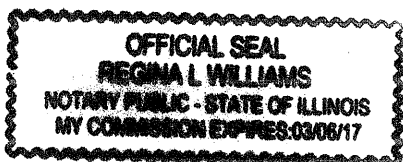
Ethereal Sajwal  
Signature of Chairman/Treasurer

Signed and Sworn to by:

Regina L Williams

before me this 26<sup>th</sup> Day of June, 2013

Notary Public  
(seal)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

June 3, 2013

ID# 24724

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

The Forty PerCenters  
PO Box 1318  
Alorton, IL 62207-0318

7011 2970 0003 6442 4954

Dear The Forty PerCenters:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2013 through March 31, 2013
Filing Period:	April 1, 2013 through April 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 19, 4 day(s) late. As such, this committee has been assessed a fine of \$200.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 3 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
October 1 through December 31 2012	Quarterly	\$500
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$700</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,  
Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**13 MQ 252**

Friends to Elect Rick Olson      ID# 24781  
**Respondent**

**REPORT OF HEARING OFFICER**

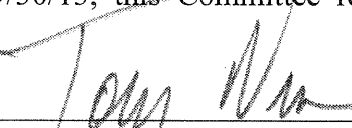
Appeal of Civil Penalty Assessment for Delinquent Filing of the  
March 2013 Quarterly Report

The Report was received by the Board on June 12, 2013, 41 days late, resulting in a civil penalty assessment of \$2,050. Additionally, the Committee had previously been assessed a \$50 civil penalty (not appealed, paid) for delinquent filing of a Schedule A-1, and a \$275 civil penalty (not appealed, paid) for delinquent filing of the December 2012 Quarterly Report. The total assessment is \$2,050.

Lon Gerrish, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Gerrish states that the Report was filed on paper on 4/15/13, but the Committee was then informed that it was required to be filed electronically. He says the Committee attempted to do so, but a combination of never having worked with the electronic filing software combined with a general deficiency in computer literacy among the Committee members left them unable to complete the Report or file it. Mr. Gerrish says several calls to the Board for assistance and a trip to the County Clerk's office were also unsuccessful in solving the problem, but eventually the Committee was referred to an individual who was able to provide the assistance needed to file the Report.

While the Committee's original paper filing of the Report was timely, per Board rules the Committee was given an additional 30 days to re-file the Report electronically. This deadline was missed by nearly a calendar month, making the filing nearly two calendar months late. As such, although I can accept the Committee had difficulties with electronic filing, I cannot accept that those difficulties would have caused such a lengthy delay if the Committee was making a good faith effort to meet the deadline to re-file the Report. As a result, I must recommend the appeal be denied. If this recommendation is accepted by the Board, the \$2,050 civil penalty will be due and owing. (As of 9/30/13, this Committee reported a funds available balance of \$348.51.)

  
\_\_\_\_\_  
Tom Newman – Hearing Officer  
October 31, 2013

STATE BOARD OF ELECTIONS  
13 OCT -9 PM 2:00

IN THE MATTER OF; )  
)  
ILLINOIS STATE BOARD OF ELECTIONS, )  
)  
Complainant )  
)  
Vs. )  
)  
Friends of Rick Olson ID#24781 )  
)  
Respondent. )

Case No. 13MQ252

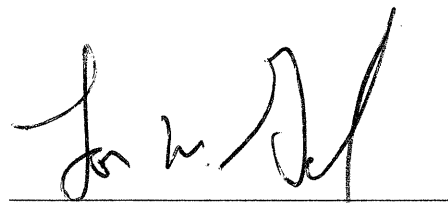
I, Lon Gerrish, the Treasurer of the Friends of Rick Olson Committee, first being duly sworn,  
deposes and states that he represents that said committee can offer a good reason or defense to  
the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Page 1 of 2

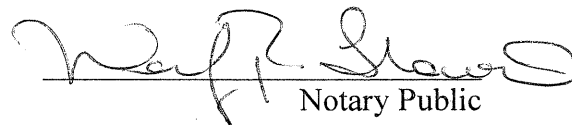
into the program. We made several calls the State Board to receive help with the program but still could not get the report to file.

We decided to contact the DeKalb County Clerk's office for assistance. Because the office was physically closer to Sandwich we had hoped we might be able to meet with someone in that office who could help us complete the reports. We were informed that the Clerk's office would not be able to help but did refer us to an individual who had experience with the software. We contacted the individual and they were able to help us get all the data entered into the program and show us how to file online.

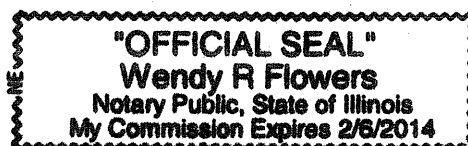
Since the report was filed on paper by the original deadline and because we have filed every subsequent report electronically and on time we would request the State Board of Elections to waive or reduce the civil penalty.

  
\_\_\_\_\_  
Lon Gerrish, Treasurer  
1511 S Vale St  
Sandwich, IL 60548  
815-XXX-XXXX

Signed and Sworn to by Wendy R. Flowers before me this 17<sup>th</sup> day of  
October, 2013.

  
\_\_\_\_\_  
Notary Public

(SEAL)





STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 11, 2013

BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Bryan A. Schneider  
Casandra B. Watson

Friends to Elect Rick Olson  
PO Box 43  
Sandwich, IL 60548-0043

ID# 24781

7011 2970 0003 6441 8342

Dear Friends to Elect Rick Olson:

As you have been previously notified, this committee failed to electronically file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2013 through March 31, 2013
Filing Period:	April 1, 2013 through April 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 6/12/2013, 41 day(s) late. As such, this committee has been assessed a fine of \$2050.00.


Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, it is now required to be paid by the committee within 30 days of the issuance of the Final Board Order:

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

  
Sharon Steward, Director,  
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

13 AM 096

Citizens for Debbie Meyers Martin 24887

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing  
A Schedule A-1 in the 1<sup>st</sup> Quarter of 2013

This committee received two \$1,000 contributions on 2/25/13 and 3/5/13, and reported these contributions to the Board on 4/15/13, 29 and 24 business days late. Additionally, the Committee had previously been assessed a \$450 civil penalty (appealed, denied, paid) for delinquently filing the Statement of Organization; a \$100 civil penalty (appealed, denied, paid) for delinquently filing a Schedule A-1 in the 4<sup>th</sup> Quarter of 2012. The total assessment is \$1,000.

Debbie Meyers-Martin, Candidate and Chairman, appeared at the October 23<sup>rd</sup> appeal hearing.

Mrs. Meyers-Martin was a 1<sup>st</sup> time candidate that had no previous exposure to campaign disclosure matters. She hired a treasurer to assist with Campaign Disclosure filings; however, this person was not familiar with the new campaign disclosure reporting requirements and he did not always inform her that contributions had been received in a timely manner. Mrs. Meyers-Martin stated she personally contacted Board staff on several occasions for assistance regarding disclosure requirements and she was never offered any kind of instruction or class on the rules of campaign disclosure. She realizes that ignorance of the rule is not a justification; however she was not informed of her 1<sup>st</sup> Schedule A-1 violation by the Board until 6/3/13. Mrs. Meyers-Martin is requesting leniency because she believes that if she had been promptly notified that the contributions reported on a Schedule A-1 filed on 1/17/13 were late she would then have realized that contributions of \$1,000 or more had to be reported to the Board within 5 business days and the \$1,000 contributions that she received on 2/25/13 and 3/5/13 would have then been reported timely.

While I understand that Mrs. Meyers-Martin believes the committee should have been informed of the 1<sup>st</sup> Schedule A-1 violation more timely it is ultimately the Committee's treasurer who is responsible for understanding campaign finance laws and filing reports timely. Lack of knowledge and miscommunication between campaign staff is an internal matter and not the responsibility of the Board. I recommend the appeal be denied for lack of an adequate defense. In addition, since there is no indication that these violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 50% of the original assessment as a second violation, or \$500. If this recommendation is accepted by the Board, the amount of \$500 will be due and owing. (As of 9/30/13, this Committee reported a funds available balance of \$7,110.81.)



Andy Nauman – Hearing Officer  
October 23, 2013

000000

State of Illinois )  
County of \_\_\_\_\_ )

2013 OCT -4 PM 12:57

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Debbie Meyers-Martin )  
Respondent(s). )

Case No. 13AM096

APPEAL AFFIDAVIT

I, Debbie Meyers-Martin, the Chairman of the  
(Name) (Chairman/Treasurer)

Citizens for Debbie Meyers-Martin

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

This Committee is asking for leniency for the assessed fine of \$2000.00 for failure to file the A-1 form for two checks, each in the amount of \$1000.00 on time. Those checks were deposited on 02/25/2013 and 03/05/2013 respectively. I did not receive written notice that I was in violation until June 3rd. The June 3rd notice was for a previous check of \$2000.00 that had not been filed on time as well. Since I was unaware of the first violation, I made the same mistake when I received the subsequent checks of \$1000.00 each. Once I received the violation notice on June 3rd, I realized my mistake but by then it was too late. I realize that ignorance of the rule is not a justification; however I was never informed by my treasurer that this was a violation. For this I apologize. I was not trying to be out of order. As you can see, I have always filed the proper paperwork. I just was unaware that I needed to do it within 5 days of receipt. I was never offered any kind of instruction or class on the rules of campaign disclosure. So in conclusion, I implore the State Board of Elections to consider leniency and allow me to be fined \$1000.00 as opposed to the current fine posted of \$2000.00. I thank you for your consideration.

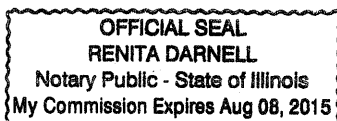
Signed and Sworn to by:

Debbie Meyers-Martin  
before me this 4 Day of

October, 2013

Renita Darnell  
Notary Public

Debbie Meyers-Martin  
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 11, 2013

BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Bryan A. Schneider  
Cassandra B. Watson

Citizens for Debbie Meyers Martin  
PO Box 237  
Olympia Fields, IL 60461

ID# 24887

7011 2970 0003 6441 7956

Dear Citizens for Debbie Meyers Martin:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
C B H Realty Cornell Hughes	2/25/2013	\$1000	4/15/2013	29	\$1000
Bradshaw Construction	3/15/2013	\$1000	4/15/2013	24	\$1000

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1000.00 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$500.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
2013	Multi-Assessment	\$450
2013	Multi-Assessment	\$1000
2013	Multi-Assessment	\$1250
<b><i>TOTAL AMOUNT NOW DUE</i></b>		<b>\$3200</b>

STATE OF ILLINOIS  
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections  
**Complainant**

v.

13 JQ 199

Citizens for Ted Sindermann      ID# 24977  
**Respondent**

**REPORT OF HEARING OFFICER**

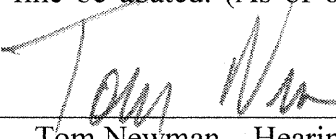
Appeal of Civil Penalty Assessment for Delinquent Filing of the  
June 2013 Quarterly Report

The Report was received by the Board on July 16, 2013, 1 day late, resulting in a civil penalty assessment of \$50. Additionally, the Committee had previously been assessed a \$125 civil penalty (not appealed, stayed) for delinquent filing of the March 2013 Quarterly Report. The total assessment is \$175.

Ted Sindermann, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Sindermann states that his Committee never reached the actual monetary threshold requiring its formation, but that he filed so he could obtain voter lists from the County Clerk. He says he made good faith efforts to file all reports on time, but believed a report only had to be postmarked by the due date, not actually received by the Board by that date. He asserts that Section 5/9-10(b) of the Disclosure Act states that all reports must be filed by the 15<sup>th</sup> of the month, but does not specify that they must be received by that date. Mr. Sindermann adds that the committee has since filed a Final Report and is closed.

In addition to requiring Quarterly Reports to be filed by the 15<sup>th</sup> of the month following each quarterly period, Section 5/9-10(b) also states that if a Report is filed after the deadline, *"...There shall be no fine if the report is mailed and postmarked at least 72 hours prior to the filing deadline."* Since the Committee's Report did not meet this criterion, I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the earlier penalty and the total assessment of \$175 will be due and owing. However, since this Committee has filed a Final Report, I further recommend that should the Committee remain dissolved for a period of two years following the Final Board Order imposing the fine, the fine be abated. (As of 6/30/13, this Committee reported a funds available balance of \$0.)

  
\_\_\_\_\_  
Tom Newman – Hearing Officer  
October 31, 2013

State of Illinois )  
County of Lake )

STATE BOARD OF ELECTIONS

13 OCT 15 PM 1:00

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Citizens for Ted Sinderman )  
Respondent(s). )

Case No. 1376199  
24977

APPEAL AFFIDAVIT

I, Ted Sindermann, the Chairman of the  
(Name) (Chairman/Treasurer)

Citizens for Ted Sindermann

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The committee was created on January 31, 2013 to aid in my first attempt at seeking public office with the election to the Village of Vernon Hills Board of Trustees where the primary election was held April 9, 2013. At the time I created the committee I knew that I would not likely reach the minimum thresholds required to create such a committee, but the Lake County Clerk requires a committee be formed prior to releasing any voter lists to candidates. I believed the voter lists were essential in my phone calls to registered voters and my door to door efforts. Through the life of the committee, I only raised \$1,082 in contributions and transfers, and had expenditures of \$1,835. The difference between contributions and expenditures were personal loans that were forgiven before the committee filed its final report. I lost the election and dissolved the committee. I used good faith efforts to comply with the law as and believed the State Board of Elections required the campaign finance disclosures to be POSTMARKED by the filing date and not received by that date. All my filings were postmarked on or before the appropriate filing dates. 10 ILCS 5/9-10(b) states that the reports must be filed by the 15th of the month, but it does not specify they must be received by the 15th of the month. This inadvertent mistake will not be made again and in fact the final report has been filed and committee is inactive.

Signed and Sworn to by:

Ted Sindermann

before me this 10th Day of  
October, 2013, 2013

Bryan Gutraj  
Notary Public

(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 11, 2013  
ID# 24977

BOARD MEMBERS  
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Charles W. Scholz, Vice Chairman  
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Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Citizens for Ted Sindermann  
204 Annapolis  
Vernon Hills, IL 60061-2015

7011 2970 0003 6441 7017

Dear Citizens for Ted Sindermann:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/16/2013, 1 day(s) late. As such, this committee has been assessed a fine of \$50.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
1/1/2013-3/31/2013	Quarterly	\$125
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$175</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,  
Campaign Disclosure Division

SS: lm  
Enclosure(s): appeal packet

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

13 AM 041

Committee to Elect J B Johnson 25036

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing  
A Schedule A-1 in the 1st Quarter of 2013

This committee received a \$5,000 contribution on 2/11/13, and reported this contribution on a Schedule A-1 received by the Board on 3/11/13, 12 days late, resulting in a civil penalty assessment of \$2,500. The total assessment is \$2,500.


J B Johnson, the candidate of the committee, appeared at the rescheduled August 21, 2013 appeal hearing.

J B Johnson stated that the loan from her husband George V Johnson was not for \$5,000, but rather for a smaller amount. Mrs. Johnson did not recall the amount of the contribution however she believes it was closer to \$4,800. Mrs. Johnson also could not recall when the contribution was actually deposited and she was having difficulty obtaining information from the bank in relation to the amount and the deposit date. She stated that she informed a bank employee to close the account however that employee never closed the account and fees have since sent the account in to the red. Additionally, Mrs. Johnson stated that when the funds were deposited into the account they were not made available to her for a couple of days after the deposit. Mrs. Johnson wrote on the appeal affidavit that a Schedule A-1 was mailed to the State Board of Elections on 2/13/13 however later in the same affidavit it appears the same Schedule A-1 was rather mailed on 2/11/13. However, during the appeal hearing Mrs. Johnson stated that a Schedule A-1 and a D-1 were faxed to the State Board of Elections on 2/11/13 and she would have given it to her husband to be mailed out on 2/12/13. Mrs. Johnson was a little disturbed that the State Board of Elections concentration was on her, the little person, rather than people like the recent incarcerated Governor and Congressman since she only received \$308 from outside sources.

Ms. Johnson did not affirmatively confirm the actual amount of the contribution however she believes the contribution amount was \$4,800 rather than \$5,000. Additionally, Ms. Johnson could not identify the actual deposit date. Therefore, the hearing officer has no alternative than to use the date that was provided on the filing as the received date. I understand that the Respondent stated that the Statement of Organization and the Schedule A-1 were faxed and mailed to the State Board of Elections on or around 2/11/13 however the State Board of Elections only received a fax containing the Statement of Organization on 2/11/13 and the mailed copy of Statement of Organization and the Schedule A-1 were never received by the State Board of Elections. The State Board of Elections was not made aware of the "\$5,000" contribution until a Schedule A-1 was received via fax on 3/11/13. I recommend that the appeal be denied for lack of an adequate defense. Furthermore, since the Respondent did not provide the hearing officer with any evidence to show that the contribution was not a \$5,000 contribution I recommend the amount of \$5,000 be used for assessment purposes. However, since there is no indication that the violation was anything other than inadvertent and unintentional, I also recommend that this civil penalty be reduced to 10% of the original assessment as a first violation, or \$250. As a first violation the penalty



is stayed. (As of 7/15/13 the Committee filed a Final Report and listed that they had a funds available balance of \$0 however that is not what the Report shows.)

  
\_\_\_\_\_  
Andy Nauman – Hearing Officer  
September 26, 2013

State of Illinois )  
County of: COOK )

STATE BOARD OF ELECTIONS

13 JUL -8 AM 8:37

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Committee to Elect J B Johnson

Respondent(s).

Case No. 13 AM041

APPEAL AFFIDAVIT

I, J B Johnson, the J B. JOHNSON, Committee CHAIRMAN & TREASURER of the  
(Name) (Chairman/Treasurer)  
Committee to Elect J B JOHNSON  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

IF ORDERED: TO APPEAR, REQUEST THE CHICAGO LOCATION FOR HEARINGS UNLESS

THIS BOARD IS TO PROVIDE TRANSPORTATION TO THE RESPONDENT. All required forms,

attachments and reporting pages were filed by the required date (here two days after the Committee to

Elect J B Johnson was formed and *before* the rest of the organization was in place that A-1 was mailed on

February 13, 2013), other forms were filed by their respective filing deadlines, in addition to an amended

form a State Board of Elections employee directed the Committee to re-do and

J B Johnson

Signature of Chairman/Treasurer

Committee to Elect J B Johnson  
c/o J B Johnson  
111 N Dee Rd  
Park Ridge IL 60068-2812

Signed and Sworn to by:

Angela Berger  
before me this 2nd Day of July, 2013

Notary Public  
(seal)

OFFICIAL SEAL  
ANGELA BERGER  
Notary Public - State of Illinois  
My Commission Expires Jul 25, 2016

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
June 3, 2013  
ID# 25036

BOARD MEMBERS  
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Jesse R. Smart, Vice Chairman  
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Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Committee to Elect J B Johnson  
c/o J B Johnson  
111 N Dee Rd  
Park Ridge, IL 60068-2812

7011 2970 0003 6442 6224

Dear Committee to Elect J B Johnson:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
George V Johnson	2/11/2013	\$5000	3/11/2013	12	\$2500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$2500 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$250, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 3, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward  
Director, Campaign Disclosure Division

SS: jr  
Enclosures: appeal packet

\* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

13 MA 044

Friends of Mike Carberry 25368

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment

For Delinquently Filing the Statement of Organization, and the June 2013 Quarterly Report, and  
Failing to File a Schedule A-1

The D-1 Statement of Organization was received by the Board on 4/18/13, 28 days late, resulting in a civil penalty assessment of \$1,400. The June 2013 Quarterly Report was received by the Board on 7/27/13, 9 days late, resulting in a civil penalty assessment of \$225. The Committee received a \$1,000 contribution on 3/8/13 and failed to report this contribution on a Schedule A-1, resulting in a civil penalty assessment of \$500. The total assessment is \$2,125.

The Respondent was represented by attorney James P. Nally at the October 30<sup>th</sup> appeal hearing.

James Nally stated Mike Carberry was a first time candidate running for village trustee in Oak Lawn and this was his first time being involved in a Committee. Mr. Carberry did not understand or know the filing requirements and they believe their errors in not timely filing should be considered one occurrence rather than multiple violations since they all took place at the beginning of the Committees existence. Mr. Nally stated the late filings were not made on purpose. Since the committee has become aware of the filing requirements they have sought the assistance of an accountant and their filings have been made timely. The Respondent requests that the assessments be combined and considered as one occurrence that is stayed as a first violation as the Board has done in the past when the assessments occurred during the same period of time.

The Respondent did not dispute that the reports were delinquently filed. However, being that this was a first time candidate the Respondent is asking the Board to consider the three assessments as one occurrence rather than multiple violations and stay the assessment because they all took place during the same period of time. I understand that Mr. Carberry was a first time candidate however that does not relieve his Committee of their responsibility to file reports in a timely manner. Furthermore, the hearing officer is having a hard time understanding the Respondents defense that the Committee did not know or understand the filing requirements in relation to the June 2013 Quarterly Report when the Committee timely filed the March 2013 Quarterly Report. I recommend that the appeal be denied for lack of an adequate defense. The candidate would have received a D-5 Notice of Obligation when his petitions were filed and it is then their responsibility to learn and understand the filing requirements. If this recommendation is accepted by the Board, the assessment is \$1,400 in relation to the Statement of Organization, and \$225 in relation to the June 2013 Quarterly Report. In addition, since there is no indication that the Schedule A-1 violation was anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment as a first violation, or \$50. If this

recommendation is accepted by the Board, the amount of \$1,675 will be due and owing. (As of 9/30/13, this Committee reported a funds available balance of \$1,956.16.)

A handwritten signature in cursive script that reads "Andy Nauman".

---

Andy Nauman – Hearing Officer  
October 30, 2013

State of Illinois )  
County of \_\_\_\_\_ )

STATE BOARD OF ELECTIONS

13 OCT -2 PM 1:41

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. )

Case No. 13MA044

FRIENDS of MIKE CARBERRY )

Respondent(s). )

REQUEST FOR HEARING

I, MIKE CARBERRY, the CHAIRMAN  
(Name) (Chairman/Treasurer)

FRIENDS of MIKE CARBERRY  
(Name of Committee)

Committee, appeals on behalf of the said committee the assessment of civil penalty proposed in this matter and submits in support of that appeal the accompanying affidavit. The said committee requests a hearing at which it will appear to offer reasons and defenses why the proposed assessment should not be imposed.

Mike Carberry  
(Signature of Chairman/Treasurer)

Does the committee plan to obtain legal representation?  
Attorney's contact information, if known:

☒ Yes ☐ No

JAMES P NALLY 312 422-5560 JPNLAW@ATT.NET  
Name Phone Email

85 MICHIGAN Ave #3500 CHICAGO IL 60603  
Address

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS



2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141 TTY: 217/782-1518  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago, Illinois 60601  
312/814-6440 TTY: 312/814-6431  
Fax: 312/814-6485

**BOARD MEMBERS**  
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Jesse R. Smart, Vice Chairman  
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Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

**EXECUTIVE DIRECTOR**  
Rupert T. Borgsmiller  
September 11, 2013

Friends of Mike Carberry  
Edward Lowery  
10450 S. Western Ave  
Chicago, IL 60643

ID# 25368

7011 2970 0003 6441 8243

Dear Friends of Mike Carberry:

This letter is to inform you that this committee failed to file its D-1 Statement of Organization during the requisite filing period.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-3 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on ~~4/15/2013~~, 2012, 24 day(s) late. As such, this committee has been assessed a fine of \$1200.00.

*7/27/2013, 9 days late*  
*\$225.-*

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 7/27/2013, 9 days late. As such, this committee has been assessed a fine of \$225.00.

In addition, this committee failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Kevin Mangin	3/8/2013	\$1000	*not filed	23	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$500.00 for delinquently filing Schedule A-1 reports. This total *does not* reflect any previously assessed fines.

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

Vs.

13 MA 047

Friends of Tim Desmond 25456  
**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing

The Statement of Organization, the March 2013 Quarterly Report & June 2013 Quarterly Report

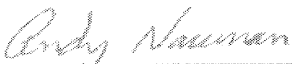
The Statement of Organization was received by the Board on 7/12/13, 82 days late, resulting in a civil penalty of \$4,100. The March 2013 Quarterly Report was received by the Board on 8/1/13, 76 days late, resulting in a civil penalty of \$1,900. In addition the June 2013 Quarterly Report was received by the Board on 8/1/13, 13 days late, resulting in a civil penalty of \$650. The total assessment is \$6,650.

The Respondent was represented by attorney Burton S. Odelson at the October 23<sup>rd</sup> appeal hearing.

Burton Odelson stated that Tim Desmond was a 1<sup>st</sup> time candidate who ran for Village Trustee in Oak Lawn. The candidate entrusted an accountant Ed Lowery to take care of filing documents on his behalf and the reports were not filed. Once, Mr. Desmond realized that the reports were not filed he personally filed the reports. Mr. Odelson stated that this is a small local committee with not a lot of activity. He also stated that Mr. Desmond would like to run for re-election in 2015 however the assessments of these fines could prevent him from being able to run. Mr. Odelson requests the Board stay all three fines since this is a 1<sup>st</sup> time candidate who was running for village trustee and the reports were filed shortly after the candidate realized the reports had not been filed. In the first alternative Mr. Odelson requests that the Board accept a 10% offer of payment or \$665. In the second alternative Mr. Odelson requests that the Board accept some smaller amount than the total assessment. Mr. Odelson stated that the Committee only has \$572.94 and the candidate will have to go out and borrow or pay off these fines out of his own pocket.

I understand that Tim Desmond was a rookie candidate and he thought his accountant was taking care of the required filings however that does not relieve his Committee of their responsibility to file reports in a timely manner. I recommend that the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board the assessment for the Statement of Organization will be \$4,100, the assessment for the March 2013 Quarterly Report will be \$1,900, and the assessment for the June 2013 Quarterly Report will be \$650 for a total assessment of \$6,650 which will become due and owing. (As of 9/30/13, this Committee reported a funds available balance of \$572.94.)

In relation to Mr. Odelson's three requests: stay all three fines, accept a 10% offer, or accept a smaller amount of payment than the assessed amount I recommend that the Board not consider these requests.

  
\_\_\_\_\_  
Andy Nauman – Hearing Officer  
October 24, 2013



STATE BOARD OF ELECTIONS  
13 OCT -4 PM 2:20

State of Illinois )  
County of Cook )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS. )  
Complainant ) ID# 25456  
Vs. ) Case No. \_\_\_\_\_  
Friends of Tim Desmond )  
Respondent(s). )

APPEAL AFFIDAVIT

I, Burton S. Odelson, the Attorney for the  
(Name)  
Friends of Tim Desmond  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The Committee was new and the person in charge failed to carry out his responsibilities.

Signed and Sworn to by:

Burton S. Odelson  
before me this 30<sup>th</sup> Day of  
September, 2013

Joyce C. Romanowski  
Notary Public

[Signature]  
Attorney for the Committee



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
PO Box 4187  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 W. Randolph Street, Ste 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
October 23, 2013

BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest C. Gowen  
William M. McGuffage  
Bryan A. Schneider  
Casandra B. Watson

**CORRECTED ASSESSMENT**

Friends of Tim Desmond  
Tim Desmond  
10339 S. Ridgeland  
Chicago Ridge, IL 60415

ID# 25456

Dear Friends of Tim Desmond:

This letter is to inform you that this committee failed to file its D-1 Statement of Organization during the requisite filing period.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-3 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 7/12/2013, 82 day(s) late. As such, this committee has been assessed a fine of \$4100.00.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2013 to March 31, 2013
Filing Period:	April 1, 2013 to April 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on August 1, 2013, 76 day(s) late. As such, this committee has been assessed a fine of \$1900.00.

This committee has also failed to file the following documents during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 to June 30, 2013
Filing Period:	July 1, 2013 to July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on August 1, 2013, 13 day(s) late. As such, this committee has been assessed a fine of \$650.00.

**The total for all new assessments is \$6650.00.**

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**13 MA 048**

Friends of John Mahoney for Circuit Court Judge  
**Respondent**

ID# 25457

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquent Filing of the  
Statement of Organization and a Schedule A-1 Report

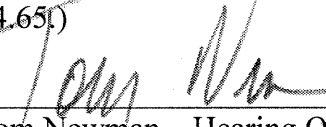
The Statement of Organization was received by the Board on July 12, 2013, 2 days late, resulting in a civil penalty assessment of \$100. In addition, the Committee received a \$5,000 contribution on 6/25/13, and reported it on a Schedule A-1 received by the Board on 7/12/13, 7 days late, resulting in a civil penalty assessment of \$2,500. The total assessment is \$2,600.

Laura A Holwell-Mahoney, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Mahoney states the Committee filed the D-1 Statement of Organization and Schedule A-1 on July 12 after relying on advice from Board staff to do so, but she says the Committee was not legally required under the Disclosure Act to make either filing at that time. She argues that John Mahoney was not subject to the requirements of the Act because he was not yet a candidate for office. Ms. Mahoney claims the \$5,000 contribution in question was made by her law office for a payment to an attorney "in furtherance of the exploration of the feasibility of Mahoney's candidacy." She says this was specifically to provide legal and political advice to help determine whether or not Mahoney would become a candidate. She claims that since the payment was made weeks before Mahoney actually decided to become a "Candidate" as defined in the Act, no filings were required until he performed any of the triggering activities listed under the definition of "Candidate" in Section 9-1.3 of the Act or Section 100.10(b)(2) of the Board's Rules and Regulations. Ms. Mahoney says it was not until July 11 that Mahoney began "to seek nomination", "to take action necessary to attempt to qualify for nomination", or "received contributions/made expenditures with a view to bringing about his nomination", and therefore she concludes that the A-1 filing was not necessary, and the D-1 filing was timely.

Section 9-1.3 of the Act defines a "Candidate" as, "*...any person who seeks nomination for election, election to or retention in public office...*", and defines such a person as someone who, "*receives contributions or makes expenditures, or gives consent for any other person to receive contributions or make expenditures with a view to bringing about his nomination for election or election to or retention in public office...*" It is my belief that the \$5,000 contribution in question meets the definition of a contribution received or expenditure made "with a view to

bringing about" Mr. Mahoney's candidacy. The money received by the Committee and then subsequently spent for legal and political advice may have been one of the first steps in the process of running for office, but it was a part of that process and as such it was subject to the requirements of the Act. To accept the Committee's claim that Mr. Mahoney was not actually a candidate at the time of the contribution would open the door for any other potential candidate "considering" a run for office to accept and spend unlimited amounts of undisclosed money not subject to the requirements of the Act, until such time as they formally "decide" to run for office. Therefore, since the \$5,000 contribution was subject to the reporting requirements of the Act, and since the committee filing threshold at the time of the contribution was \$3,000, receipt of the contribution necessitated the filing of both the D-1 Statement of Organization and the Schedule A-1. I recommend the appeal for both violations be denied. However, in regards to the A-1, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for the Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$250. If these recommendations are accepted by the Board, the total assessment of \$350 will be due and owing. (As of 9/30/13, this Committee reported a funds available balance of \$20,334.65.)



---

Tom Newman – Hearing Officer  
November 4, 2013



to the Board was seven days delinquent. The Board contends that this delinquency was a violation of Section 9-10(c) of the Illinois Campaign Disclosure Act (the Act) for which it assessed a \$2,500 fine, which it then reduced to \$250. The Board also contends that its records show that the Committee's D-1 was received on July 12, 2013, which it alleges was two days late. The Board has assessed a fine upon the Committee in the amount of \$100 for this alleged violation.

In response, the Committee respectfully offers the following reasons, defenses and mitigation to the findings of liability and the assessment of a civil penalty. The Committee acted in good faith and in the interests of transparency throughout. The initial June 25, 2013 payment by the Law Office was made to an attorney in furtherance of the *exploration of the feasibility* of Mahoney's candidacy. Because Mahoney was not a candidate at the time of the payment, nore would he become one until July 11, 2013, he was not subject to the requirements of the Act, and should therefore not be sanctioned for failure to comply with the Act.

In addition, the Committee was not required to file a Form D-2 or Schedule A-1 but did so based upon its own mistake and good faith reliance on the advice received during 20 minutes of discussion with Board Staff. At the time that the D-1 Statement of Organization was filed, Holwell and Board Staff discussed the Committee, Holwell showed the Staff a Form D-2 that had been drafted. After 20 minutes of discussion, Staff advised Holwell to file the Form D-2 and to fill out a Schedule A-1 by hand and file it in person. Holwell, the Committee Chairman and Treasurer complied. The Committee was not legally required under the Act to file a Form D-2 or Schedule A-1 and should therefore not be sanctioned for filing late.

II. **Mahoney Was Not a Candidate Within The Meaning of The Act Until July 11, 2013  
And Therefore The Committee's D-1 Statement of Organization Was Timely Filed**

The Board takes the position that the Committee was actually *de facto* created on June 25, 2013, the date that The Law Office of Laura A. Holwell paid Attorney John Kamis the sum of \$5,000. The Committee respectfully disagrees and states that Mahoney did not become a candidate until the time of the execution of the Committee's D-1 Statement of Organization (D-1) on July 11, 2013.

Section 9-3(a) requires that: "Every political committee shall file with the State Board of Elections a statement of organization within 10 business days of the creation of such committee..." (10 ILCS 5/9-3(a)). The Committee filed its D-1 the following day, July 12, 2013, in person, at 12:44 pm.

The Act states, in relevant part, that:

Sec. 9-1.3. "Candidate" means any person who seeks nomination for election, election to or retention in public office, or any person who seeks election as ward or township committeeman in counties of 3,000,000 or more population, whether or not such person is elected. A person seeks nomination for election, election or retention if he

(1) takes the action necessary under the laws of this State to attempt to qualify for nomination for election, election to or retention in public office or election as ward or township committeeman in counties of 3,000,000 or more population, or

(2) receives contributions or makes expenditures, or gives consent for any other person to receive contributions or make expenditures with a view to bringing about his nomination for election or election to or retention in public office, or his or her election as ward or township committeeman in counties of 3,000,000 or more population.

The Board's rules, found at 26 Ill.Admin.Code Sec. 100.10(b)(2), provide in relevant part, that:

Candidate

A) Reference: This subsection (b)(2) interprets or applies to Section 9-1.3 of the Election Code.

B) "Candidate", as that term is defined in Section 9-1.3 of the Election Code, shall include, but not be limited to:

i) A person who circulates or authorizes the circulation of nominating petitions on his or her behalf for public office;

ii) An individual who receives contributions or makes expenditures or gives consent for any other person to receive contributions or make expenditures for the purpose of bringing about his or her nomination for election or re-election to any office.

The payment to Kamis, an attorney, was a retainer for legal and consulting services. Kamis was retained prior to Mahoney becoming a candidate, to provide legal and political advice to help determine whether or not Mahoney would become a candidate for the office of Circuit Court Judge of Cook County. This payment was made weeks before Mahoney actually decided to become a "Candidate" under the Code. Mahoney was only a potential candidate at the time the payment was made.

Because Mahoney performed none of these triggering activities, he was not a Candidate under the Code. Only Candidates are required to file. Because he was not a Candidate, he was not required to file.

III. The Committee Drafted a Form D-2 In Good Faith And In The Interests  
Of Transparency But Was Not Legally Required To File A D-2 Or a Schedule A-1

The Board alleges that the Committee failed to timely file a Schedule A-1 Report of Campaign contributions of \$1,000 as required by the Illinois Campaign Disclosure Act (the Act). The Act requires that a "Candidate" file a Schedule A-1 Report of Campaign contribution to report a "Contribution" within five days of its receipt. 10 ILCS 5/9-10(c). As stated above, the



Committee submits that Mahoney was not a candidate and the payment to Kamis did not make him a candidate.

The Committee, through its Campaign Chairman and Treasurer, Laura Holwell-Mahoney (Holwell-Mahoney), filed its Form D-1 on July 12, 2013, in person, at 12:25 pm. At that time the Campaign Chairman and Treasurer was in possession of a Form D-2 for the period April 1 through June 30, 2013 had been drafted and executed in an act of good faith, and in the interests of transparency. The D-2 described to payment to Kamis by the Law Office of Laura A. Holwell. No Schedule A-1 had been drafted because Mahoney had not been a candidate.

Holwell-Mahoney, in an abundance of caution, mentioned to the Board Staff that she had a Form D-2 in her possession. Board Staff at the front desk told Holwell-Mahoney that the Form D-2 should also be filed with the Board. After a period of discussion, Board Staff informed the Holwell-Mahoney that a Schedule A-1 was also required. Board Staff provided Holwell-Mahoney with a blank Schedule A-1, instructed her to fill it out by hand and file it. Holwell-Mahoney complied, filled out the report and handed it to Board Staff. The Form D-2 was filed at 12:44 and the Schedule A-1 was filed at 12:45 pm, or 20 minutes after the initial Form D-1 Statement of Organization was filed.

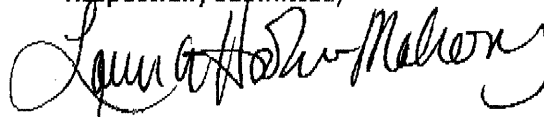
Neither of these documents should have been filed because they memorialized acts that occurred prior to the time that Mahoney became a candidate, at a time prior to the date that the Committee was created, and was therefore not subject to the Act.

### III. Conclusion:

As stated above, the June 25, 2013 transaction was executed before Mahoney had performed any of the triggering activities listed in the Act and the Rules, and thus, occurred

before he became a candidate within the meaning of the Act and the Rules. It was not until July 11 that Mahoney began to seek nomination, began to take action necessary to attempt to qualify for nomination, or received contributions/made expenditures with a view to bringing about his nomination. Therefore, Mahoney was not a candidate before that time and was not required to file a Form A-1. Hence, the Committee respectfully requests that the Board issue and order reversing its decision to fine the Committee.

Respectfully submitted,



Friends of John Mahoney, ID# 25457

By:

Laura A. Holwell-Mahoney  
Chairman and Treasurer

Signed and Sworn to by:

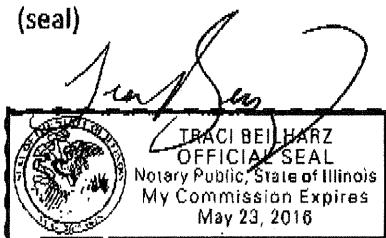
Laura Ann Holwell-Mahoney

Before me this 7<sup>th</sup> day of

October 2013.

Notary Public

(seal)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

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Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



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September 11, 2013

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Bryan A. Schneider  
Casandra B. Watson

Friends of John Mahoney for Circuit Court Judge ID# 25457  
Laura Mahoney  
115 S. LaSalle Street, 26th Floor  
Chicago, IL 60603

7011 2970 0003 6441 8281

Dear Friends of John Mahoney for Circuit Court Judge:

This letter is to inform you that this committee failed to file its D-1 Statement of Organization during the requisite filing period.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-3 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 7/12/2013, 2012, 2 day(s) late. As such, this committee has been assessed a fine of \$100.00.

In addition, this committee failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Law office of Laura A. Howell	6/25/2013	\$5000	7/12/2013	7	\$2500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$2500.00 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$250.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

**The total for all new assessments is \$350.00.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.**

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**13 MA 055**

Citizens to Elect Vernard L Alsberry Jr  
**Respondent**

ID# 24638

**REPORT OF HEARING OFFICER**

**Appeal of Civil Penalty Assessment for Delinquent Filing of the June 2013 Quarterly Report and a Schedule A-1 Report**

The June 2013 Quarterly Report was received by the Board on July 22, 2013, 5 days late, resulting in a civil penalty assessment of \$250. Additionally the Committee received a \$2,500 contribution on 6/5/13, and reported it on a Schedule A-1 received by the Board on 6/13/13, 1 day late, resulting in a civil penalty assessment of \$1,250. The total assessment is \$1,500.

Vernard L Alsberry Jr, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Alsberry states that the Schedule A-1 in question was faxed to the Board on June 12 by the Committee Treasurer. He says the fax machine used by the Committee only gives a monthly report of faxes so they have no physical report to confirm that date. Mr. Alsberry offers no defense for the late Quarterly Report filing.

While the Schedule A-1 faxed to the Board does not show an automatic time stamp marking to prove it was transmitted on June 12, a review of the Board's fax records combined with the Board's date stamp on the A-1 indicates it is possible the report was indeed faxed on June 12, although it may have been after the close of regular business hours. In order to give the Committee the benefit of the doubt for what appears to have been a good faith effort to timely file, I recommend the appeal in regards to the Schedule A-1 be granted. As for the late Quarterly Report, I recommend the appeal be denied for lack of an adequate defense. If these recommendations are accepted by the Board, the \$250 civil penalty will be stayed as a first violation. (As of 9/30/13, this Committee reported a funds available balance of \$284.57.)



---

Tom Newman – Hearing Officer  
October 31, 2013

State of Illinois )  
)  
County of : \_\_\_\_\_)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
)  
ILLINOIS STATE BOARD OF ELECTIONS, )  
)  
Complainant )  
)  
Vs. Citizens to Elect )  
Vernard L. Alsberry Jr. )  
Respondent(s). )

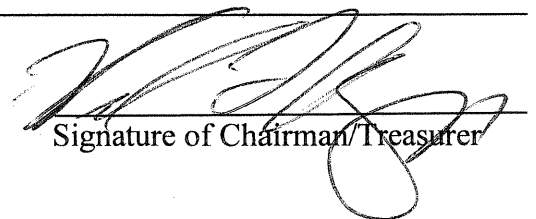
Case No. 13MA055

APPEAL AFFIDAVIT

I, Vernard L. Alsberry Jr., the Chairman of the  
(Name) (Chairman/Treasurer)  
Citizens to Elect Vernard L. Alsberry Jr.  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The schedule A-1 was faxed to the office of the State Board of Elections  
on 6-12-13 by my Treasurer. I am enclosing the schedule A-1 which  
was signed by the Treasurer as dated. The fax since we use only gives a monthly report  
of taxes so we have no physical report except for the signed dated on the A-1 and the history of filing  
all our Schedule A-1's on a timely manner.

  
Signature of Chairman/Treasurer

Signed and Sworn to by:  
Carolyn R. Ingram-Carter  
before me this 9 Day of Oct, 2013

Notary Public  
(seal)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd  
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Fax: 217/782-5959

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Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



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Casandra B. Watson

Citizens to Elect Vernard L Alsberry Jr  
Vernard Alsberry  
PO Box 743  
Hazel Crest, IL 60429

ID# 24638

7011 2970 0003 6441 7277

**CORRECTED ASSESSMENT**

Dear Citizens to Elect Vernard L Alsberry Jr:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June 2013 Quarterly Report of Campaign Contributions and Expenditures  
Report Period: April 1, 2013 through June 30, 2013  
Filing Period: July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on July 22, 2013, 5 day(s) late. As such, this committee has been assessed a fine of \$250.00.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Sybyrban Towing, Inc.	6/5/2013	\$2500	6/13/2013	1	\$1250

The committee is fined a **total** of \$1250.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$125.00, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

**The total for all assessments in this letter is \$375.00.**

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**13 MA 037**

Committee to Elect Abu Anan-Taleb      ID# 24789  
**Respondent**

**REPORT OF HEARING OFFICER**

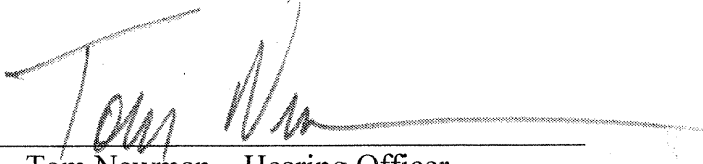
**Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports**

The Committee received a \$1,500 contribution on 3/11/13 and reported it on a Schedule A-1 received by the Board on 3/15/13, 2 days late, resulting in a civil penalty assessment of \$750. In addition, the Committee received a \$1,000 contribution on 4/15/13 and reported it on a Schedule A-1 received by the Board on 5/13/13, 15 days late, resulting in a civil penalty assessment of \$500. The total assessment is \$1,250.

Margaret Taleb, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Taleb states that the contribution originally dated 3/11/13 was actually received by the Committee on 3/14/13. She says the date on the check was erroneously used as the receipt date. She includes a copy of the check and deposit slip to confirm this. Ms. Taleb offers no defense for the late reporting of the \$1,000 contribution.

Based on the evidence provided, the \$1,500 contribution was in fact timely reported and the Committee's Quarterly Report has been amended to reflect the correct date. I recommend the appeal be granted in regards to that contribution. In regards to the \$1,000 contribution, I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$50. As a first violation, the penalty is stayed. Additionally, since the Committee has filed a Final Report, I recommend that should the Committee remain dissolved for a period of two years following the Final Board Order imposing the fine, the fine be abated. (As of 9/30/13, this Committee reported a funds available balance of \$0.)

  
\_\_\_\_\_  
Tom Newman – Hearing Officer  
October 31, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

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Chicago Illinois 60601  
312/814-6440  
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September 11, 2013

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William M. McGuffage  
Bryan A. Schneider  
Casandra B. Watson

Committee to Elect Anan Abu-Taleb  
Anan Abu-Taleb/Margaret Taleb  
812 S. Kenilworth  
Oak Park, IL 60304

ID# 24789

7011 2970 0003 6441 8175

Dear Committee to Elect Anan Abu-Taleb:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act during the 1st quarter:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Paul Gearen	3/11/2013	\$1500	3/15/2013	2	\$750

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$750.00 for delinquently filing Schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$75.00, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee also failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act during the 2<sup>nd</sup> quarter of 2013:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Mary Jo Schuler	4/15/2013	\$1000	5/13/2013	15	\$500

Your committee is subject to a fine of \$500.00 for delinquently filing Schedule A-1 reports.



State of Illinois )  
County of: COOK )

STATE BOARD OF ELECTIONS

13 SEP 30 PM 1:01

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Committee to Elect Anan Abu-Taleb )  
Respondent(s). )

Case No. 13MA037

APPEAL AFFIDAVIT

I, Margaret Taleb, the Treasurer of the  
(Name) (Chairman/Treasurer)  
Committee to Elect Anan Abu-Taleb  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

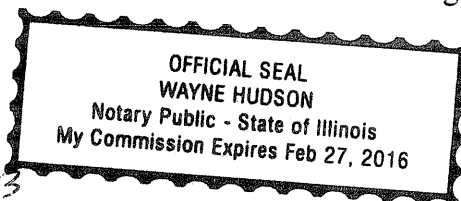
The check from Paul Gearen originally dated 3/11/13 on the A-1 and D-2 was actually recieved on 3/14/13. It was deposited on Monday 3/18/13. The check date on the check was erroneously used as the recieved date. The A-1 was therefore filed in a timely manner. Copies of the check and the bank deposit slip are attached. The D-2 will be ammended to reflect the 3/14/13 date.

Margaret Taleb  
Signature of Chairman/Treasurer

Signed and Sworn to by:

Margaret Taleb mt  
for me this 27<sup>th</sup> Day of September, 2013

Notary Public  
(seal)



Wayne Hudson

#24487.

PAUL GEAREN  
1300 HIGGINS RD STE 104  
PARK RIDGE, IL 60068

Fidelity® Cash Management Account 2081

STATE BOARD OF ELECTIONS

3/11/13

Date 13 SEP 30 PM 1:31

Pay to the  
Order of

The Committee to elect Anna H. Tala

\$ 500

600 five hundred

Dollars

1

Security  
Features  
Details on  
Back



UMB Bank, N.A.  
Warsaw, MO

For

[Redacted signature area]

Harland Clarke

Member FDIC

River Forest

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Community Bank  
Of  
Oak Park River Forest  
MAIN OFFICE-OAK PARK

Community Bank of Oak Park River Forest

Drawer 204 T 1500.00  
3/18/13 10:55 AM

Account Number

\*\*\*\*\*

1500.00

Cash Back

.00

Current Balance

N/A

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**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

13 MA 042

Citizens for Randy Marks 25160

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquent Filing  
The March and June 2013 Quarterly Reports

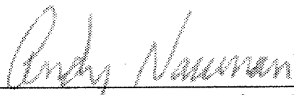
The March 2013 Quarterly Report was received by the Board on 7/17/13, 65 days late, resulting in a civil penalty assessment of \$3,250. In addition, the June 2013 Quarterly Report was received by the Board on 7/17/13, 2 day late, resulting in a civil penalty assessment of \$100. The total assessment is \$3,350.

Jon A Zahm, the person who files the reports electronically on behalf of the Committee, called in for a telephonic appeal hearing on October 24, 2013.

Jon Zahm stated that the March 2013 Quarterly Report was filed timely on paper. Mr Zahm stated that the paper filing disclosed more information than the electronically filed document because contributions of \$150 were itemized on the paper filing. The program automatically placed those contributions of \$150 or less under not-itemized. Therefore, Mr. Zahm believes the original filing shows that the Committee was not trying to hide anything because they reported more than was required. Mr. Zahm also stated that he had not filed electronically for nearly 10 years and no one else in the organization had any experience with filing electronically. Mr. Zahm said it took him some time to sit down and figure out the newer version of the electronic filing program. Mr. Zahm stated that he planned on filing the March 2013 Quarterly Report, the June 2013 Quarterly Report and a Final Report on July 15<sup>th</sup>. However, Mr. Zahm did not start working on the reports until after hours and he could not figure out how to file the March or the June Quarterly Report. He believes he had a problem creating entities before he could save and send information. In relation to the June 2013 Quarterly Report Mr. Zahm stated that the program prevented him from filing this report. The program does not allow for a report for a later reporting period to be filed prior to an earlier reporting period being filed. Mr. Zahm contacted the State Board of Elections and the reports were filed on 7/17/13. Mr. Zahm requests that the Board consider that he was having electronic filing issues.

Citizens for Randy Marks filed the March 2013 Quarterly Report on paper on 4/15/13. The State Board of Elections sent an electronic warning letter to the Committee on 4/16/13 because the report was required to be filed electronically. The letter indicated that the Committee had 30 days to re-file the report electronically or the report would be considered a non-filing. Mr. Zahm did not sit down to complete this filing until 7/15/13 therefore I recommend the appeal be denied for lack of an adequate defense. However, Mr. Zahm experienced some problems when he tried to file the report nearly two months after the report should have been filed and for this I recommend the assessment be calculated from the date the Respondent stated they tried to file the report electronically, 7/15/13. If this recommendation is accepted by the Board the assessment for the March 2013 Quarterly Report will be \$3,150. In relation to the June 2013 Quarterly Report Mr. Zahm was correct that the program would have prevented him from being allowed to file the report because he had failed to file the March 2013 Quarterly Report electronically.

However, had Mr. Zahm electronically re-filed the March 2013 Quarterly Report or at least attempted to file the report in a more timely manner associated with the electronic filing letter the Committee would not have had any issues when it came time to file the June 2013 Quarterly Report. I believe the problem Mr. Zahm explained during the appeal hearing was a result of not knowing how to operate the program rather than an issue where something was wrong with the electronic filing program. However, in order to be consistent with previous Board decisions when an electronic filing issue was presented as a defense, I recommend that the appeal be granted in relation to the June 2013 Quarterly Report. If this recommendation is accepted by the Board the assessment for the March 2013 Quarterly Report will be stayed as a first violation. (The Committee has filed a Final Report.)

  
\_\_\_\_\_  
Andy Nauman – Hearing Officer  
October 29, 2013

State of Illinois )  
County of: Lake )

STATE BOARD OF ELECTIONS

13 OCT -2 PM 1:41

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. )

Citizens for Randy Marks )  
Respondent(s). )

Case No. 13MA042  
25160

APPEAL AFFIDAVIT

I, David F. Nelson, the Chairman of the  
(Name) (Chairman/Treasurer)  
Citizens for Randy Marks  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached

[Signature]  
Signature of Chairman/Treasurer

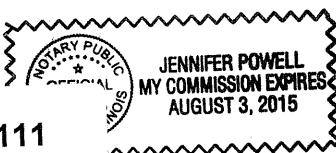
Signed and Sworn to by:

Jennifer Powell

before me this 20 Day of Sept, 2013

Notary Public  
(seal)

[Signature]



STATE BOARD OF ELECTIONS  
13 OCT 2 PM 1:41  
Our 2<sup>nd</sup> quarter report was filed 2 days late as we could not get access to the IDIS system until the 1<sup>st</sup> quarter report was filed. The 1<sup>st</sup> quarter report was filed on time on paper, but the dollar threshold necessitated that it was filed electronically. Both reports have now been filed electronically as well as a Final Report. We ask for consideration of a waiver of the fines imposed in the letter dated September 11, 2013 of \$3620.00 as we are in full compliance and were not late during the time the election was conducted. No one was delayed in reviewing our documents during election time.

Thank you for your consideration.

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 11, 2013

BOARD MEMBERS  
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Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Casandra B. Watson

Citizens for Randy Marks  
111 North Ave, Ste 101  
Barrington, IL 60010

ID# 25160

7011 2970 0003 6441 8229

Dear Citizens for Randy Marks:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2013-March 31, 2013
Filing Period:	April 1, 2013-April 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 7/17/2013, 65 day(s) late. As such, this committee has been assessed a fine of \$3,520.00.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013-June 30, 2013
Filing Period:	July 1, 2013-July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/17/2013, 2 day(s) late. As such, this committee has been assessed a fine of \$100.00.

**The total for all new assessments is \$3620.00.**

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

Vs.

12 MQ-CL 002

Friends of Ken Dunkin (ID 11436)  
**Respondent**

**REPORT OF HEARING EXAMINER**

**Appeal of Civil Penalty Assessment for a Violation of Contribution Limits**

During the election cycle, this Committee received In-Kind Contributions valued at \$48,017 from Hold On Communications and \$15,000 from Maldo Management, exceeding the \$10,000 contribution limit from a corporation to a candidate political committee and failed to return the portion in excess of the limit to the contributor or donate the same to charity, resulting in an escheatment of \$43,017 and a civil penalty of \$64,525.50. Since this is the first contribution limit violation, the fine is reduced to \$6,453 or 10% of the above-referenced amount. The total assessment including the escheatment is \$49,470.00.

Ken Dunkin, Candidate, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on August 28, 2012.

Attorney Michael Kasper appeared on behalf of the Respondent. Mr. Kasper stated that Hold On Communications is a political consulting firm that performed printing and mail services for the Committee in the amount of \$48,017. Currently, the Committee is disputing a portion of the outstanding invoice and the amount has not been paid. This entry should have been listed as a debt and obligation; however the Committee inadvertently listed these expenditures as in-kind contributions on the 2012 March Quarterly Report. The Respondent submitted a letter issued by counsel for Hold On Communications stating that the amounts reported were not considered in-kind contributions.

Mr. Kasper stated that the in-kind contributions from Maldo Management represented office space used by the campaign. In July of 2013, the Committee reimbursed \$4,500 to Maldo Management as payment for the in-kind amount received over the limit.

I recommend the appeal be granted related to the in-kind contributions from Hold On Communications valued at \$48,017. The Respondent supported its claim that the Committee inadvertently reported the contribution as in-kind contributions rather than a debt and has amended the report accordingly. I recommend that the appeal be denied related to the in-kind contributions from Maldo Management valued at \$15,000. 10 ILCS 5/9-8.5(b) indicates that the value of the in-kind contribution from a business cannot exceed the contribution limit of \$10,000 during an election cycle. A Committee is allowed to accept rent for office space valued at \$10,000. Rent accepted in excess of the limit must be



returned to the vendor by way of expenditure. The Committee reimbursed Maldo Management \$4,500; however this does not cover the exceeded limit of \$5,000. Based upon the Committee's partial repayment of \$4,500, I recommend that the Committee escheat \$500 to the State and a \$75 civil penalty will be assessed but stayed as a first time contribution limit violation. (As of 6/30/13, this Committee reported a funds available balance of \$190,968.36).

A handwritten signature in cursive script, reading "Tara Molnar", written in black ink.

Tara Molnar – Hearing Officer  
September 10, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
PO Box 4187  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 W. Randolph Street, Ste 14-100  
Chicago Illinois 60601-3232  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
May 31, 2012

BOARD MEMBERS  
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Jesse R. Smart, Vice Chairman  
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Betty J. Coffrin  
Ernest C. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Friends of Ken Dunkin  
Samuel Parrott  
1520 N Wells St  
Chicago, IL 60610

ID# 11436

RE: Violation of Contribution Limits

Dear Committee;

This committee has accepted the following contributions in violation of the contribution limits provided in 10 ILCS 5/9-8.5 during the 1/1/11 - 3/20/12 election cycle as defined in Section 5/9-1.9(1):

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Max Contribution Allowed</u>	<u>Amount in Violation of Limits</u>
Hold On Communications	3/16/12	\$1785	\$10000	
Hold On Communications	3/16/12	\$485	\$10000	
Hold On Communications	3/16/12	\$425	\$10000	
Hold On Communications	3/16/12	\$16860	\$10000	
Hold On Communications	3/16/12	\$5885	\$10000	
Hold On Communications	3/16/12	\$15860	\$10000	
Hold On Communications	3/16/12	\$385	\$10000	
Hold On Communications	3/16/12	\$2485	\$10000	
Hold On Communications	3/16/12	\$870	\$10000	
Hold On Communications	3/16/12	\$870	\$10000	
Hold On Communications	3/16/12	\$1285	\$10000	
Hold On Communications	3/16/12	\$485	\$10000	
Hold On Communications	3/16/12	\$337	\$10000	
	<b>TOTAL</b>	<b>\$48017</b>	<b>\$10000</b>	<b>\$38017</b>

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Max Contribution Allowed</u>	<u>Amount in Violation of Limits</u>
Maldo Management	3/21/11	\$1500		
Maldo Management	5/27/11	\$1500		
Maldo Management	6/29/11	\$1500		
Maldo Management	7/29/11	\$1500		
Maldo Management	10/14/11	\$3000		
Maldo Management	12/12/11	\$4500		
Maldo Management	1/13/12	\$1500		
	<b>TOTAL</b>	<b>\$15000</b>	<b>\$10000</b>	<b>\$5000</b>

The committee did not return the portion of the contribution exceeding \$10,000.00 to the contributor or donate the same amount to charity within 15 days as required by 10 ILCS 5/9-8.5(j) of the Election Code. Thus, an equal amount must escheat to the General Revenue Fund via the State Board of Elections, 2329 S MacArthur Blvd., Springfield IL 62704.

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$64525.50, (150% of the overage amount). However, since this is the first violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$6453.00, (10% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures.

The total amount of \$49,470.00 (fine amount plus the escheated amount) will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid within 30 days of the issuance of the Board Order.

Enclosed please find Section 10 of ILCS 5/9-8.5(j) of the Act, Section 100.75(d-g) of the Rules and Regulations, and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. Appeal forms may also be found on our website, [www.elections.il.gov](http://www.elections.il.gov). Notice of Appeal must be filed within 30 days of the date of this assessment letter for it to be considered.

If you have any questions regarding the appeal procedure, please call Sue Klos at 217/782-0608. If you have questions regarding the Act or the contribution limits themselves, please call the Campaign Disclosure Division at 217/782-4141.

Sincerely,



Sharon Steward

Director, Campaign Disclosure Division

SS: sk

Enclosures: appeal packet

State of Illinois )  
County of: COOK )

CHICAGO  
2012 JUL -2 PM 3:56  
STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Friends of Ken Dunkin )  
Respondent(s). )

Case No. 12MQ-CL002

APPEAL AFFIDAVIT

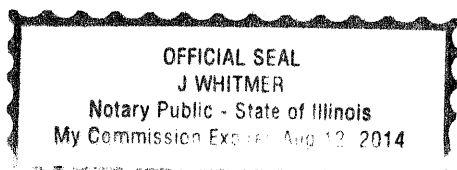
I, Ken Dunkin, the Chairman of the  
(Name) (Chairman/Treasurer)  
Friends of Ken Dunkin  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Ken Dunkin  
Signature of Chairman/Treasurer

signed and Sworn to by: [Signature]  
before me this 2nd Day of July, 2012  
Notary Public  
(seal)



**RANDY CRUMPTON**  
ATTORNEY AT LAW

Three First National Plaza  
70 West Madison  
SUITE 1400  
CHICAGO, ILLINOIS 60602

Telephone: 312-214-3327

Facsimile: 312-214-3341  
Email: [rancrump@aol.com](mailto:rancrump@aol.com)

August 7, 2012

Friends of Ken Dunkin  
P. O. 16802  
Chicago, Illinois 60616

RE: Hold On Communications, In-Kind Contribution to Friends of Ken Dunkin

Dear Sir or Madam:

Please be advised that I represent Hold On Communications. This letter is to correct an error on behalf of my client, Hold On Communications, with regards to an in-kind contribution and invoices made to the Friends of Ken Dunkin. Hold On Communications, stand by all of its invoices.

The invoices in the amounts of \$9,412.00, \$16,860.00, \$5,885.00 and \$15,860.00 were reported as in-kind contributions but should have been invoiced to the committee and are considered a debt to the company. While the committee disputes the invoices, my client believes them to be accurate. Hold On Communications is prepared to work something out with the committee to settle this matter. We apologize for the communication and billing errors.

If you have any questions, please call me,

Sincerely,

  
Randy Crumpton

**STATE BOARD OF ELECTIONS**  
**2329 S MacArthur Blvd**  
**Springfield, Illinois 62704**  
**217/782-4141**

**Sharon Steward**

**Director, Campaign Disclosure Division**

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, Steve Sandvoss, General Counsel

Re: Civil Penalty Assessments Necessitating a Final Board Order

Date: November 8, 2013

Listed below are committees that have been assessed a civil penalty for the delinquent filing of the D-1 Statement of Organization, the March 2013 Quarterly report, the June 2013 Quarterly report, Schedule A-1's and "multi-assessments". These violations were not appealed & should be issued a Final Board Order.

<b>D-1 Statement of Organization</b>			
<b>Cmte No</b>	<b>Cmte Name</b>	<b>Amt of Fine</b>	<b>Previous Violations</b>
25365	Cmte to elect John Waldron	2700	None
25463	Local 3 Political Action Cmte	1550	None
25467	Supporters of Joe Cook	600	None
<b>March 2013 Quarterly Report</b>			
<b>Cmte No</b>	<b>Cmte Name</b>	<b>Amt of Fine</b>	<b>Previous Violations</b>
15527	Chicago Southland Chamber of Commerce PAC	1150	none
<b>June 2013 Quarterly Report</b>			
<b>Cmte No</b>	<b>Cmte Name</b>	<b>Amt of Fine</b>	<b>Previous Violations</b>
28	Johnson County Republican Cmte	25	None
38	Citizens for Lechowicz	100	1 q
62	Macoupin County Democrat Party	450	None
208	Woodford County Republican Central Cmte	450	None
272	Bond County Republican Central Cmte	225	None
387	Crawford County Republican Women	200	None
523	Riverside Twp Regular Republican Org Inc	225	None
535	Illinois Funeral Directors Assn PAC (IFDA-PAC)	400	None
607	Monroe County Republican Central Cmte	50	None
712	Illinois Coin Machine Operators Assn PAC	50	None
749	Boone County Republican Central Cmte	50	q's, A-1 (pd)
768	Aurora Township Republican Central Cmte	500	None
938	Service Employees Local # 1 PEC	25	None
1055	McDonough County Democratic Central Comm	550	None
1148	Edwards County Republican Central Cmte	450	1 Q
1219	La Salle County Republican Century Club	525	None
4284	Glencoe Caucus Advisory Council	225	None
4331	United Party of Justice/Citizens Party	225	None
4562	Citizens to Elect Eckhoff	50	None

4606	Committee to Elect Robert A Heap	300	None
4688	Citizens for Joe Shetina	25	None
4870	Lake Forest Caucus	50	None
5301	Aurora Township Democratic Central Cmte	75	2 q's (pd)
5788	Edwardsville Republican Womens Club **	225	None
5856	Friends of Ted Lechowicz	100	Semi's, A-1 (pd)
6352	Local 392 PAC Fund	100	None
6637	18th Congressional District COPE Cmte	25	None
8377	Citizens Organized for Public Safety	2600	Q's, A-1, semi (pd)
8421	Citizens for Lauren Beth Gash	250	None
10576	Northern IL Alliance of Fire Protection Dist PAC	400	2 q's (pd)
11061	Citizens for Classrooms Plainfield School Dist 202	450	Semi's, q
12482	Citizens for Konicki	200	1 q
12650	Greater Homewood Party	1100	1 q
12977	Citizens for Rosenbaum	400	None
13079	Bryant for Mayor Cmte	100	None
14153	Citizens for Lyle	325	None
14177	Friends of Steve Antonacci **	125	None
15431	42nd Ward Republican Organization	75	Semi, q's, A-1's
16324	We the People for Daly	150	None
16451	Citizens for Shane Cultra 105	100	A-1, q (pd)
16781	Committee to Elect Don Lamps **	150	None
16801	Friends of Phil Salzer	50	None
18043	Chicago PAC	250	None
18044	New 6th Ward Democrats **	325	None
18127	Friends of Doug House	325	None
18588	Fraternal Order of Police	225	None
18620	Citizens to Elect Raymond Tuminello	75	None
18693	Americans for Allen Adomite	275	None
19135	Friends for Franco	575	None
19187	Todd Smith Campaign Committee **	75	None
19201	Friends for Howland	125	None
19460	Polish American Congress PAC	225	Q's, semi's (pd)
20052	Friends of Sandi Jackson **	325	None
20195	Friends of Dan Irving	75	2 q's (pd)
20202	Friends of Rodney S Craig for Village President	750	3 q's (pd)
20288	Friends for John Scaletta	100	None
20363	Citizens for William Looby	50	None
20595	Committee to Elect Judge Marilyn Johnson	450	None
20703	Friends of Carol VanWinkle	50	None
20780	Friends of Eloise Gerson	75	1 q
20812	Committee to Elect Jesse G Reyes	75	None
20829	Citizens to Elect Gideon Abraham Baum for Judge	75	3 q's, A-1 (pd)
20889	Friends of Dominique C Ross	75	2 q's, A-1 (pd)
21057	1st Ward Democratic Committeeman Fund	600	D1, semi's, q's, A-1
21447	Committee to Elect Erik Rankin	100	None

21457	Friends of Highland Parks & Beaches	100	None
21623	Citizens to Elect Mark Sloane **	275	None
21778	Citizens for Joseph E Watrach	100	Semi, q
22035	Citizens for Michael Bram	375	1 q
22102	7th Ward Independent Political Organization **	325	A-1's
22191	Citizens for Collins	1500	1 q
22202	Committee to Elect Michelle Hugghis Flagg **	375	Semi, 4 q's
22288	Friends of Kinga Bartoszek	225	None
22333	Lake County Life PAC	200	3 q's, A-1 (pd)
22403	Equal Justice Party (EJP)	50	1 q
22413	Citizens for Brian J Smith	25	None
22439	Karen Kinney for County Clerk	25	None
22553	Friends of Randy Stevens	25	None
22725	Friends of Lynn Scott Pearson	25	None
22736	Citizens for Accountability in D15 **	350	None
23007	Citizens for Christopher Faber	200	1 q
23025	Welter for County Board **	750	2 q's (pd)
23078	Friends of Carol J Blackwood	50	None
23130	Friends of Enrique	850	Semi, A-1, q
23188	Neighbors United for Marc Kaplan	25	A-1
23204	Village Action Party	450	None
23237	Friends of Ryan Vaughn	75	None
23258	Friends of Clifton Howard	250	None
23264	Friends for John Laurenzana	200	None
23273	Citizens for Fiscal Responsibility	500	None
23304	Working for You Party	250	None
23359	United Communities of Chicago, Inc	25	A-1
23370	Jennifer Watson for State's Attorney	450	None
23408	Committee to Elect Scott Rochelle **	50	1 q
23446	Citizens to Elect John S Fotopoulos **	375	None
23461	United Brotherhood of Carpenters Local 790 PAC	100	None
23475	Carbondale for Joel Fritzler	275	None
23627	Citizens to Elect Judge Lorna Propes	900	A-1, q
23653	Working Forward PAC	450	None
23717	Friends of Edward M Maloney **	75	None
23848	Quinn for Justice	350	None
23856	Citizens for Robert Meister **	400	1 q
23889	Committee to Elect James G Riley	75	None
23896	Friends of Chris Benson	150	A-1 (pd)
23956	Citizens to Elect Justice P Scott Neville, Jr **	300	None
24024	Wright for Logan County State's Attorney	25	None
24029	Friends of Wes Morrissey	75	None
24102	Friends of Tim Whelan	75	2 q's, A-1
24278	Cmte to Elect Real Democrats 3rd Dist County Board **	150	1 q
24328	Friends of Mike Phelan	25	None
24334	Friends of Carrie Boyd **	350	1 q



24338	Citizens for Lawrence Jackson	275	A-1
24349	Chicago Democratic Alliance **	150	1 q
24405	Turn Illinois Red	1275	2 q's
24433	Clean Energy Illinois - Barry Matchett, Treasurer	100	None
24470	Truth About Broadview Bonds	50	1 q
24472	Friends of David Moore	375	1 q
24561	We Are Dixon-Supporting Lee County Schools Facility Tax	75	1 q
24596	We the People	275	None
24669	Friends of Paul Mulcahy	150	None
24680	Friends for Strzelczyk	25	None
24698	Progressive Awareness Committee of Hazel Crest Inc. **	75	None
24751	Avon Action **	350	None
24754	Citizens for John Heidelmeier **	250	None
24818	Visionary Party **	450	None
24825	Citizens to Elect Rob Parrish **	225	A-1
24878	Citizens Empowerment Party **	350	None
24888	Friends of Patrick J Hanlon	50	None
24892	Friends of Tyhani Hill	25	None
24907	Green for Mayor **	325	None
24940	Vote 1-2-3 for District 15 Board of Education **	50	None
24991	Friends of Theresa M Roche **	125	None
24999	Weissglass for D200 **	75	None
25024	Franco for Mayor	1150	None
25039	MarkHellner4Mayor Committee **	25	None
25040	START PAC	225	None
25043	Re-Elect Deborah Graham for District 202 **	250	None
25091	Wallace for Bartlett **	300	None
25094	Reform Now Committee	800	None
25113	David M Jacobson for Mayor **	275	None
25121	Friends of Mary Gaborek **	250	None
25125	Save Giant City School	125	None
25126	Meier for Mayor	250	None
25127	Friends of Don Gorman	50	None
25129	Meredith Booker for Trustee	25	None
25136	Tom Gustafson for School Board **	25	None
25159	Friends for Roosevelt Martin Jr	25	None
25161	Friends for Michelle Hughes **	450	None
25171	Citizens for David Hanks	250	None
25179	North Shore Republicans	550	None
25199	Committee to Elect Ed Fritts	125	None
25207	Friends of Ali Gadelhak	75	None
25210	Citizens for Donna Moore	100	None
25249	Committee to Elect Mark Kownick Mayor	50	none
25258	Orland Good Government Group **	1050	None
25260	United Democrats of Dolton **	200	None
25277	Citizens for Patricia Sheahan	50	None

25313	Pam Fender Campaign Committee **	300	None
25318	Better Broadview Party	25	None
25319	Committee to Elect Bill Thompson **	525	None
25325	Reform Education, Choose Equality, and Save Schools PAC	25	None
25344	Citizens for Stout **	500	None
25353	Committee to Elect Joshua M Frawley	325	None
25371	Committee to Elect Karen Elyea	250	None
25379	Citizens to Elect Vince Carbonaro	550	None
25387	Committee to Elect Harmon Mitchell **	800	None
25398	Disability Party of Illinois	225	None
25444	Bag PAC **	50	None
25445	State & Local PAC of Intl Alliance of Theatrical State	350	None

**Schedule A-1 (13 AD)**

<b>Cmte No</b>	<b>Cmte Name</b>	<b>Amt of Fine</b>	<b>Percentage Reduction</b>
16505	Citz to Elect Deborah Graham	500	250
16892	Citz for Pat Dowell	500	500
17010	Regular Democratic Org for Orland Twp	1500	150

**Schedule A-1 (13 AM)**

<b>Cmte No</b>	<b>Cmte Name</b>	<b>Amt of Fine</b>	<b>Percentage Reduction</b>
228	John Ericsson Republican League of IL	500	50
244	New Trier Republican Organization	1250	125
268	11th Ward Democratic Party Campaign Cmte	4550	455
12780	Village Party	500	250
19898	Citizens for Waguespack	750	750
20295	Friends for Education	11450	1145
21557	Friends of Paul O'Grady	500	50
23280	Citizens for Tim Durkee	2500	250
23373	Krummen for Council	500	50
24538	Citizens for Ronald Denson	2000	200
24614	Liberty Principles PAC	142,689	14269
24641	Bensenville First Party	3750	375
24683	Palos Unified Party **	500	50
24704	Friends of David J Avila	1250	125
24735	United Party	1500	150
24787	Changed Bensenville Party	3204	320
24826	Citizens for Wally Frasier	500	50
24846	Mulliner 4 Mayor **	1500	150
24849	PEP Party Finance Committee **	5458	546
24893	Smartchoices65 for Lake Bluff School Board	1968	197
24942	Your Village Your Voice Party	1000	500
24967	Laurel Prussing for Mayor **	1000	100
25057	Citizens for Mowris **	501	50
25093	Michael Scott Carter for Illinois	2500	250
25143	Citizens for Holly Kim **	500	50
25219	2 Plus 3 Equals 5 **	1000	100

25234	Friends for James Jozwiak	1250	125
25243	African American Clergy Coalition	10,000	1000
25303	Friends of Independent Midlothian Trustee Candidates **	696	70
<b>Schedule A-1 (13 AJ)</b>			
<b>Cmte No</b>	<b>Cmte Name</b>	<b>Amt of Fine</b>	<b>Percentage Reduction</b>
289	Mason County Republican Central Comm	500	50
493	CNA Citizens for Good State & Local Government	5000	500
758	IL Machinist Non-partisan Political League	750	75
804	Chemical Industry Council of Illinois -- CEMPAC	3500	350
4465	Citizens in Action Party	500	50
7645	Friends of Dan Brady	500	50
14900	West Deerfield Township Democrats	500	50
18308	Friends for Susana Mendoza	2500	250
23713	Jones for State Representative	500	500
24313	Friends of Melinda Hult	500	50
24710	Friends of Kianna Belcher	500	50
24740	Progressive Action Party	500	50
24771	Palka for Sheriff 2014	500	50
24843	Friends of Tom Gooch	936	94
24864	Citizens for Flamini	639	64
24904	Citizens to Elect Ann Rodgers	500	250
24932	Committee to Elect Bill Prim Sheriff of McHenry County	550	55
24988	Carlis B Sutton for 5 <sup>th</sup> Ward Alderman	500	50
25166	United for Progress **	500	50
25284	Friends of Natasha Holmes	1500	150
25405	Committee to Elect Jim Reed Sheriff of Bureau County	500	50
<b>MA "Multi-assessments" (A-1's from 2 quarters)</b>			
<b>Cmte No</b>	<b>Cmte Name</b>	<b>Amt of Fine</b>	<b>Percentage Reduction</b>
17290	Friends of George Cardenas	54,925	50/100
21361	Friends of Emil Jones III	4600	10/50
<b>MA "Multi-assessments" (Quarterly &amp; A-1)</b>			
<b>Cmte No</b>	<b>Cmte Name</b>	<b>Amt of Fine</b>	<b>Percentage Reduction</b>
24814	Citz to Elect Michael Benoit	4850	10%
24834	Elect Pruitt for Mayor **	750	10%
24835	Victory in Frankfort Township 2013 **	2150	10%
25196	Friends of Frank Bucarro	1100	10%
25260	United Democrats for Dolton **	1460	10%
25297	Keep Forest Park Conservative **	3878	10%
25391	Friends to Elect Frederick Collins	725	10%

<b>MA “Multi-assessments” (2 or more Quarterly )</b>			
<b>Cmte No</b>	<b>Cmte Name</b>	<b>Amt of Fine</b>	<b>Previous Violations</b>
929	Local 143 IUOE Pac	10,000	2 q’s
1051	Waukegan Township Republican Org	6400	None
24801	Committee to Elect Jennifer Groce **	1300	A-1’s
<b>MA “Multi-assessments” (D1 &amp; Quarterly)</b>			
<b>Cmte No</b>	<b>Cmte Name</b>	<b>Amt of Fine</b>	<b>Previous Violations</b>
25355	Friends of John Doss **	2300	None
25383	Police Benevolent & Protective Assn of Political Cmte **	2850	None

**(\*\* - DENOTES COMMITTEES THAT HAVE FILED A FINAL REPORT)**

**STATE BOARD OF ELECTIONS**

**2329 South MacArthur Blvd.**

**Springfield, Illinois 62704**

**217/782-4141**

**Sharon Steward**

**Director, Division of Campaign Disclosure**

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To: Members of the Board, Rupert T. Borgsmiller, Executive Director, & Steve Sandvoss, General Counsel

Re: Ballot Forfeiture List

Date: November 6, 2013

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Campaign Disclosure is currently preparing the list of candidates subject to ballot forfeiture for the March 2014 Primary Election. If the political committee of a candidate owes a civil penalty to the Board, that candidate cannot be certified to the ballot by either the Board or the county clerk.

That list will be sent to you under separate cover prior to the November Board Meeting. It is not a final list as names will be added after the approval of final board orders at the upcoming board meeting. Names will be removed as payments are received.

Candidates and officers will be sent letters advising them of the fact that the candidates will not be certified if their political committees owe civil penalties. County clerks and boards of election commissioners will also be sent the list. It will be updated as needed.

To clarify, a civil penalty is not due and owing until a final order has been issued by the Board. Applicable section of the law follows:

*10 ILCS 5/9-30. Ballot forfeiture. The State Board of Elections shall not certify the name of any person who has not paid a civil penalty imposed against his or her political committee under this Article to appear upon any ballot for any office in any election if the penalty is unpaid by the date required for certification.*

*The State Board of Elections shall generate a list of all candidates whose political committees have not paid any civil penalty assessed against them under this Article. Such list shall be transmitted to any election authority whose duty it is to place the name of any such candidate on the ballot. The election authority shall not place upon the ballot the name of any candidate appearing on this list for any office in any election while the penalty is unpaid, unless the candidate has requested a hearing and the Board has not disposed of the matter by the date of certification.*

**/STATE BOARD OF ELECTIONS  
2329 S. MacArthur Blvd  
Springfield, Illinois 62708  
217/782-4141**

**Sharon Steward**

**Director, Campaign Disclosure Division**

To: Rupert T Borgsmiller, Executive Director, Members of the Board

Re: Payment of Civil Penalty Assessments - Informational

Date: November 6, 2013

---

The following committees have made payment of outstanding civil penalties for the period 10/10/2013 - 11/6/2013.

- Citizens for Terry Vorderer - \$25.00
- Grundy County Democrats - \$500.00
- Progressive Citizens Party - \$169.00
- Local 134 PAC - \$650.00
- Greater Homewood Party - \$300.00
- Committee to Elect Mike Verbic for Mayor - \$412.00
- Committee to Elect Mel Thillens - \$6175.00
- Friends of Jamie Saam - \$50.00
- Committee to Elect Jennifer Groce - \$7.67
- Warren Township Democrats - \$2.68
- Friends of John F. Argoudelis - \$250.00
- Citizens to Elect Patrick O'Shea - \$125.00
- Village Improvement Party - \$350.00
- United Peoples Party - \$50.00
- Committee to Elect Allen P Walker Circuit Judge - \$300.00
- 44<sup>th</sup> Ward Regular Democratic Org - \$1000.00
- Clinton Krislov State Campaign Committee - \$1118.75
- Citizens for Officer - \$150.00
- Friends for Matt Stimac - \$100.00
- Ed Montley for Sheriff - \$350.00
- Friends of Tabares Political Action Committee - \$250.00
- Citizens for Jerry Bennett - \$100.00
- Friends of Rick Reinbold Campaign - \$250.00
- Gallatin County Republican Central Committee - \$150.00
- Illinois Insurance Assn PAC - \$1343.00
- Citizens to Elect Linda Jackson - \$1075.00
- Citizens for John Beck - \$700.00
- Friends of Mattie Hunter - \$300.00
- Citizens for Denise Moore - \$325.00
- Indo America Democratic Organization - \$325.00
- Citizens to Elect Cheri Neal - \$172.00
- Massac County Republican Womens Club - \$100.00

**TOTAL :     \$17,175.10**

**Year to Date Totals:**

12/7/12 to 1/11/13: \$28,761.50  
1/11/13 to 2/7/13: \$15,818.43  
2/8/13 to 3/5/13: \$8751.14  
3/5/13 to 4/3/13: \$17,820.45  
4/3/13 to 5/8/13: 17594.50  
5/9/2013 to 6/6/2013: \$6880.00  
6/7/13 to 6/20/13: \$22,588.50  
6/21/13 to 8/7/13: \$36,771.07  
8/7/13 to 9/4/13: \$20,728.36  
9/5/13 to 10/9/13: \$100,736.31  
10/10/13 to 11/6/13: \$17,175.10

# State Board of Elections

*From the desk of....Jane Gasperin, Director of Election Information*

*Phone: 217-782-1555*

*Email: [jgasperin@elections.il.gov](mailto:jgasperin@elections.il.gov)*



**To:** All SBE Staff  
**Re:** Filing Assignments  
**Date:** November 6, 2013

## **NOVEMBER 25 THROUGH DECEMBER 2 FILING PERIOD AND ASSOICATED ACTIVITIES**

Thank you in advance for your willingness to assist with the upcoming filing period. Our success during this time requires a coordinated agency-wide effort with each staff member focusing on his/her individual assignment while strictly adhering to all established procedures.

This will be the first time we will have a major filing period in our new facility. All filing activities will be confined to the board room.

As the filing period approaches we are finalizing the details for staff assignments and responsibilities. In 2012 we had a very successful filing period when we combined the duties of Data Entry and Receipt Handler and stationing Coders and Data Entry staff together at each station for a "one stop shop" to handle all filing activities in one step.

On November 25<sup>th</sup>, staff should use the parking lot on the west side of the building. Staff should arrive at the office not later than 7:15 a.m. unless directed otherwise. Staff will enter the building using the west door of the building using their ID badges. Everyone should be at their assigned station by 7:45 a.m. unless directed otherwise.

The line for petition filers will extend from the north door (which is the outside entrance to the board room) heading south on the side walk down the mall. Kyle Thomas, Ken Menzel and Rick Fulle will be "working the line" to help ensure control and to assist the filers in having their documentation in order. A table will be located outside the Board Room entrance.

The north door to the board room will open at 7:45 a.m. with the line of the filers stopping at the main entrance to the board room. Mike Roate will be stationed at this door. Promptly at 8:00 a.m. Jeremy Kirk will start directing the filers to various stations in board room. The legislative staffs will use the four stations along the west side of the board room.

When the legislative staff leaves their designated stations additional filers will be directed to those stations.



## FILING REPORTS

Candidate information will be posted to the SBE website at [www.elections.il.gov](http://www.elections.il.gov) when the filer signs the receipt indicating the information is correct. In order to avoid generating incomplete listings, no print capacity will be authorized in either the Springfield or Chicago office until the 8:00 a.m. is complete.

Two lists will be generated on the first day of filing (November 25). One list for the 8:00 a.m. filings and another printed at the end of the day (5:00 p.m.) which will be a cumulative report listing all filings for that day. These lists are for internal use and should be made available to the public on a limited basis as a print feature is available on the SBE website allowing the public to download and print candidate lists.

Because print lists are by nature outdated almost upon their production, staff should use the website when responding to filing inquiries. Please encourage the press, public and other interested parties to use our website for this information.

## PUBLIC VIEWING OF PETITIONS

After petitions are filed, they shall be made available for viewing by the public. Petition viewing will be conducted in the Board room from 1:00 p.m. – 5:00 p.m. on the first day of filing and from 8:00 a.m. – 5:00 p.m. thereafter, excluding holidays and weekends. Before petitions are made available for viewing and upon being returned, an audit of the document will be performed with the results being logged on the Petition Review Report.

## PETITION REQUEST

Request for paper or electronic copies (\$.25 per sheet or \$5.00 for electronic copies via email or on CD) will be accepted in both Springfield and Chicago from 1:00 p.m. – 5:00 p.m. on the first day of filing and from 8:00 a.m. to 5:00 p.m. in the Springfield office and 8:30 a.m. – 5:00 p.m. in the Chicago office thereafter. **No petition copy requests will be entered until payment is received.** Payment may be made by cash, check, money order, Master Card, American Express or Discover. No faxing of documents will occur for more than 5 pages subject to the advance payment requirement.

## SCANNING PETITIONS

Once again we will be scanning all petitions during this filing period. All petition copies will be printed from the scanned copy rather than the original.

## OBJECTIONS AND WITHDRAWALS

The objection period is December 3 through December 9, excluding the weekend. Objections and candidate withdrawals may be filed in either the Springfield or Chicago office. As in the past, I anticipate an extremely heavy volume of filings in the Chicago office on the last day of the objection period.

## FIRST DAY FILING ASSIGNMENTS - NOVEMBER 25

Assignment	Staff	Location	Time
Filing Line (Headset) (Economic Interest Statement)  (End of line for 8:00 a.m. filers)	Ken Menzel Kyle Thomas Rick Fulle IL State Police	Outside	6:30 a.m.
Traffic Control	Ryan Turner	Front Door	7:00 – 7:45 a.m.
Economic Interest Station	Renee Paine (SOS staff) Debra Steller (SOS staff)	Board Room	7:00 a.m.
Traffic Control	Mike Roate Jeremy Kirk Mike Montney IL State Police Vera Bolden	Board Room Entrance Inside Board Room North Door Exit North Door Exit West Door Exit	7:45 a.m.
Security	Jason Hinds	Hallway to Main Building	7:45 a.m.
Coding and Time Stamping	Bernadette Harrington Brie Urbanec Tom Newman Sharon Steward Dustin Schultz Cheryl Hobson Amy Evans Eric Donnewald Brent Davis Gary Nerone	Station One Station Two Station Three Station Four Station Five Station Six Station Seven Station Eight Station Nine Station Ten	7:45 a.m.
Data Entry and Receipting	Sue Klos Becky Glazier Katie Miller Laura Marbold Kay Walker Maggie Jasinski Carole Skaggs John Levin Amy Kelly Amy Calvin	Station One Station Two Station Three Station Four Station Five Station Six Station Seven Station Eight Station Nine Station Ten	7:45 a.m.
IT Support	Kevin Turner (w/ headset) Kim Watkins	Board Room	7:30 a.m.
Petition Transport	Mike Heap Ryan Turner Brian Zilm	Board Room	8:00 a.m.
Backup/Relief for Breaks	John Oldfield Clayton Nicholson		
Petition Storage and Filing	Mickey Reinders Jamy Sims Terri Smith	Room 124	7:45 a.m.
Receipt Correction	Kay Walker Kim Watkins		
Backup Coders/Time Stamping	Bruce Brown Adam Hager Chris Hager Linda Wentz		
Backup Data Entry/Receipting	Erica Christell Adam Hager Chris Hager		

Assignment	Staff	Location	Time
Backup Data Entry/Receipting	Kim Patrick Debbie Rantanen		
Counting and Assembly of Original Petitions	Debbie Rantanen Terri Smith Linda Wentz Vera Bolden Bruce Brown Brieanna Urbanec Erica Christell Jane Gasperin Cheryl Hobson Kay Walker Amy Evans	Scanning Stations	11:00 a.m.–10:00 p.m.  11:00 a.m.–12:00 a.m.  5:00 p.m.–8:00 p.m. 5:00 p.m.–10:00 p.m.  5:00 p.m.–12:00 a.m.
Scanning Original Petitions	John Oldfield Jamye Sims Matt Emmons Adam Hager Chris Hager Maggie Jasinski Mike Montney Dustin Schultz Bernadette Harrington Amy Kelly	Scanning Stations	11:00 a.m.–10:00 p.m.  11:00 a.m.–12:00 a.m.    5:00 p.m.–12:00 a.m.
Scanning Assistance	Temporary Employees (8)	Scanning Stations	11:00 a.m.–10:00 p.m.
Check In/Out of Petitions and Scanning Management	Gary Nerone Brent Davis	Scanning Stations	11:00 a.m.–12:00 a.m.
Filling Orders	Kim Watkins	Kim's Office	1:00 p.m.–8:00 p.m.

### NOVEMBER 26 ASSIGNMENTS

Assignment	Staff	Location	Time
Counting and Assembly of Original Petitions	Vera Bolden Erica Christell Debbie Rantanen Brieanna Urbanec Linda Wentz	Scanning Stations	8:00 a.m.–5:00 p.m.
Scanning Original Petitions	Chris Hager Bernadette Harrington Maggie Jasinski Mike Montney John Oldfield Dustin Schultz Jamye Sims Brian Zilm	Scanning Stations	8:00 a.m.–5:00 p.m.
Scanning Assistance	Temporary Employees (8)	Scanning Stations	8:00 a.m.–5:00 p.m.
Check In/Out of Petitions and Scanning Management	Gary Nerone Brent Davis	Scanning Stations	8:00 a.m.–5:00 p.m.
Filling Orders	Election Information staff		8:00 a.m.–5:00 p.m.

### NOVEMBER 27 – ALL ASSIGNMENTS PERFORMED BY ELECTION INFORMATION STAFF

## LAST DAY OF FILING ASSIGNMENTS – DECEMBER 2

Assignment	Staff	Location	Time
Economic Interest Station	Renee Paine (SOS staff)	Board Room	3:00 p.m.-5:00 p.m.
Traffic Control	Kyle Thomas Tom Newman Brian Zilm	Board Room Entrance Inside Board Room North Exit Door	1:00 p.m.-5:00 p.m.
Coding and Time Stamping	Eric Donnewald Cheryl Hobson Brent Davis	Board Room	1:00 p.m.-5:00 p.m.
Data Entry and Receipting	Sue Klos Kay Walker	Board Room	1:00 p.m.-5:00 p.m.
IT Support	Kevin Turner	Board Room	1:00 p.m.-5:00 p.m.
Backup/Relief for Breaks	Amy Calvin Becky Glazier John Levin Carole Skaggs		
Counting and Assembly of Original Petitions	Vera Bolden Debbie Rantanen Erica Christell Brieanna Urbanec Linda Wentz	Scanning Stations	8:00 a.m.-5:00 p.m.
Scanning Original Petitions	Matt Emmons Adam Hager Chris Hager Maggie Jasinski Mike Montney John Oldfield Dustin Schultz Jamye Sims	Scanning Stations	8:00 a.m.-5:00 p.m.
Check In/Out of Petitions and Scanning Management	Gary Nerone	Scanning Stations	8:00 a.m.-5:00 p.m.
Filing Orders	Election Information Staff		8:00 a.m.-5:00 p.m.

## **PETITION REQUEST/VIEWING**

Amy Evans is responsible for petition viewing and copy requests. Gary Nerone for removing petitions from Room 124 and working with scanning personnel. Brent Davis for scanning petitions.

### **Petition Request/Viewing**

**November 25**

**1:00 p.m. – 5:00 p.m.**

Amy Evans  
Amy Kelly

(After 5:00 p.m. Amy Evans and Amy Kelly moves to copy/scanning area)

**November 26, 27,**

**December 2, 3, 4, 5, 6 and 9**

**8:00 a.m. – 5:00 p.m.**

Amy Evans  
Amy Kelly

(Lunches covered by Erica Christell and Maggie Jasinski)

### **PROOF READING**

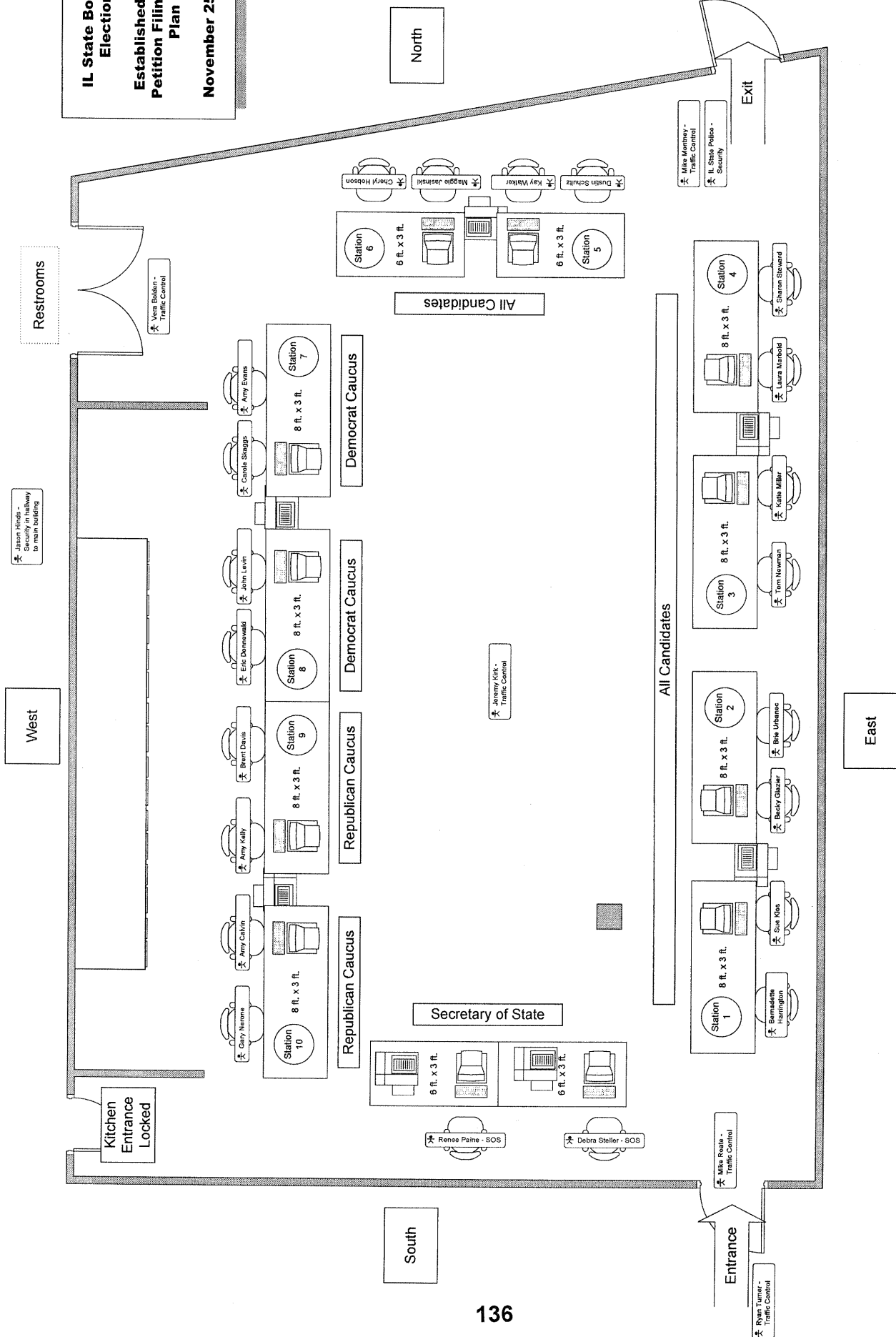
**November 26 – December 2**

**(As needed)**

**9:00 a.m. - ???**

Jane Gasperin  
Kay Walker  
Sue Klos

**IL State Board of  
Elections  
Established Party  
Petition Filing Floor  
Plan  
November 25, 2013**



# Judges Schools GP 2014

<b>Date</b>	<b>Jurisdiction</b>	<b>No. of Schools</b>	<b>Attendance</b>	<b>Zone</b>
Tuesday, January 14, 2014	Woodford	2	0	Three
Monday, January 20, 2014	Crawford	2	0	One
Thursday, January 30, 2014	Saline	3	0	One
Thursday, February 06, 2014	Montgomery	4	0	Two
Wednesday, February 12, 2014	Jackson	2	0	One
Thursday, February 13, 2014	Jackson	2	0	One
Tuesday, February 18, 2014	Menard	2	0	Two
Wednesday, February 19, 2014	Brown	2	0	Two
Thursday, February 20, 2014	Calhoun	2	0	Two
Thursday, February 20, 2014	Marshall	2	0	Three
Tuesday, February 25, 2014	Scott	1	0	Two
Wednesday, February 26, 2014	Galesburg	2	0	Three
Thursday, February 27, 2014	Henderson	2	0	Two
Thursday, February 27, 2014	Lawrence	2	0	One
Monday, March 03, 2014	Dekalb	3	0	Four
Tuesday, March 04, 2014	Dekalb	3	0	Four
Tuesday, March 04, 2014	Fayette	2	0	One
Wednesday, March 05, 2014	Morgan	3	0	Two
Thursday, March 06, 2014	Morgan	1	0	Two
Tuesday, March 11, 2014	Greene	2	0	Two
Tuesday, March 11, 2014	Williamson	3	0	One

# STATE BOARD OF ELECTIONS

## STATE OF ILLINOIS

2329 S. MacArthur Blvd  
Springfield, Illinois 62704-4503  
217/782-4141 TTY: 217/782-1518  
Fax: 217/782-5959

James R. Thompson Center  
100 W. Randolph St, Ste 14-100  
Chicago, Illinois 60601-3232  
312/814-6440 TTY: 312/814-6431  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller

BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Bryan A. Schneider  
Casandra B. Watson

### MEMORANDUM

**To:** Chairman Smart, Vice Chairman Scholz, Members of the Board  
Executive Director Rupert T. Borgsmiller

**From:** Bernadette Harrington, Legal Counsel

**Re:** Supplemental Consent Decree

**Date:** November 6, 2013

---

Please be aware that on October 31, 2013 the State of Illinois and the Illinois State Board of Elections entered into a Supplemental Consent Decree with the United States Department of Justice. A copy of the signed Supplemental Consent Decree is attached to this memorandum.

Pursuant to the Supplemental Consent Decree, the State Board of Elections "shall continue recommending legislation and taking any administrative actions needed to fully remedy the potential UOCAVA violations arising from the scheduling of special primary elections and special elections to fill vacancies in the office of U.S. Representative in Congress." Specifically, the Board has agreed to urge changes in the Illinois law governing special election schedules that take into account the 45 day advance ballot transmittal requirements of UOCAVA. The Board has also agreed to confer with the Department of Justice as needed on the progress of these efforts and provide a written status report to the Department of Justice by June 2, 2014. If curative legislation is not adopted by the State, the United States will seek permanent relief from the Court.

The Supplemental Consent Decree shall run through July 31, 2014.



IN THE UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION

UNITED STATES OF AMERICA,	)	
	)	
Plaintiff,	)	
	)	Case No. 13-cv-00189
v.	)	Judge: Norgle
	)	
THE STATE OF ILLINOIS;	)	
THE ILLINOIS STATE BOARD OF	)	
ELECTIONS; and RUPERT	)	
BORGSMILLER, Executive Director	)	
of the Illinois State Board of Elections,	)	
	)	
Defendants.	)	
	)	

**SUPPLEMENTAL CONSENT DECREE**

Plaintiff United States of America initiated this action on January 10, 2013, against the State of Illinois, the Illinois State Board of Elections, and Rupert Borgsmiller, the Executive Director of the Illinois State Board of Elections, in his official capacity (collectively, “Defendants”), to enforce the requirements of the Uniformed and Overseas Citizens Absentee Voting Act (“UOCAVA”), 42 U.S.C. §§ 1973ff to 1973ff-7. The next day, this Court entered a Consent Decree (ECF No. 9), finding violations of UOCAVA and ordering the State to take certain actions as an appropriate remedy of those violations of federal law. The Consent Decree put in place deadlines by which ballots were required to be sent to absent uniformed services voters and overseas voters (“UOCAVA voters”) through expedited transmittal and return. It also provided an opportunity for the UOCAVA voters to receive, mark and cast ballots for a special primary and special election which had been scheduled to fill a vacated seat in the State’s 2nd Congressional District. The Consent Decree also required the Defendants to “take such actions as are necessary to assure that UOCAVA voters shall have a fair and reasonable opportunity to

participate in future Federal elections, including proposing legislation and taking any administrative actions needed to fully remedy the potential UOCAVA violations arising from Illinois law governing the State's special election calendar." The Decree specifically required the State Board of Elections to "recommend an amendment to Section 25-7 of the Election Code, providing additional time to schedule special primary elections and special elections to fill vacancies in the office of U.S. Representative in Congress taking into account the 45 day advance ballot transmittal requirements of UOCAVA." The Decree further required the parties agree to confer on the progress of this permanent relief.

On May 31, 2013, the Illinois State Board of Elections submitted a status report stating that legislation amending the State's special election statute had been introduced in the Illinois House of Representatives on February 26, 2013, but that the bill was not called for a vote.

As required by the Consent Decree, the United States and Defendants, through their respective counsel, have conferred on the terms of future relief. In doing so, the parties have been guided by the following principles:

1. Defendant State of Illinois is obligated to comply with UOCAVA in conducting special elections for Federal office;
2. The current State Election Code establishes deadlines that, if adhered to, would prevent the State from complying with UOCAVA in the administration of any special election needed to fill a vacancy in the U.S. House of Representatives;
3. Continued jurisdiction by the Court is appropriate to enter a permanent remedy in this case should Illinois fail to enact legislation to ensure ongoing compliance with UOCAVA in future special elections to fill a vacancy in the U.S. House of Representatives.

WHEREFORE, the parties having freely given their consent, and the terms of the Decree being fair, reasonable, and consistent with the requirements of UOCAVA, it is hereby ORDERED, ADJUDGED, and DECREED by the Court that:

1. The Defendants shall take such actions as are necessary to assure that UOCAVA voters shall have a fair and reasonable opportunity to participate in future Federal elections. The Illinois SBOE shall continue recommending legislation and taking any administrative actions needed to fully remedy the potential UOCAVA violations arising from the scheduling of special primary elections and special elections to fill vacancies in the office of U.S. Representative in Congress. In particular, the SBOE will urge changes in the Illinois law governing special election schedules that take into account the 45 day advance ballot transmittal requirements of UOCAVA. The parties agree to confer as needed on the progress of these efforts, and Defendants shall provide a written status report to the United States Department of Justice by June 2, 2014. If curative legislation has not been adopted, then the United States will seek permanent relief from this Court.
2. If there is a vacancy for the office of U.S. Representative in Congress prior to the expiration of this Decree and curative legislation has not been adopted, the United States may move for injunctive relief to remedy the violation of UOCAVA prior to the election. In the case of such a violation, the parties agree that appropriate relief may include, but is not limited to, one or more of the following remedial measures, depending upon the circumstance presented:

- a. An appropriately tailored extension of the deadline for receipt of absentee ballots beyond the fourteen-day extension effectuated by Illinois law, provided that those ballots have been postmarked by election day.
  - b. A requirement that the State ensure expedited transmittal of all UOCAVA ballots for a special primary election and special election according to the preference of the voter (by mail, email, or facsimile).
  - c. A requirement that the State permit all UOCAVA voters the option of returning their marked ballots by express mail at no expense to the voter, or by electronic means.
  - d. Appropriate reporting requirements concerning ballot transmissions for the special election.
3. The Court shall retain jurisdiction over this action through July 31, 2014, within which time the parties may file motions to enter such further relief as may be necessary for the effectuation of the terms of this Consent Decree and to enter such relief as may be necessary to abate any UOCAVA violation with respect to future Federal elections.

The undersigned agree to entry of this Consent Decree.

For the Plaintiff:

ZACHARY T. FARDON  
United States Attorney  
Northern District of Illinois

JOCELYN SAMUELS  
Acting Assistant Attorney General  
Civil Rights Division


By: /s/ Patrick W. Johnson  
PATRICK W. JOHNSON  
Assistant United States Attorney  
219 South Dearborn Street  
Chicago, Illinois 60604  
(312) 353-5327  
patrick.johnson2@usdoj.gov

/s/ Catherine Meza  
T. CHRISTIAN HERREN, JR.  
TIMOTHY F. MELLETT  
SPENCER R. FISHER  
CATHERINE MEZA  
DAVID G. COOPER  
Attorneys, Voting Section  
Civil Rights Division  
U.S. Department of Justice  
950 Pennsylvania Avenue, N.W.  
Washington, D.C. 20530  
Telephone: (202) 305-0132  
Facsimile: (202) 307-3961

Date: October 29, 2013

For the Defendants:


State Board of Elections

By:   
Title: Executive Director  
Date: 10/29/13

LISA MADIGAN  
Attorney General of Illinois

/s/ Thomas A. Ioppolo  
THOMAS A. IOPPOLO  
Assistant Attorneys General  
General Law Bureau  
100 W. Randolph Street, 13th Floor  
Chicago, Illinois 60601  
Telephone: (312) 814-7198  
Facsimile: (312) 814-4425

SO ORDERED this 31 day of October, 2013.

  
United States District Judge

# Memorandum

*From the desk of...Cristina Cray, Director of Legislation*

*Phone: 217-782-1577*

*Email address: ccray@elections.il.gov*

**To:** Chairman Smart  
Vice Chairman Scholz  
Members of the Board  
**Subject:** Brennan Center Report on Early Voting  
**Date:** November 6, 2013

---

Please be advised that the Brennan Center for Justice at NYU School of Law recently released a report detailing the benefits of early voting programs and offering recommendations to substantially improve the election process.

Based on extensive interviews with election officials, including our Executive Director Rupert Borgsmiller, and an analysis of state early voting laws, Early Voting: What Works proposes seven early voting recommendations that would improve the process for both voters and election officials.

**Based on extensive research, the report recommends that all states and local jurisdictions implement the following early voting policies to expand the benefits of early voting nationwide:**

- Begin early in person voting two weeks before Election Day;
- Provide weekend voting, including during the weekend before Election Day;
- Set minimum daily hours for early voting and provide extended hours outside standard business hours;
- Allow use of both private and public facilities;
- Distribute early voting places fairly and equitably;
- Update poll books daily; and
- Educate the electorate about early voting.

Working closely with both the General Assembly and the local election jurisdictions, Illinois strives to meet each of these recommendations currently.

The full report is 44 pages and is available upon request.

Thank you.

State Board of Elections  
2329 So. MacArthur  
Springfield, IL 62704

# Memorandum

*From the desk of....Cristina Cray, Director of Legislation*

*Phone: 217-782-1577*

*Email address: ccray@elections.il.gov*

**To:** Executive Director Borgsmiller  
Chairman Smart  
Vice Chairman Scholz  
Members of the Board  
**Subject:** Legislative Agenda of Spring 2014  
**Date:** October 28, 2013

---

Please find below legislation for the Spring 2014 Session. This listing includes legislation introduced during the Spring 2013 Session that unfortunately did not get passed. We will continue to advocate our positions on these bills and promote their passage (bill numbers and sponsors are included). Page two lists a mini clean-up bill we would like to re-propose with a few additional modifications. It encompasses many small items put into one bill. In addition, we will once again submit our own budget bills in both the House and Senate.

Your comments and suggestions are greatly appreciated.

Raising the maximum number of voters per precinct. This legislation would raise the maximum number of voters per precinct from the current number of 800 to 1,200. (HB 2541/Brady)

Fixing vacancy in nomination language. In Section 7-61 and 8-17, this is a carry-over from 2010. (HB 2456/Fortner)

Eliminate one hour of voting. In the age of early voting, we have drafted legislation to eliminate one hour of voting on Election Day. New voting hours will be from 6:00 am to 6:00 pm. Also eliminate corresponding election judge payment. We are currently working with Appropriations staff to see if this is constitutional or if this would fall under a hold-harmless provision. This legislation will be set under separate cover. (SB 1902/Link)

Applications for absentee ballots must be received by the Election Authority not less than 7 days (now 5 days) prior to the election. (HB 2299/Zalewski)

Vacancies in the office of Representative in Congress. Introduced at the request of the Department of Justice. (HB 3266/Currie)

Eliminate the seven day calendar for complaints filed within 60 days prior to an election.

Remove the State Board of Elections from Section 1-15.100 of the Procurement Code.



Items on mini clean-up legislation (HB 2417/Sullivan):

- 5-17 remove registration officer reimbursement (also delete 5-35 and 6-71)
- 7-9 (e) call of convention
- 7-12 (7) change sections from annual reports to quarterly reports
- 7-16 add "46 day military ballot" language to mimic Section 16-5.01
- 7-43 participating in party caucus within 87 days (now 45)
- 9-8.6 (b) disclosure amounts need to be changed from \$3,000 to \$5,000
- 9-15 eliminate certified postage
- 10-6 (4) commission form of government is no longer subject to primary elections, remove such language
- 10-6.1 change sections from annual reports to quarterly reports
- 19-4 add Friday to the list of Nursing Home dates to vote
- 19-6 add language in response to Rull decision
- 19A-70 early voting signs on public property beyond the campaign free zone
- 28-21 strike the words "constitutional amendment"
- 60 ILCS 1/45-20 township clerk shall certify the candidates not less than 68 days (now 61)
- 615 ILCS 90/5 Fox Waterway District file not more than 113 nor less than 106 (now 78 nor less than 71)

All legislation can be e-mailed or faxed.

Thank you.

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

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100 W. Randolph Street, Ste 14-100  
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EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller

BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest C. Gowen  
William M. McGuffage  
Bryan A. Schneider  
Casandra B. Watson

**MEMORANDUM**

**To:** Chairman Smart, Vice Chairman Scholz  
Members of the Board  
Executive Director Rupert Borgsmiller

**From:** Steve Sandvoss, General Counsel

**Re:** Required Review of the Minutes of Executive Sessions

**Date:** October 28, 2013

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Pursuant to 5 ILCS 120/2.06 (d) of the Open Meetings Act, every public body is required to review the minutes of their closed meetings to determine whether or not there is a need for continuing confidentiality of such minutes. In accordance with this requirement, the Executive Director and I reviewed the minutes of the Executive Sessions of the State Board of Elections for the period of April 14, 2013 thru September 16, 2013, and have concluded that the necessity of confidentiality does not exist as to such minutes.

Please note that the release of the minutes of the Executive Sessions for public inspection does not automatically release the verbatim recording of such Sessions. A specific authorization of the Board would be required to release such recordings.

Respectfully submitted,

# STATE BOARD OF ELECTIONS



*From the desk of.... Kyle Thomas*  
*Director of Voting and Registration Systems*  
*Phone: 217-782-1590*  
*Email: kthomas@elections.il.gov*

**To:** Rupert T. Borgsmiller; Executive Director  
**Re:** Paperless Online Voter Application Update  
**Date:** November 6, 2013

---

This month, we added an individual to fill a very important role on the IT side of the Paperless Online Voter Application (POVA) project. We welcome Jason Kilhoffer to the POVA team. Jason has been with the State Board of Elections for over 12 ½ years and has extensive website design and management experience as well as database and application coding expertise. With the back end design of POVA nearly complete, we felt it the perfect time for someone with Jason's web design knowledge to take the lead on this important project, thereby ensuring its success.

Further website user interface development was completed this month. Additional modifications were put in place to aid in ongoing testing. In order to handle the anticipated influx of voter registrations associated with the eventual implementation of POVA, we will be adding a new server to the statewide registration database infrastructure. The specifications for this server were completed and it will be ordered and installed as soon as possible.

In addition to the technical work completed on the project this month, we took the time to have a conference call with Rock the Vote to discuss offering them a link to the POVA website. They are unwilling at this time to offer this link; however, we are hopeful they will reassess this position in the future. We also met with Cook County Clerk David Orr and several members of his staff to outline details of how the system will function and what they can expect through their interaction with POVA. This meeting went exceptionally well and we look forward to continuing a great working relationship with Cook County as well as all the election authorities.

Jurisdiction	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	OCT	Oldest Match Date
Adams County	6	6	5	6	9	1	14	20	28	29	21	15	11	11	13	14	14	21	21	23	26	10/9/2012
Alexander County	2	2	4	5	3	3	2	4	4	6	6	6	2	2	1	1	1	2	5	6	7	2/6/2009
Bond County	9	8	7	11	13	15	15	19	26	27	29	26	27	29	30	24	24	31	7	3	1	7/30/2013
Boone County	3	5	8	5	6	7	7	6	13	15	20	3	5	4	8	8	7	4	5	7	7	2/6/2009
Brown County	2	2	1	1	1	3	0	1	7	7	6	7	0	0	1	3	3	3	2	5	5	5/7/2013
Bureau County	2	1	4	2	1	3	1	0	2	2	2	5	2	1	7	6	1	3	8	1	3	10/9/2012
Calhoun County	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	n/a
Carroll County	1	2	3	4	3	4	4	3	6	7	6	10	4	3	4	5	4	2	2	0	2	10/15/2013
Cass County	2	2	3	3	2	8	5	4	10	9	6	7	3	3	5	5	5	1	1	2	4	5/11/2012
Champaign County	78	83	16	26	28	54	26	81	499	502	548	326	263	209	204	183	170	203	226	195	210	2/6/2009
Christian County	5	2	3	4	3	3	2	2	4	2	4	3	1	1	1	2	1	1	1	0	1	10/30/2013
Clark County	4	4	3	1	1	1	1	1	9	5	2	1	2	2	2	1	2	7	1	3	4	8/27/2013
Clay County	39	33	36	39	39	39	37	44	46	46	50	53	54	49	43	43	42	31	26	26	24	2/6/2009
Clinton County	10	6	9	15	14	13	8	11	18	25	19	25	29	15	18	18	18	16	13	3	7	5/11/2012
Coles County	3	0	2	2	3	6	3	2	27	23	23	27	18	15	15	14	14	14	16	16	11	10/9/2012
Cook County	535	329	168	106	191	247	40	51	1,033	1,067	1,325	754	533	464	532	535	533	823	1,007	561	641	2/6/2009
Crawford County	7	8	4	5	5	8	4	6	6	7	8	9	13	10	4	5	5	8	8	7	8	5/18/2012
Cumberland County	0	0	0	0	0	2	1	0	1	3	0	0	0	0	2	0	0	0	0	0	0	n/a
DeKalb County	24	4	5	2	10	12	10	39	49	49	62	53	44	14	13	16	12	30	17	17	15	9/6/2012
DeWitt County	9	2	3	7	4	12	10	2	7	12	12	12	9	9	10	11	11	10	9	11	10	2/6/2009
Douglas County	1	1	2	0	0	1	0	0	7	11	10	5	5	6	2	2	2	0	2	1	1	10/15/2013
DuPage County	40	46	15	10	15	44	6	47	275	286	351	391	296	53	58	41	43	123	152	38	62	2/6/2009
Edgar County	2	2	3	3	5	8	1	1	4	3	5	6	7	8	8	12	3	9	5	5	9	7/30/2013
Edwards County	1	1	1	2	2	2	2	1	1	1	1	1	2	1	1	1	1	1	1	0	0	n/a
Effingham County	4	3	1	3	2	4	0	1	3	5	3	1	1	0	1	3	0	1	0	0	3	10/15/2013
Fayette County	3	6	6	3	3	2	1	3	1	5	7	11	8	9	13	12	10	14	9	8	10	10/18/2012
Ford County	2	0	0	2	5	12	4	3	1	5	6	9	2	1	11	3	3	8	8	2	5	2/6/2009
Franklin County	3	5	4	5	7	14	14	20	26	28	30	6	9	1	6	9	2	4	6	6	6	9/25/2013
Fulton County	16	17	19	5	4	10	9	10	11	13	15	12	14	4	5	7	8	9	7	2	4	8/6/2013
Gallatin County	1	1	2	0	0	0	0	0	0	0	0	0	3	1	0	1	0	0	0	0	0	n/a
Greene County	0	0	2	2	2	2	1	3	6	8	10	9	4	3	4	5	4	7	6	8	7	9/6/2012
Grundy County	17	8	2	2	3	7	5	8	30	29	18	25	23	7	5	8	1	2	2	1	0	n/a
Hamilton County	0	0	1	1	1	2	2	0	0	2	4	3	2	0	0	2	1	1	0	0	1	10/15/2013
Hancock County	0	0	0	0	0	0	0	0	1	0	1	1	0	0	2	1	1	0	0	0	0	n/a
Hardin County	0	1	2	0	3	0	0	0	0	0	0	2	7	4	5	3	0	2	0	0	0	n/a
Henderson County	0	0	1	0	0	2	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	n/a
Henry County	8	7	8	9	10	15	14	18	25	26	28	1	3	3	8	8	8	13	11	14	15	12/5/2012
Iroquois County	1	1	2	1	2	5	2	6	6	9	6	3	3	2	2	4	4	6	8	4	7	7/30/2013
Jackson County	12	5	5	10	11	5	3	13	31	33	37	23	21	17	18	19	16	16	10	12	10	8/27/2009
Jasper County	1	1	1	2	1	2	0	1	1	1	1	1	1	2	2	3	1	4	4	2	3	10/17/2012
Jefferson County	0	1	4	5	2	4	3	7	7	9	12	12	4	3	3	3	4	3	1	2	1	10/15/2013

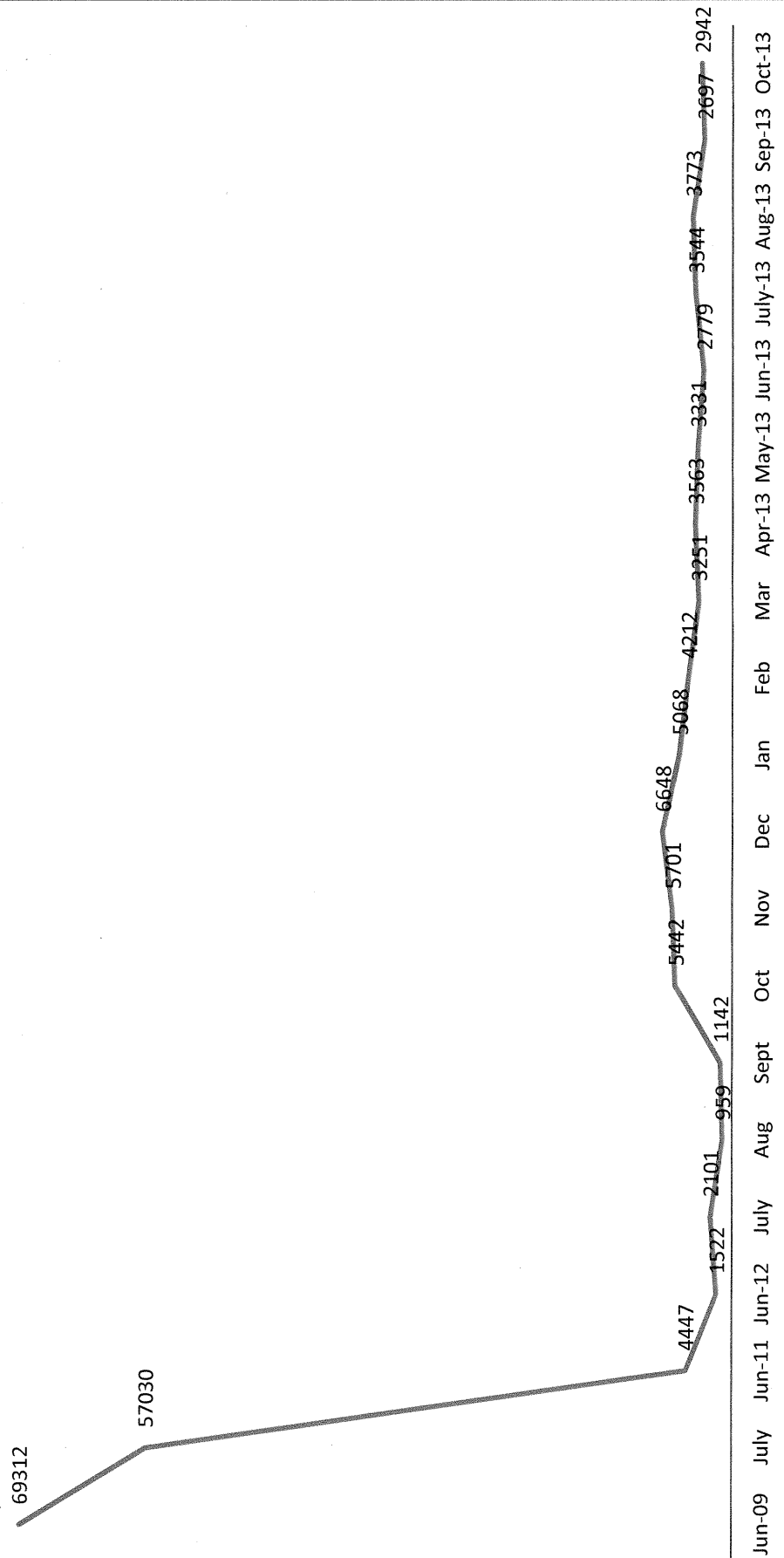


Jurisdiction	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	OCT	Oldest Match Date
Jersey County	22	22	23	27	26	28	27	29	34	35	45	42	41	40	41	48	47	53	52	57	57	2/6/2009
JoDaviess County	0	0	0	0	0	0	0	0	3	3	4	5	0	0	0	1	0	1	1	0	0	n/a
Johnson County	1	1	1	0	0	0	0	0	0	0	1	1	2	0	1	1	0	3	3	1	1	10/15/2013
Kane County	24	26	17	8	10	52	10	12	70	76	115	124	90	38	52	31	20	39	52	21	37	3/25/2011
Kankakee County	10	8	12	17	16	14	12	24	29	31	35	33	27	14	35	20	14	28	38	40	57	2/6/2009
Kendall County	13	2	1	9	8	21	4	8	73	83	99	86	45	23	32	6	5	18	16	11	14	10/9/2012
Knox County	0	1	2	5	5	8	7	12	4	5	7	6	9	1	5	5	5	9	1	2	5	2/6/2009
Lake County	27	34	19	70	98	165	11	16	85	94	126	150	78	64	66	78	63	100	114	47	65	2/6/2009
LaSalle County	8	4	5	16	20	25	22	38	49	53	45	41	18	10	15	8	6	11	15	6	5	10/26/2012
Lawrence County	1	1	1	1	1	1	1	3	3	2	2	3	2	2	1	1	1	2	2	1	1	8/7/2013
Lee County	1	0	0	1	2	1	0	0	6	9	3	2	1	1	7	1	0	2	1	0	1	10/28/2013
Livingston County	2	3	0	4	1	8	5	4	11	11	13	14	6	2	7	2	2	13	13	8	12	10/24/2012
Logan County	1	1	2	4	2	8	3	3	8	11	16	10	11	5	9	4	4	4	4	4	2	7/30/2013
Macon County	51	12	6	23	12	15	12	41	86	80	92	93	102	99	16	8	9	23	33	38	6	2/6/2009
Macoupin County	2	2	2	0	0	4	1	5	9	10	12	10	2	1	5	11	12	22	19	23	29	10/29/2010
Madison County	15	19	17	21	23	31	26	28	46	50	55	47	41	38	40	43	41	36	35	38	36	2/6/2009
Marion County	20	15	16	16	14	15	12	14	24	28	16	22	28	15	16	17	18	18	18	15	18	5/13/2011
Marshall County	0	1	3	2	0	0	0	3	0	0	2	1	1	1	4	4	3	0	2	1	1	9/25/2013
Mason County	2	1	2	0	1	1	1	1	0	1	2	1	2	1	2	1	1	4	1	3	2	7/30/2013
Massac County	4	4	1	1	1	2	2	2	2	2	1	2	6	4	1	0	0	0	2	3	3	8/6/2013
McDonough County	0	0	1	1	0	3	1	0	20	19	21	18	13	10	10	11	11	11	6	8	9	10/9/2012
McHenry County	7	22	35	57	73	30	16	30	54	64	98	89	88	12	28	17	11	34	14	28	31	11/13/2009
McLean County	32	31	32	48	51	72	38	18	27	46	50	44	52	52	66	19	24	38	39	61	14	2/6/2009
Menard County	4	3	4	2	4	3	3	3	3	3	4	5	3	3	4	3	2	2	2	2	2	6/10/2011
Mercer County	9	9	10	5	4	7	7	10	11	11	17	7	10	13	12	13	13	17	12	14	14	2/6/2009
Monroe County	4	3	3	4	6	8	5	5	20	21	16	11	11	12	12	13	15	3	1	1	2	11/5/2012
Montgomery County	1	1	1	1	1	1	1	1	1	2	5	3	4	3	2	2	2	2	2	0	0	n/a
Morgan County	0	0	1	0	0	3	3	4	15	16	17	14	6	6	13	14	15	12	9	14	15	10/29/2012
Moultrie County	2	2	0	0	0	1	0	1	5	1	1	1	2	0	1	2	3	3	3	1	1	10/24/2013
Ogle County	1	1	1	1	0	0	0	2	8	11	8	7	4	3	5	4	1	7	4	0	0	n/a
Peoria County	6	3	9	9	3	7	8	6	14	13	21	17	18	19	17	20	18	17	4	10	12	2/6/2009
Perry County	0	0	4	0	0	1	0	1	2	2	3	1	4	0	1	1	0	1	1	0	2	10/15/2013
Piatt County	1	1	2	2	3	5	4	4	6	6	7	9	2	2	1	3	6	13	17	4	12	7/31/2013
Pike County	1	1	3	3	3	5	5	8	11	11	14	15	13	12	13	12	12	12	10	11	12	5/18/2012
Pope County	1	0	0	0	1	0	0	0	2	2	1	0	1	0	0	0	0	0	0	0	0	n/a
Pulaski County	3	3	3	3	2	3	3	5	9	9	8	9	9	9	8	4	4	3	5	6	6	2/6/2009
Putnam County	1	0	0	1	0	1	0	0	1	2	0	0	1	0	1	0	0	0	0	0	1	10/30/2013
Randolph County	8	7	10	7	6	9	9	10	17	20	28	24	27	20	18	18	19	7	2	1	1	5/11/2012
Richland County	5	6	4	3	2	2	2	2	3	3	5	4	7	6	7	7	6	7	6	6	8	2/6/2009
Rock Island County	47	44	50	52	54	53	52	66	99	97	105	31	31	33	37	45	46	61	57	65	48	2/4/2010
Saline County	0	2	6	0	2	0	0	0	1	2	1	1	12	1	2	3	2	1	1	0	0	n/a

Jurisdiction	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	OCT	Oldest Match Date
Sangamon County	19	18	12	12	16	14	8	22	38	40	46	30	26	25	28	25	26	27	25	24	25	2/6/2009
Schuyler County	3	0	0	1	1	2	2	0	3	7	4	3	1	1	1	1	2	3	3	3	4	10/9/2012
Scott County	0	0	0	3	3	4	3	6	5	7	5	5	4	4	4	5	5	3	2	1	1	5/18/2012
Shelby County	0	3	1	2	3	1	5	1	3	5	3	4	4	3	2	3	2	2	1	1	2	10/18/2012
Stark County	0	0	0	0	0	0	0	0	1	2	2	2	3	3	4	4	4	3	2	2	2	11/21/2012
St. Clair County	22	23	26	36	35	47	44	47	107	113	124	125	112	99	100	101	102	19	16	7	11	9/3/2010
Stephenson County	16	16	20	24	25	28	28	34	53	53	56	53	50	50	51	48	30	8	8	8	1	10/22/2010
Tazewell County	100	58	35	13	15	28	23	21	16	21	45	19	26	16	18	16	13	25	9	16	32	2/6/2009
Union County	11	13	12	13	13	14	11	12	26	22	25	22	21	16	9	7	6	4	4	5	9	2/6/2009
Vermilion County	5	6	8	5	13	4	2	10	16	16	18	19	10	10	20	14	7	23	19	18	23	10/17/2012
Wabash County	0	0	1	3	3	5	4	5	2	2	0	1	2	1	1	2	2	3	1	3	2	5/8/2013
Warren County	2	2	3	2	3	6	6	3	17	15	8	5	5	5	5	5	5	5	3	4	4	2/6/2009
Washington County	7	6	7	10	3	4	4	8	7	8	7	5	7	1	2	3	3	4	5	2	2	10/16/2012
Wayne County	8	8	10	12	11	12	12	16	22	21	23	27	25	14	5	6	2	0	1	4	1	10/18/2013
White County	2	2	3	5	5	4	4	2	0	2	1	2	1	2	1	2	2	3	1	1	1	5/8/2013
Whiteside County	2	2	3	3	4	3	2	4	20	27	26	12	8	6	8	7	5	10	6	4	2	10/9/2012
Will County	69	39	36	65	47	90	29	67	449	465	515	517	310	220	232	227	35	108	122	26	57	2/6/2009
Williamson County	5	6	5	13	15	21	18	19	20	20	24	26	27	4	11	12	4	12	9	8	1	9/25/2013
Winnebago County	6	6	14	7	10	9	8	8	51	57	86	17	21	11	21	24	11	6	4	0	5	10/28/2013
Woodford County	7	7	17	15	9	12	4	4	5	7	7	5	8	4	4	6	5	8	2	2	4	9/25/2013
City of Aurora	5	5	5	2	19	22	0	25	119	124	129	125	89	84	102	10	9	22	32	40	48	10/9/2012
City of Bloomington	15	16	15	21	22	30	10	4	8	19	22	16	29	21	29	10	11	24	26	50	7	2/6/2009
City of Chicago	541	383	267	285	348	502	125	234	1,212	1,225	1,452	1,061	1,059	1,028	1,118	1,147	958	1,064	1,206	886	962	2/6/2009
City of Danville	2	6	10	3	5	3	3	3	0	9	11	6	3	4	10	6	7	6	5	6	10	2/6/2009
City of East St. Louis	2	2	2	8	2	1	0	0	15	13	16	20	12	14	14	11	11	2	5	5	7	7/30/2013
City of Galesburg	3	2	2	2	2	2	3	8	16	16	10	8	7	3	4	6	6	8	4	7	7	3/29/2010
City of Peoria	101	61	27	25	8	22	19	11	25	29	45	34	36	44	51	54	52	58	15	15	23	2/6/2009
City of Rockford	17	17	25	9	13	16	11	11	84	94	123	32	41	18	28	27	14	14	13	7	17	2/6/2009
	2,160	1,608	1,240	1,332	1,522	2,101	959	1,442	5,442	5,701	6,648	5,068	4,212	3,252	3,563	3,331	2,779	3,544	3,773	2,697	2,942	

# Statewide Duplicate Voter Registrations June 2009 - October 2013

Series1



<u>County</u>	Total Voters Registered as of 10/31/2013	Census Total Pop.	% Reg. Vs. Total Population	18 years and over #	% Reg. vs. 18 and Over as of 10/9/13	% Reg. vs. 18 and Over as of 10/31/2013
ALEXANDER	5,627	8,238	68.31%	6,353	88.51%	88.57%
CLARK	11,586	16,335	70.93%	12,617	91.74%	91.83%
CLINTON	24,236	37,762	64.18%	29,180	83.08%	83.06%
HARDIN	3,047	4,320	70.53%	3,444	88.53%	88.47%
JASPER	6,807	9,698	70.19%	7,494	90.94%	90.83%
JERSEY	17,830	22,985	77.57%	17,746	100.47%	100.47%
MASSAC	11,138	15,429	72.19%	11,911	93.45%	93.51%
MENARD	8,440	12,705	66.43%	9,704	86.84%	86.97%
MERCER	11,857	16,434	72.15%	12,714	93.17%	93.26%
OGLE	33,404	53,497	62.44%	40,253	82.85%	82.99%
PULASKI	4,561	6,161	74.03%	4,756	95.92%	95.90%
RICHLAND	11,390	16,233	70.17%	12,625	90.16%	90.22%
ROCK ISLAND	89,841	147,546	60.89%	114,359	78.44%	78.56%
STARK	4,203	5,994	70.12%	4,583	91.49%	91.71%
UNION	12,235	17,808	68.71%	13,980	87.32%	87.52%
City of East St. Louis	18,117	27,006	67.09%	19,098	93.96%	94.86%



**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>AGENCY TOTALS</b> <b>MONTH ENDING: Oct. 31, 2013</b>	<b>FY14</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
PERSONAL SERVICE	\$4,279,100.00	\$1,278,845.36	\$0.00	\$3,000,254.64	29.89%
STATE PAID RETIREMENT	\$171,200.00	\$50,572.35	\$0.00	\$120,627.65	29.54%
RETIREMENT (inc. supplemental)	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$327,700.00	\$93,646.61	\$0.00	\$234,053.39	28.58%
CONTRACTUAL SERVICE	\$1,102,800.00	\$221,638.39	\$346,019.65	\$535,141.96	20.10%
TRAVEL	\$116,400.00	\$11,117.56	\$0.00	\$105,282.44	9.55%
PRINTING	\$37,600.00	\$2,685.96	\$0.00	\$34,914.04	7.14%
COMMODITIES	\$40,400.00	\$7,697.54	\$0.00	\$32,702.46	19.05%
EQUIPMENT	\$142,100.00	\$3,744.55	\$0.00	\$138,355.45	2.64%
TELECOMMUNICATIONS	\$143,700.00	\$20,404.72	\$0.00	\$123,295.28	14.20%
OPERATION OF AUTO EQUIPMENT	\$4,900.00	\$296.40	\$0.00	\$4,603.60	6.05%
ELECTION CODE BOOKS	\$17,500.00	\$0.00	\$0.00	\$17,500.00	0.00%
IVRS LUMP SUM - OPERATIONS	\$478,600.00	\$105,432.65	\$0.00	\$373,167.35	22.03%
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
<b>SUB-TOTAL (OPERATIONS)</b>	<b>\$6,862,000.00</b>	<b>\$1,796,082.09</b>	<b>\$346,019.65</b>	<b>\$4,719,898.26</b>	<b>26.17%</b>
HAVA MAINTENANCE OF EFFORT	\$550,000.00	\$68,319.32	\$183,680.68	\$298,000.00	12.42%
CO CLERK & RECORDER STIPENDS	\$806,000.00	\$0.00	\$0.00	\$806,000.00	0.00%
ELECTION JUDGE REIMBURSEMENT	\$2,300,000.00	\$0.00	\$0.00	\$2,300,000.00	0.00%
ELECTION JUDGES/EARLY VOTING	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
IVRS LUMP SUM - GRANTS	\$3,221,400.00	\$0.00	\$0.00	\$3,221,400.00	0.00%
REDISTRICTING	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
ADDITIONAL STATE MATCH	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
VOTING SYSTEMS INTEGRITY CENTER	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	\$65,000.00	\$284.76	\$0.00	\$64,715.24	0.44%
IDIS SYSTEM REPLACEMENT	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
<b>SUB-TOTAL (GRANTS)</b>	<b>\$6,942,400.00</b>	<b>\$284.76</b>	<b>\$0.00</b>	<b>\$6,942,115.24</b>	<b>0.00%</b>
<b>TOTAL APPROPRIATION</b>	<b>\$13,804,400.00</b>	<b>\$1,796,366.85</b>	<b>\$346,019.65</b>	<b>\$11,662,013.50</b>	<b>13.01%</b>

**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>BOARD MONTH ENDING: Oct. 31, 2013</b>	<b>FY14 APPROPRIATION</b>	<b>YEAR TO DATE EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF EXPENDITURE</b>
<b>CONTRACTUAL SERVICE</b>				
1205 Freight Express & Drayage	\$3,000.00	\$148.13	\$2,851.87	4.94%
1221 Repair/Maint. Furn./Office Equipment				
1232 Rental Motor Vehicles	\$3,600.00		\$3,600.00	0.00%
1239 Rental, NEC				
1243 Book Binding Services				
1266 Court Reporting & Filing Services	\$18,000.00	\$1,618.50	\$16,381.50	8.99%
1274 Reg. Fees & Conf. Expenses (Vendor)	\$1,000.00	\$550.00	\$450.00	55.00%
1275 Subscriptions				
1276 Reg. Fees & Conf. Expenses (Employee)	\$100.00		\$100.00	0.00%
1277 Association Dues				
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$500.00	\$28.90	\$471.10	5.78%
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$1,000.00		\$1,000.00	0.00%
<b>TRAVEL</b>	<b>\$19,000.00</b>	<b>\$3,629.78</b>	<b>\$15,370.22</b>	<b>19.10%</b>
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment	\$700.00	\$129.00	\$571.00	18.43%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments				

<b>BOARD GRAND TOTAL</b>	<b>FY14 APPROPRIATION</b>	<b>YEAR TO DATE EXPENDITURE</b>	<b>OBLIGATED MONEY</b>	<b>BALANCE</b>
CONTRACTUAL SERVICE	\$27,200.00	\$2,345.53		\$24,854.47
TRAVEL	\$19,000.00	\$3,629.78		\$15,370.22
EQUIPMENT	\$700.00	\$129.00		\$571.00
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00
<b>TOTAL</b>	<b>\$46,900.00</b>	<b>\$6,104.31</b>	<b>\$0.00</b>	<b>\$40,795.69</b>

**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>ADMINISTRATION</b> <b>MONTH ENDING: Oct. 31, 2013</b>	<b>FY14</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$754,400.00	\$208,082.00	\$546,318.00	27.58%
1129 State Paid Retirement	\$30,200.00	\$8,344.90	\$21,855.10	27.63%
1161 Retirement	\$0.00		\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00		\$0.00	0.00%
1170 Social Security	\$57,800.00	\$15,276.83	\$42,523.17	26.43%
<b>CONTRACTUAL SERVICE</b>				
1201 Petty Cash	\$400.00		\$400.00	0.00%
1205 Freight Express & Drayage	\$600.00		\$600.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$14,500.00	\$1,603.71	\$12,896.29	11.06%
1223 Repair/Maint. Real Property	\$2,000.00	\$832.18	\$1,167.82	41.61%
1229 Repair & Maintenance NEC				
1230 In-House Repair & Maintenance				
1231 Rental, Office Equipment	\$32,800.00	\$728.13	\$32,071.87	2.22%
1232 Rental, Motor Vehicles	\$4,600.00	\$1,800.00	\$2,800.00	39.13%
1233 Rental, Real Property	\$396,000.00	\$164,250.00	\$231,750.00	41.48%
1234 Rental, Machinery & Mechanical Eqmt				
1239 Rental, NEC	\$1,000.00		\$1,000.00	0.00%
1240 Statistical & Tabulation Services	\$21,600.00	\$3,743.81	\$17,856.19	17.33%
1245 Professional & Artistic				
1248 Building & Grounds Maintenance	\$1,000.00		\$1,000.00	0.00%
1251 Gas				
1252 Electricity				
1253 Water				
1255 Utilities, NEC				
1261 Postage	\$76,700.00	\$167.40	\$76,532.60	0.22%
1266 Court Reporting				
1274 Reg Fees & Conf. Expenses (Vendor)	\$800.00		\$800.00	0.00%
1275 Subscription & Information Services	\$2,600.00	\$1,173.64	\$1,426.36	45.14%
1276 Reg. Fees & Conf. Expenses (Employee)	\$800.00	\$550.00	\$250.00	68.75%
1277 Association Dues	\$1,800.00	\$600.00	\$1,200.00	33.33%
1279 Tuition - Employee				
1280 Copying, Photographic & Printer Services				
1281 Interviewee Expense - To Vendors				
1285 Taxes, Licenses & Fees	\$100.00		\$100.00	0.00%
1289 Contractual Services, NEC	\$11,500.00		\$11,500.00	0.00%
<b>TRAVEL</b>				
1291 In-State	\$12,400.00	\$1,882.82	\$10,517.18	15.18%
1292 Out-of-State	\$1,500.00		\$1,500.00	0.00%
1295 Mileage	\$500.00	\$474.60	\$25.40	94.92%
<b>PRINTING</b>	\$9,800.00	\$1,088.50	\$8,711.50	11.11%
<b>COMMODITIES</b>				
1304 Office/Library Supplies	\$15,000.00	\$1,857.47	\$13,142.53	12.38%
1306 Food for Human Consumption				
1335 Rock Salt				
1391 Household & Cleaning Supplies	\$300.00	\$83.16	\$216.84	27.72%
1394 Office/Library Equip., Not exc. \$100				
1395 Small Tools Not Exceeding \$100				
1398 Equipment, NEC	\$500.00	\$188.98	\$311.02	37.80%
1399 Commodities, NEC	\$600.00	\$19.90	\$580.10	3.32%
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment	\$5,000.00		\$5,000.00	0.00%
1599 Equipment NEC	\$1,000.00		\$1,000.00	0.00%
<b>TELECOMMUNICATIONS</b>				
1710 Repair/Maintenance Telecom				
1721 Rental, Telephone Serv. & Equip.	\$51,000.00	\$7,460.68	\$43,539.32	14.63%
1722 Rental, Data Comm. Serv. & Equip.	\$81,000.00	\$11,815.34	\$69,184.66	14.59%
1728 Videoconferencing	\$7,200.00	\$1,128.70	\$6,071.30	15.68%
1729 Rental, Other Comm. Serv. & Equip.	\$3,000.00		\$3,000.00	0.00%
1730 Parts & Supplies for Telephone	\$1,500.00		\$1,500.00	0.00%
1750 Telephone, Data, Radio & Other Equipment				
<b>OPERATION OF AUTO EQUIPMENT</b>				
1893 Repair & Maint., Auto. Equipment	\$1,800.00		\$1,800.00	0.00%
1894 Parts & Fittings, Auto Equipment	\$200.00		\$200.00	0.00%
1896 Gasoline, Oil & Antifreeze	\$2,900.00	\$296.40	\$2,603.60	10.22%
1899 Auto. Expenses, NEC				
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments				
<b>ADMINISTRATION GRAND TOTAL</b>	<b>FY14</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>% OF</b> <b>BALANCE EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$754,400.00	\$208,082.00		\$546,318.00 27.58%
<b>STATE PAID RETIREMENT</b>	\$30,200.00	\$8,344.90		\$21,855.10 27.63%
<b>RETIREMENT (incl. supplemental funding)</b>	\$0.00	\$0.00		\$0.00 0.00%
<b>SOCIAL SECURITY</b>	\$57,800.00	\$15,276.83		\$42,523.17 26.43%
<b>CONTRACTUAL SERVICE</b>	\$568,800.00	\$175,448.87	\$231,525.00	\$161,826.13 30.85%
<b>TRAVEL</b>	\$14,400.00	\$2,357.42		\$12,042.58 16.37%
<b>PRINTING</b>	\$9,800.00	\$1,088.50		\$8,711.50 11.11%
<b>COMMODITIES</b>	\$16,400.00	\$2,149.51		\$14,250.49 13.11%
<b>EQUIPMENT</b>	\$6,000.00	\$0.00		\$6,000.00 0.00%
<b>TELECOMMUNICATIONS</b>	\$143,700.00	\$20,404.72		\$123,295.28 14.20%
<b>OPERATION OF AUTO EQUIPMENT</b>	\$4,900.00	\$296.40		\$4,603.60 6.05%
<b>INTEREST PAYMENTS</b>	\$0.00	\$0.00		\$0.00 0.00%
<b>TOTAL</b>	<b>\$1,606,400.00</b>	<b>\$433,449.15</b>	<b>\$231,525.00</b>	<b>\$941,425.85 26.98%</b>

**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>ELECTIONS</b> <b>MONTH ENDING: Oct. 31, 2013</b>	<b>FY14</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$1,702,500.00	\$503,917.28	\$1,198,582.72	29.60%
1129 State Paid Retirement	\$68,100.00	\$19,535.38	\$48,564.62	28.69%
1161 Retirement	\$0.00		\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00		\$0.00	0.00%
1170 Social Security	\$130,300.00	\$36,665.45	\$93,634.55	28.14%
<b>CONTRACTUAL SERVICE</b>				
1145 Contractual Payroll				
1202 Contractual reimbursement To Employee	\$100.00	\$60.00	\$40.00	60.00%
1205 Freight Express & Drayage	\$4,200.00		\$4,200.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$200.00		\$200.00	0.00%
1224 Repair/Maint. Machinery & Mechanical Equip				
1231 Rental, Office Equipment				
1232 Rental, Motor Vehicles				
1237 Rental, Film & Audio/Visual Aids	\$100.00		\$100.00	0.00%
1239 Rental, NEC	\$2,200.00	\$100.00	\$2,100.00	4.55%
1242 Auditing & Management Services				
1245 Professional & Artistic Services, NEC	\$13,000.00		\$13,000.00	0.00%
1266 Court Reporting & Filing Services				
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$3,400.00		\$3,400.00	0.00%
1275 Subscription & Information Services	\$600.00		\$600.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,700.00	\$960.00	\$740.00	56.47%
1277 Association Dues	\$1,200.00	\$545.00	\$655.00	45.42%
1279 Employee Tuition & Fees	\$200.00		\$200.00	0.00%
1280 Copying, Photographic & Printing Services	\$1,000.00	\$415.18	\$584.82	41.52%
1284 Software	\$100.00	\$49.95	\$50.05	49.95%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-state Employees				
1289 Contractual Services, NEC	\$5,400.00	\$98.00	\$5,302.00	1.81%
<b>TRAVEL</b>	\$51,300.00	\$2,472.34	\$48,827.66	4.82%
<b>PRINTING</b>	\$6,900.00	\$1,099.46	\$5,800.54	15.93%
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment	\$3,300.00	\$260.00	\$3,040.00	7.88%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments				
<b>HAVA - Maintenance of Effort</b>	\$550,000.00	\$68,319.32	\$481,680.68	12.42%
<b>ELECTION CODE BOOKS</b>	\$17,500.00		\$17,500.00	0.00%
Redistricting				
Election Judge Reimbursements (Fund 802)	\$2,300,000.00		\$2,300,000.00	0.00%
Stipends (Fund 802)	\$806,000.00		\$806,000.00	0.00%
Early Voting Election Judges				
State HAVA Match				
Voting System Integrity Center				
Electronic Canvassing Implementation	\$65,000.00	\$284.76	\$64,715.24	0.44%
Electronic Canvassing Interest Payments				
IDIS Replacement System				

<b>ELECTIONS GRAND TOTAL</b>	<b>FY14</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$1,702,500.00	\$503,917.28		\$1,198,582.72	29.60%
<b>STATE PAID RETIREMENT</b>	\$68,100.00	\$19,535.38		\$48,564.62	28.69%
<b>RETIREMENT (incl. supplemental funding)</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>SOCIAL SECURITY</b>	\$130,300.00	\$36,665.45		\$93,634.55	28.14%
<b>CONTRACTUAL SERVICE</b>	\$33,400.00	\$2,228.13		\$31,171.87	6.67%
<b>TRAVEL</b>	\$51,300.00	\$2,472.34		\$48,827.66	4.82%
<b>PRINTING</b>	\$6,900.00	\$1,099.46		\$5,800.54	15.93%
<b>EQUIPMENT</b>	\$3,300.00	\$260.00		\$3,040.00	7.88%
<b>HAVA - Maintenance of Effort</b>	\$550,000.00	\$68,319.32	\$183,680.68	\$298,000.00	12.42%
<b>ELECTION CODE BOOKS</b>	\$17,500.00	\$0.00		\$17,500.00	0.00%
Redistricting	\$0.00	\$0.00		\$0.00	0.00%
Election Judge Reimbursements	\$2,300,000.00	\$0.00		\$2,300,000.00	0.00%
Stipends	\$806,000.00	\$0.00		\$806,000.00	0.00%
Early Voting Election Judges	\$0.00	\$0.00		\$0.00	0.00%
State HAVA Match	\$0.00	\$0.00		\$0.00	0.00%
Voting System Integrity Center	\$0.00	\$0.00		\$0.00	0.00%
Electronic Canvassing Implementation	\$65,000.00	\$284.76		\$64,715.24	0.44%
Electronic Canvassing Interest Payments	\$0.00	\$0.00		\$0.00	0.00%
IDIS Replacement System	\$0.00	\$0.00		\$0.00	0.00%
<b>INTEREST PAYMENTS</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>TOTAL</b>	<b>\$5,734,300.00</b>	<b>\$634,782.12</b>	<b>\$183,680.68</b>	<b>\$4,915,837.20</b>	<b>11.07%</b>

**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>GENERAL COUNSEL MONTH ENDING: Oct. 31, 2013</b>	<b>FY14 APPROPRIATION</b>	<b>YEAR TO DATE EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$315,100.00	\$104,630.50	\$210,469.50	33.21%
1129 State Paid Retirement	\$12,600.00	\$4,188.70	\$8,411.30	33.24%
1161 Retirement	\$0.00		\$0.00	0.00%
1170 Social Security	\$24,200.00	\$7,696.79	\$16,503.21	31.80%
<b>CONTRACTUAL SERVICE</b>				
1145 Contractual Payroll				
1202 Reimbursement to Employee				
1205 Freight Express & Drayage	\$500.00		\$500.00	0.00%
1221 Repair/Maint. Furn./Office Equipment				
1244 Legal Fees	\$100,000.00		\$100,000.00	0.00%
1245 Professional & Artistic Services, NEC				
1266 Court Reporting & Filing Services	\$15,000.00	\$75.00	\$14,925.00	0.50%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,000.00		\$1,000.00	0.00%
1275 Subscription & Information Services	\$1,000.00	\$67.89	\$932.11	6.79%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,500.00	\$160.00	\$1,340.00	0.00%
1277 Association Dues	\$2,000.00		\$2,000.00	0.00%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$700.00		\$700.00	0.00%
1284 Computer Software				
1289 Contractual Services, NEC	\$7,000.00	\$1,041.20	\$5,958.80	14.87%
<b>TRAVEL</b>	\$9,500.00	\$1,586.65	\$7,913.35	16.70%
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment	\$500.00		\$500.00	0.00%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments				

<b>GENERAL COUNSEL GRAND TOTAL</b>	<b>FY14 APPROPRIATION</b>	<b>YEAR TO DATE EXPENDITURE</b>	<b>OBLIGATED MONEY</b>	<b>BALANCE</b>	<b>% OF EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$315,100.00	\$104,630.50		\$210,469.50	33.21%
<b>STATE PAID RETIREMENT</b>	\$12,600.00	\$4,188.70		\$8,411.30	33.24%
<b>RETIREMENT</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>SOCIAL SECURITY</b>	\$24,200.00	\$7,696.79		\$16,503.21	31.80%
<b>CONTRACTUAL SERVICE</b>	\$128,700.00	\$1,344.09	65,000.00	\$62,355.91	1.04%
<b>TRAVEL</b>	\$9,500.00	\$1,586.65		\$7,913.35	16.70%
<b>EQUIPMENT</b>	\$500.00	\$0.00		\$500.00	0.00%
<b>INTEREST PAYMENTS</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>TOTAL</b>	<b>\$490,600.00</b>	<b>\$119,446.73</b>	<b>\$65,000.00</b>	<b>\$306,153.27</b>	<b>24.35%</b>

**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>CAMPAIGN DISCLOSURE</b> <b>MONTH ENDING: Oct. 31, 2013</b>	<b>FY14</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$779,600.00	\$239,708.08	\$539,891.92	30.75%
1129 State Paid Retirement	\$31,200.00	\$9,591.96	\$21,608.04	30.74%
1161 Retirement	\$0.00		\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00		\$0.00	0.00%
1170 Social Security	\$59,700.00	\$17,510.67	\$42,189.33	29.33%
<b>CONTRACTUAL SERVICE</b>				
1202 Employee Reimbursement				
1205 Freight Express & Drayage	\$100.00		\$100.00	0.00%
1221 Repair & Maint, Furn & Office Equipment				
1225 Repair & Maint, EDP				
1229 Repair & Maint, NEC				
1232 Rental, Motor Vehicles				
1239 Rental, NEC				
1245 Professional & Artistic Services, NEC				
1248 Building & Ground Maintenance				
1266 Court Reporting & Filing Services				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,600.00		\$1,600.00	0.00%
1275 Subscription & Information Services				
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$600.00		\$600.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC				
<b>TRAVEL</b>				
1291 In-State	\$7,200.00	\$261.94	\$6,938.06	3.64%
1292 Out-of-State	\$3,800.00		\$3,800.00	0.00%
1295 Mileage	\$300.00	\$242.95	\$57.05	80.98%
<b>PRINTING</b>	\$20,200.00	\$498.00	\$19,702.00	2.47%
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment	\$500.00		\$500.00	0.00%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments				

<b>CAMPAIGN DISCLOSURE GRAND TOTAL</b>	<b>FY14</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$779,600.00	\$239,708.08		\$539,891.92	30.75%
<b>STATE PAID RETIREMENT</b>	\$31,200.00	\$9,591.96		\$21,608.04	30.74%
<b>RETIREMENT (incl. supplemental funding)</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>SOCIAL SECURITY</b>	\$59,700.00	\$17,510.67		\$42,189.33	29.33%
<b>CONTRACTUAL SERVICE</b>	\$2,300.00	\$0.00		\$2,300.00	0.00%
<b>TRAVEL</b>	\$11,300.00	\$504.89		\$10,795.11	4.47%
<b>PRINTING</b>	\$20,200.00	\$498.00		\$19,702.00	2.47%
<b>EQUIPMENT</b>	\$500.00	\$0.00		\$500.00	0.00%
<b>INTEREST PAYMENTS</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>TOTAL</b>	<b>\$904,800.00</b>	<b>\$267,813.60</b>	<b>\$0.00</b>	<b>\$636,986.40</b>	<b>29.60%</b>

**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>INFORMATION TECHNOLOGY</b> <b>MONTH ENDING: Oct. 31, 2013</b>	<b>FY14</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$727,500.00	\$222,507.50	\$504,992.50	30.59%
1129 State Paid Retirement	\$29,100.00	\$8,911.41	\$20,188.59	30.62%
1161 Retirement	\$0.00		\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00		\$0.00	0.00%
1170 Social Security	\$55,700.00	\$16,496.87	\$39,203.13	29.62%
<b>CONTRACTUAL SERVICE</b>				
1145 Contractual Payroll				
1205 Freight Express & Drayage				
1221 Repair/Maint. Furn./Office Equipment	\$10,200.00		\$10,200.00	0.00%
1223 Repair/Maint. Real Property	\$1,600.00	\$1,566.84	\$33.16	97.93%
1225 Repair/Maint. EDP Equipment	\$88,300.00	\$12,251.70	\$76,048.30	13.88%
1230 In-House Repair of Equipment				
1234 Rental, Machinery and Mech. Equip				
1239 Rental, NEC	\$13,668.00	\$559.40	\$13,108.60	4.09%
1242 Auditing & Management Services				
1244 Legal Fees				
1245 Professional & Artistic Services, NEC	\$94,332.00		\$94,332.00	0.00%
1271 Surety Bond & Ins. Prem.	\$1,000.00	\$618.00	\$382.00	61.80%
1272 Travel & Expense Reimbursement (Vendor)				
1274 Reg Fees & Conf. Expenses (Vendor)	\$6,000.00		\$6,000.00	0.00%
1275 Subscription & Information Services	\$600.00		\$600.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$8,000.00		\$8,000.00	0.00%
1284 Computer Software	\$117,700.00	\$25,275.83	\$92,424.17	21.47%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$1,000.00		\$1,000.00	0.00%
<b>TRAVEL</b>				
1291 In-State	\$5,500.00	\$566.48	\$4,933.52	10.30%
1292 Out-of-State	\$5,400.00		\$5,400.00	0.00%
1295 Mileage				
<b>PRINTING</b>	\$700.00		\$700.00	0.00%
<b>COMMODITIES</b>				
1304 Office/Library Supplies	\$21,200.00	\$2,833.23	\$18,366.77	13.36%
1332 Industrial & Shop Materials				
1394 Office & Library Equipment Under \$100				
1395 Small Tools < \$100				
1398 Equipment, NEC	\$2,800.00	\$2,714.80	\$85.20	96.96%
1399 Commodities, NEC				
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment				
1515 EDP Equipment	\$131,100.00	\$3,355.55	\$127,744.45	2.56%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments				

<b>INFORMATION TECHNOLOGY GRAND TOTAL</b>	<b>FY14</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$727,500.00	\$222,507.50		\$504,992.50	30.59%
<b>STATE PAID RETIREMENT</b>	\$29,100.00	\$8,911.41		\$20,188.59	30.62%
<b>RETIREMENT</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>SOCIAL SECURITY</b>	\$55,700.00	\$16,496.87		\$39,203.13	29.62%
<b>CONTRACTUAL SERVICE</b>	\$342,400.00	\$40,271.77	\$49,494.65	\$252,633.58	11.76%
<b>TRAVEL</b>	\$10,900.00	\$566.48		\$10,333.52	5.20%
<b>PRINTING</b>	\$700.00	\$0.00		\$700.00	0.00%
<b>COMMODITIES</b>	\$24,000.00	\$5,548.03		\$18,451.97	23.12%
<b>EQUIPMENT</b>	\$131,100.00	\$3,355.55		\$127,744.45	2.56%
<b>INTEREST PAYMENTS</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>TOTAL</b>	<b>\$1,321,400.00</b>	<b>\$297,657.61</b>	<b>\$49,494.65</b>	<b>\$974,247.74</b>	<b>22.53%</b>

**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>IVRS LUMP SUM</b>	<b>YEAR TO DATE</b>
<b><u>MONTH ENDING: Oct. 31, 2013</u></b>	<b><u>EXPENDITURE</u></b>
<b>PERSONAL SERVICE</b>	\$94,614.00
1129 State Paid Retirement	\$3,784.87
1161 Retirement	
1170 Social Security	\$6,789.35
<b>CONTRACTUAL SERVICE</b>	
1205 Freight Express & Drayage	
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	
1243 Book Binding Services	
1261 Postage	
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	
1280 Copying, Photographic & Printing Services	
1284 Computer Software	
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	
<b>TRAVEL</b>	\$244.43
<b>PRINTING</b>	
<b>COMMODITIES</b>	
1304 Office Supplies	
1398 Equipment Less than \$100	
<b>EQUIPMENT</b>	
1510 Office Furniture & Equipment	
<b>LUMP SUMS &amp; OTHER PURPOSES</b>	
1991 Interest Payments	
<b>LOCAL GRANTS</b>	
4453 Reimbursement to Governmental Units	
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	
<b>SUPP. APPROP. - IVRS</b>	
<b>LUMP SUM - OPERATIONS APPROP FOR YEAR</b>	<b>\$478,600.00</b>
<b>TOTAL LUMP SUM - OPERATIONS EXPENDITURES</b>	<b>\$105,432.65</b>
<b>REMAINING LUMP SUM APPROPRIATION</b>	<b>\$373,167.35</b>
<b>LUMP SUM - GRANTS APPROP FOR YEAR</b>	<b>\$3,221,400.00</b>
<b>TOTAL LUMP SUM - GRANT EXPENDITURES</b>	<b>\$0.00</b>
<b>REMAINING LUMP SUM APPROPRIATION</b>	<b>\$3,221,400.00</b>
<b>LUMP SUM TOTAL APPROPRIATION</b>	<b>\$3,700,000.00</b>
<b>TOTAL LUMP SUM EXPENDITURES</b>	<b>\$105,432.65</b>
<b>REMAINING LUMP SUM APPROPRIATION</b>	<b>\$3,594,567.35</b>



**STATE BOARD OF ELECTIONS**  
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 Help Illinois Vote Fund

AGENCY TOTALS	SECTION 101 <u>Discretionary</u> <u>Funds</u>	SECTION 102 <u>Entitlement</u> <u>Payments</u>	SECTION 261 <u>EAID</u> <u>Disbursements</u>	SECTION 251 <u>Requirements</u>	SECTION 251 <u>Additional</u> <u>Requirements</u>	TOTAL <u>Fund Activity</u>
<u>MONTH ENDING: Oct. 31, 2013</u>						
<b>CASH BALANCE AT BEGINNING OF YR</b>	<b>\$576,918.41</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$4,138,518.14</b>	<b>\$5,329,534.45</b>	<b>\$10,044,971.00</b>
Program Revenues from Federal Govt						\$0.00
Miscellaneous Revenues	\$15,000.00					\$15,000.00
Interest Earned on IOC Balances	\$423.52			\$3,152.26	\$3,916.22	\$7,492.00
Interest Penalties Received						\$0.00
EAC-Mandated Transfers of Interest						\$0.00
State Match Receipts						\$0.00
<b>TOTAL CASH REVENUES</b>	<b>\$15,423.52</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$3,152.26</b>	<b>\$3,916.22</b>	<b>\$22,492.00</b>
<b>YEAR TO DATE CASH EXPENDITURES</b>						
PERSONAL SERVICE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
STATE-PAID RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$898.00	\$0.00	\$0.00	\$32,121.19	\$0.00	\$33,019.19
TRAVEL	\$7,141.72	\$0.00	\$0.00	\$284.51	\$0.00	\$7,426.23
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$67.30	\$0.00	\$0.00	\$0.00	\$0.00	\$67.30
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>SUB-TOTAL CASH EXPENDITURES (OPERATIONS)</b>	<b>\$8,107.02</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$32,405.70</b>	<b>\$0.00</b>	<b>\$40,512.72</b>
AWARDS & GRANTS	\$0.00	\$0.00	\$14,836.00	\$16,877.11	\$371,575.18	\$403,288.29
<b>TOTAL CASH EXPENDITURES</b>	<b>\$8,107.02</b>	<b>\$0.00</b>	<b>\$14,836.00</b>	<b>\$49,282.81</b>	<b>\$371,575.18</b>	<b>\$443,801.01</b>
<b>CASH BALANCE AT END OF MONTH</b>	<b>\$584,234.91</b>	<b>\$0.00</b>	<b>(\$14,836.00)</b>	<b>\$4,092,387.59</b>	<b>\$4,961,875.49</b>	<b>\$9,623,661.99</b>

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**Help Illinois Vote Fund**

**SECTION 101 - DISCRETIONARY FUNDS**  
**MONTH ENDING: Oct. 31, 2013**

**FY14**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

1129 State Paid Retirement  
1161 Retirement  
1170 Social Security  
1180 Group Insurance

**CONTRACTUAL SERVICE**

1205 Freight Express/Drayage  
1223 Repair & Maintenance Real Property  
1225 Repair & Maintenance, EDP Equip.  
1231 Rental, Office Equipment  
1232 Rental, Motor Vehicles  
1237 Rental, Film & Audio/Visual Aids  
1239 Rental, NEC  
1242 Auditing & Management Services  
1243 Book Binding & Processing Services  
1244 Legal Fees  
1245 Professional & Technical Services, NEC  
1261 Postage  
1266 Court Reporting & Filing Services  
1273 Advertising  
1274 Registration Fees & Conf. Exp. (Vendor)  
1276 Registration Fees & Conf. Exp. (Employee)  
1280 Copying, Photographic & Printing Serv.  
1284 Computer Software  
1286 Travel, Reimb. to Non-State Employees  
1289 Contractual Services, NEC

\$898.00

\$898.00

**TRAVEL**

1291 In-State & 1293 In-State (to vendor)  
1292 Out-of-State  
1295 Mileage

\$556.72

\$556.72

\$5,668.57

\$5,668.57

\$916.43

\$916.43

**PRINTING**

**COMMODITIES**

1304 Office/Library Supplies  
1308 Educational & Instructional Materials  
1394 Office/Library Equip., Not Exceed. \$100  
1398 Equipment, NEC  
1399 Commodities, NEC

**EQUIPMENT**

1510 Office Furniture & Equipment  
1515 EDP Equipment  
1561 Training Equipment  
6625 Leasehold Improvements

\$0.00

**TELECOMMUNICATIONS**

1721 Rental, Telephone Serv. & Equip.  
1722 Rental, Data Comm. Serv. & Equip.  
1728 Video Conferencing  
1729 Rental, Other Comm. Serv. & Equip.  
1730 Parts & Supplies for Telephone  
1740 Answering & Pag. Comm. Serv. Equip.  
1750 Telephone, Data, Radio & Other Equip.  
1799 Telecommunication Services, NEC

\$67.30

\$67.30

**OPERATION OF AUTO EQUIPMENT**

1893 Repair & Maint., Automotive Equipment  
1894 Parts & Fittings, Automotive Equipment  
1896 Gasoline, Oil & Antifreeze  
1898 Automotive Services, NEC  
1899 Automotive Expenses, NEC

**INDIRECT COST REFUNDS**

1993 Indirect Cost Allocation Refund

\$0.00

**GRANTS**

4453 Reimbursement to Governmental Units  
4458 Services, NEC  
4470 Grants to Local Governments (PPA)  
4470 Grants to Local Governments (EAL)  
4470 Grants to Local Governments (AAG)  
4470 Grants to Local Governments  
4479 Payments to Other State Agencies

**SECTION 101 GRAND TOTAL**

**FY14**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**OBLIGATED**  
**MONEY**

**PERSONAL SERVICE**

\$0.00

\$0.00

**STATE PAID RETIREMENT**

\$0.00

\$0.00

**RETIREMENT**

\$0.00

\$0.00

**SOCIAL SECURITY**

\$0.00

\$0.00

**GROUP INSURANCE**

\$0.00

\$0.00

**CONTRACTUAL SERVICE**

\$898.00

\$898.00

**TRAVEL**

\$7,141.72

\$7,141.72

**PRINTING**

\$0.00

\$0.00

**COMMODITIES**

\$0.00

\$0.00

**EQUIPMENT**

\$0.00

\$0.00

**TELECOMMUNICATIONS**

\$67.30

\$67.30

**OPERATION OF AUTO EQUIPMENT**

\$0.00

\$0.00

**INDIRECT COST REFUNDS**

\$0.00

\$0.00

**GRANTS**

\$0.00

\$0.00

**TOTAL**

**\$8,107.02**

**\$8,107.02**

**\$0.00**

**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
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**SECTION 102 - ENTITLEMENT PAYMENTS**  
**MONTH ENDING: Oct. 31, 2013**

**FY14**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

1129 State Paid Retirement  
1161 Retirement  
1170 Social Security

**CONTRACTUAL SERVICE**

1205 Freight Express/Drayage  
1225 Repair & Maintenance, EDP Equip.  
1231 Rental, Office Equipment  
1237 Rental, Film & Audio/Visual Aids  
1239 Rental, NEC  
1242 Auditing & Management Services  
1243 Book Binding & Processing Services  
1244 Legal Fees  
1245 Professional & Technical Services, NEC  
1261 Postage  
1266 Court Reporting & Filing Services  
1274 Registration Fees & Conf. Exp. (Vendor)  
1276 Registration Fees & Conf. Exp. (Employee)  
1280 Copying, Photographic & Printing Serv.  
1284 Computer Software  
1289 Contractual Services, NEC

**TRAVEL**

1291 In-State  
1292 Out-of-State

**PRINTING**

**COMMODITIES**

1304 Office/Library Supplies  
1394 Office/Library Equip., Not Exceed. \$100  
1398 Equipment, NEC  
1399 Commodities, NEC

**EQUIPMENT**

1510 Office Furniture & Equipment  
1515 EDP Equipment  
1599 Equipment, NEC

**TELECOMMUNICATIONS**

1721 Rental, Telephone Serv. & Equip.  
1722 Rental, Data Comm. Serv. & Equip.  
1728 Video Conferencing  
1729 Rental, Other Comm. Serv. & Equip.  
1730 Parts & Supplies for Telephone  
1740 Answering & Pag. Comm. Serv. Equip.  
1750 Telephone, Data, Radio & Other Equip.  
1799 Telecommunication Services, NEC

**OPERATION OF AUTO EQUIPMENT**

1893 Repair & Maint., Automotive Equipment  
1894 Parts & Fittings, Automotive Equipment  
1896 Gasoline, Oil & Antifreeze  
1898 Automotive Services, NEC  
1899 Automotive Expenses, NEC

**GRANTS**

4453 Reimbursement to Governmental Units  
4458 Services, NEC  
4470 Grants to Local Governments, NEC

**SECTION 102 GRAND TOTAL**

**FY14**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**OBLIGATED**  
**MONEY**

**PERSONAL SERVICE**

\$0.00

\$0.00

**STATE PAID RETIREMENT**

\$0.00

\$0.00

**RETIREMENT**

\$0.00

\$0.00

**SOCIAL SECURITY**

\$0.00

\$0.00

**CONTRACTUAL SERVICE**

\$0.00

\$0.00

**TRAVEL**

\$0.00

\$0.00

**PRINTING**

\$0.00

\$0.00

**COMMODITIES**

\$0.00

\$0.00

**EQUIPMENT**

\$0.00

\$0.00

**TELECOMMUNICATIONS**

\$0.00

\$0.00

**OPERATION OF AUTO EQUIPMENT**

\$0.00

\$0.00

**GRANTS**

\$0.00

\$0.00

**TOTAL**

\$0.00

\$0.00

\$0.00

**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
**Help Illinois Vote Fund**

**SECTION 261 - EAID DISBURSEMENTS**  
**MONTH ENDING: Oct. 31, 2013**

**FY14**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

1129 State Paid Retirement  
1161 Retirement  
1170 Social Security

**CONTRACTUAL SERVICE**

1205 Freight Express/Drayage  
1225 Repair & Maintenance, EDP Equip.  
1231 Rental, Office Equipment  
1237 Rental, Film & Audio/Visual Aids  
1239 Rental, NEC  
1242 Auditing & Management Services  
1243 Book Binding & Processing Services  
1244 Legal Fees  
1245 Professional & Technical Services, NEC  
1261 Postage  
1266 Court Reporting & Filing Services  
1274 Registration Fees & Conf. Exp. (Vendor)  
1276 Registration Fees & Conf. Exp. (Employee)  
1280 Copying, Photographic & Printing Serv.  
1284 Computer Software  
1289 Contractual Services, NEC

**TRAVEL**

1291 In-State  
1292 Out-of-State

**PRINTING**

**COMMODITIES**

1304 Office/Library Supplies  
1394 Office/Library Equip., Not exc. \$100  
1398 Equipment, NEC  
1399 Commodities, NEC

**EQUIPMENT**

1510 Office Furniture & Equipment  
1515 EDP Equipment  
1599 Equipment, NEC

**TELECOMMUNICATIONS**

1721 Rental, Telephone Serv. & Equip.  
1722 Rental, Data Comm. Serv. & Equip.  
1728 Video Conferencing  
1729 Rental, Other Comm. Serv. & Equip.  
1730 Parts & Supplies for Telephone  
1740 Answering & Pag. Comm. Serv. Equip.  
1750 Telephone, Data, Radio & Other Equip.  
1799 Telecommunication Services, NEC

**OPERATION OF AUTO EQUIPMENT**

1893 Repair & Maint., Automotive Equipment  
1894 Parts & Fittings, Automotive Equipment  
1896 Gasoline, Oil & Antifreeze  
1898 Automotive Services, NEC  
1899 Automotive Expenses, NEC

**INDIRECT COST REFUNDS**

1993 Indirect Cost Allocation Refund

**GRANTS**

4453 Reimbursement to Governmental Units  
4470 Grants to Local Governments (VAID II)  
4470 Grants to Local Governments (VAID III)  
4470 Grants to Local Governments (VAID IV)  
4470 Grants to Local Governments (VAID V)

\$14,836.00

\$14,836.00

**SECTION 261 GRAND TOTAL**

**FY14**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**OBLIGATED**  
**MONEY**

**PERSONAL SERVICE**

\$0.00

\$0.00

**STATE PAID RETIREMENT**

\$0.00

\$0.00

**RETIREMENT**

\$0.00

\$0.00

**SOCIAL SECURITY**

\$0.00

\$0.00

**CONTRACTUAL SERVICE**

\$0.00

\$0.00

**TRAVEL**

\$0.00

\$0.00

**PRINTING**

\$0.00

\$0.00

**COMMODITIES**

\$0.00

\$0.00

**EQUIPMENT**

\$0.00

\$0.00

**TELECOMMUNICATIONS**

\$0.00

\$0.00

**OPERATION OF AUTO EQUIPMENT**

\$0.00

\$0.00

**INDIRECT COST REFUNDS**

\$0.00

\$0.00

**GRANTS**

\$14,836.00

\$14,836.00

**TOTAL**

\$14,836.00

\$14,836.00

\$0.00

**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
 Help Illinois Vote Fund

**SECTION 251 - REQUIREMENTS**  
**MONTH ENDING: Oct. 31, 2013**

**FY14**  
**APPROPRIATION**                      **YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

1129 State Paid Retirement  
 1161 Retirement  
 1170 Social Security  
 1180 Group Insurance

**CONTRACTUAL SERVICE**

1205 Freight Express/Drayage  
 1223 Repair & Maintenance Real Property  
 1225 Repair & Maintenance, EDP Equip.  
 1231 Rental, Office Equipment  
 1232 Rental, Motor Vehicles  
 1237 Rental, Film & Audio/Visual Aids  
 1239 Rental, NEC  
 1242 Auditing & Management Services  
 1243 Book Binding & Processing Services  
 1244 Legal Fees  
 1245 Professional & Technical Services, NEC  
 1261 Postage  
 1266 Court Reporting & Filing Services  
 1273 Advertising  
 1274 Registration Fees & Conf. Exp. (Vendor)  
 1276 Registration Fees & Conf. Exp. (Employee)  
 1280 Copying, Photographic & Printing Serv.  
 1284 Computer Software  
 1289 Contractual Services, NEC

\$5,804.23                      \$5,804.23

\$26,316.96                      \$26,316.96

**TRAVEL**

1291 In-State  
 1292 Out-of-State

\$284.51                      \$284.51

**PRINTING**

**COMMODITIES**

1304 Office/Library Supplies  
 1308 Educational & Instructional Materials  
 1394 Office/Library Equip., Not Exceed. \$100  
 1398 Equipment, NEC  
 1399 Commodities, NEC

**EQUIPMENT**

1510 Office Furniture & Equipment  
 1515 EDP Equipment  
 1561 Training Equipment  
 6625 Leasehold Improvements

**TELECOMMUNICATIONS**

1721 Rental, Telephone Serv. & Equip.  
 1722 Rental, Data Comm. Serv. & Equip.  
 1728 Video Conferencing  
 1729 Rental, Other Comm. Serv. & Equip.  
 1730 Parts & Supplies for Telephone  
 1740 Answering & Pag. Comm. Serv. Equip.  
 1750 Telephone, Data, Radio & Other Equip.  
 1799 Telecommunication Services, NEC

**OPERATION OF AUTO EQUIPMENT**

1893 Repair & Maint., Automotive Equipment  
 1894 Parts & Fittings, Automotive Equipment  
 1896 Gasoline, Oil & Antifreeze  
 1898 Automotive Services, NEC  
 1899 Automotive Expenses, NEC

**INDIRECT COST REFUNDS**

1993 Indirect Cost Allocation Refund

**GRANTS**

4453 Reimbursement to Govt Units (AVE)  
 4453 Reimbursement to Govt Units (Phase II)  
 4453 Reimbursement to Govt Units (ALA)  
 4453 Reimbursement to Govt Units (EDG)  
 4470 Grants to Local Governments (VRS)  
 4479 Payments to Other State Agencies

\$16,877.11                      \$16,877.11

**SECTION 251 (OLD REQ) GRAND TOTAL**

**FY14**  
**APPROPRIATION**                      **YEAR TO DATE**  
**EXPENDITURE**                      **OBLIGATED**  
**MONEY**

PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$32,121.19	\$32,121.19	\$31,726.22
TRAVEL	\$284.51	\$284.51	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$16,877.11	\$16,877.11	

<b>TOTAL</b>	<b>\$49,282.81</b>	<b>\$49,282.81</b>	<b>\$31,726.22</b>
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**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
**Help Illinois Vote Fund**

**SECTION 251 - ADDITIONAL REQUIREMENTS**  
**MONTH ENDING: Oct. 31, 2013**

**FY14**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

1129 State Paid Retirement  
1161 Retirement  
1170 Social Security  
1180 Group Insurance

**CONTRACTUAL SERVICE**

1205 Freight Express/Drayage  
1223 Repair & Maintenance Real Property  
1225 Repair & Maintenance, EDP Equip.  
1231 Rental, Office Equipment  
1232 Rental, Motor Vehicles  
1237 Rental, Film & Audio/Visual Aids  
1239 Rental, NEC  
1242 Auditing & Management Services  
1243 Book Binding & Processing Services  
1244 Legal Fees  
1245 Professional & Technical Services, NEC  
1261 Postage  
1266 Court Reporting & Filing Services  
1273 Advertising  
1274 Registration Fees & Conf. Exp. (Vendor)  
1276 Registration Fees & Conf. Exp. (Employee)  
1280 Copying, Photographic & Printing Serv.  
1284 Computer Software  
1289 Contractual Services, NEC

**TRAVEL**

1291 In-State  
1292 Out-of-State

**PRINTING**

**COMMODITIES**

1304 Office/Library Supplies  
1308 Educational & Instructional Materials  
1394 Office/Library Equip., Not Exceed. \$100  
1398 Equipment, NEC  
1399 Commodities, NEC

**EQUIPMENT**

1510 Office Furniture & Equipment  
1515 EDP Equipment  
1561 Training Equipment  
6625 Leasehold Improvements

**TELECOMMUNICATIONS**

1721 Rental, Telephone Serv. & Equip.  
1722 Rental, Data Comm. Serv. & Equip.  
1728 Video Conferencing  
1729 Rental, Other Comm. Serv. & Equip.  
1730 Parts & Supplies for Telephone  
1740 Answering & Pag. Comm. Serv. Equip.  
1750 Telephone, Data, Radio & Other Equip.  
1799 Telecommunication Services, NEC

**OPERATION OF AUTO EQUIPMENT**

1893 Repair & Maint., Automotive Equipment  
1894 Parts & Fittings, Automotive Equipment  
1896 Gasoline, Oil & Antifreeze  
1898 Automotive Services, NEC  
1899 Automotive Expenses, NEC

**INDIRECT COST REFUNDS**

1993 Indirect Cost Allocation Refund

**GRANTS**

4453 Reimbursement to Govt Units (AVE)  
4453 Reimbursement to Govt Units (Phase II)  
4453 Reimbursement to Govt Units (ALA)  
4453 Reimbursement to Govt Units (EDG)  
4470 Grants to Local Governments (VRS)  
4479 Payments to Other State Agencies

\$371,575.18

\$371,575.18

**SECTION 251 (NEW REQ) GRAND TOTAL**

**FY14**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**OBLIGATED**  
**MONEY**

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$0.00	\$0.00
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00
GRANTS	\$371,575.18	\$371,575.18

<b>TOTAL</b>	<b>\$371,575.18</b>	<b>\$371,575.18</b>	<b>\$0.00</b>
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Start Date	End Date	Activity	Division
11/1 /2013		Begin preparation of packet materials for 2013 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Packets to be mailed or e-mailed December 2, 2012. 10 ILCS 5/9-15.	CAMP DISC
11/4 /2013		Date upon which the State Board of Election shall notify political committees that have failed to file the 2013 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES by the deadline that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and Regulations 125.425.	CAMP DISC
11/4 /2013		Date upon which the State Board of Election shall notify political committees that have failed to file the 2013 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES by the deadline that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and Regulations 125.425.	VOSS
11/12/2013	11/14/2013	(date subject to change) Veto Session	LEG
11/15/2013		The date that a second letter goes out to all committees that have failed to file the report advising them that an assessment for late filing is continuing and that a complaint will be filed if the committee fails to file the 2013 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Rules and Regulations 125.425.	CAMP DISC
11/18/2013		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
11/18/2013		Notify Department of Public Health for a list of facilities licensed or certified under the Nursing Home Care Reform Act or the MR/DD Community Care Act. This is to obtain a current list for the March 18th, 2014 General Primary Election. 10 ILCS 5/19-12.2	ET&RD
11/25/2013	12/2 /2013	Candidate filing period for General Primary (March 18,2014). 10 ILCS 5/7-12	EL INFO

Start Date	End Date	Activity	Division
12/2 /2013		The last day that the State Board of Elections shall notify political committees that have filed a Statement of Organization of their obligation to file the 2013 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. 10 ILCS 5/9-10, 9-15(9).	CAMP DISC
12/2 /2013		Notify election authorities of requirement to submit computerized voter registration information for the December 15, 2013 submission in a format prescribed by the SBE	VRS
12/6 /2013		Last day for SBE to send list of facilities licensed or certified under the Nursing Home Care Reform Act or the MR/DD Community Care Act to the proper election authorities. This list is for the March 18th, 2014 General Primary Election. 10 ILCS 19-12.2	ET&RD
12/9 /2013		Deadline for filing objections to petitions of candidates who filed November 25 - December 2, 2013. 10 ILCS 5/10-8	EL INFO
12/11/2013		Last day to conduct lottery to determine ballot position for 8 a.m. simultaneous filings for November 25 - December 2, 2013 filing period. 10 ILCS 5/7-7-12 (6)	EL INFO
12/11/2013		Last day to transmit objections and call for electoral board hearings. 10 ILCS 5/10-8	EL INFO
12/15/2013		First day for election authority to submit computerized voter registration file for the December 15, 2013 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
12/16/2013	12/23/2013	Special filing period for judicial vacancies which occurred November 11 - December 2, 2013.	EL INFO
12/17/2013		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
12/17/2013		Present to the Board a list of legislative proposals for introduction in the next session.	LEG
12/18/2013		Civic Organization certification cutoff date prior to the March 2014 General Primary Election. 10 ILCS 5/1A-8 (1, 2, 12) (Board Rule 207.50)	ET&RD
12/25/2013		Last day for election authority to submit computerized voter registration file for the December 15, 2013 submission. 10 ILCS 5/4-8,5-7,6-36	VRS



<b>Start Date</b>	<b>End Date</b>	<b>Activity</b>	<b>Division</b>
12/25/2013		Last day for election authorities to submit request for extension to file computerized voter registration information for the December 15, 2013 submission. Rules and Regulations	VRS
12/26/2013		Last day to conduct lottery to determine ballot position for 8 a.m. simultaneous filings for December 16 - 23, 2013 filing period.	EL INFO
12/31/2013		Objection filing deadline to nomination papers of candidates who filed for judicial office during the December 16 - 23, 2013 filing period. (Special judicial filing) 10 ILCS 5/7-12.1	EL INFO
1 /3 /2014		Last day to transmit objections and call for electoral board hearings.	EL INFO
1 /10/2014		Mail March General Primary certifications to county clerks. 10 ILCS 5/1A-8 (1, 2, 11)	EL INFO

5. **Comments from the general public.**
6. **Next regular Board meeting at 10:30 a.m. on Tuesday, December 17, 2013 in Room 2-025 of the JRTC in Chicago.**
7. **Executive session.**